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**Example data are in square brackets and need to be updated or deleted before dispatch to Wiley**

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# Update of the risk assessment of nickel in food and drinking water

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6

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13

## 14 Abstract

15 The European Commission asked EFSA to update its previous Opinion on nickel in food and  
16 drinking water, taking into account new occurrence data, the updated benchmark dose (BMD)  
17 Guidance and newly available scientific information. More than 47,000 analytical results on  
18 the occurrence of nickel were used for calculating chronic and acute dietary exposure. An  
19 increased incidence of post-implantation loss in rats was identified as the critical effect for the  
20 risk characterisation of chronic oral exposure and a BMDL<sub>10</sub> of 1.3 mg Ni/kg bw per day was  
21 selected as the reference point for the establishment of a tolerable daily intake (TDI) of  
22 13 µg/kg bw. Eczematous flare-up reactions in the skin elicited in nickel-sensitised humans, a  
23 condition known as systemic contact dermatitis (SCD), was identified as the critical effect for  
24 the risk characterisation of acute oral exposure. A BMDL could not be derived and therefore,  
25 the lowest-observed-adverse-effect-level of 4.3 µg Ni/kg bw was selected as the reference  
26 point. The margin of exposure (MOE) approach was applied and an MOE of 30 or higher was  
27 considered as being indicative of a low health concern. The mean chronic dietary exposure  
28 was below or at the level of the TDI. The 95th percentile chronic dietary exposure was below  
29 the TDI in adolescents and in all adult age groups, but generally exceeded the TDI in toddlers  
30 and in other children, as well as in infants in some surveys. This may raise a health concern  
31 in these young age groups. The MOE values for the mean acute dietary exposure and for the  
32 95th percentile raises a health concern for nickel-sensitised individuals. The MOE values for  
33 an acute scenario regarding consumption of a glass of water on an empty stomach do not  
34 raise a health concern.

35

## 36 Keywords

37 Nickel, tolerable daily intake (TDI), margin of exposure (MOE), food, dietary exposure,  
38 sensitisation, toxicity

## 39 Summary

40 The European Commission asked the European Food Safety Authority (EFSA) to update the previous  
41 EFSA Scientific Opinion on the risks to public health related to the presence of nickel in food and drinking  
42 water (EFSA CONTAM Panel, 2015), taking into account the new occurrence data, the updated BMD  
43 Guidance and any newly available scientific information.

44 Nickel is a widespread component of Earth's crust and is ubiquitous in the biosphere. Its presence in  
45 food and drinking water can arise from both natural and anthropogenic sources. Nickel occurs in  
46 different oxidation states. In food and drinking water, nickel generally occurs in the divalent form, which  
47 is the most stable oxidation state.

48 Nickel is usually measured in food as total nickel and there are only few studies of nickel speciation in  
49 food. It is generally assumed that nickel occurs in food in the form of complex bound organic nickel,  
50 which has different physico-chemical and possibly also different biological properties than inorganic  
51 nickel.

### 52 **Hazard identification and characterisation**

53 Nickel absorption from the gastrointestinal tract is dependent on the chemical form and thus, the  
54 solubility of the nickel compound. Absorption may be decreased by binding or chelating substances,  
55 competitive inhibitors, or redox reagents. On the other hand, absorption is often enhanced by  
56 substances that increase pH, solubility, or oxidation, or by chelating agents that are actively absorbed.

57 In humans, the bioavailability of nickel following ingestion also depends on the solubility of the  
58 administered nickel compound, the dosing vehicle and the fasting state of the subject. A low absorption  
59 (0.7-2.5%) was reported when nickel was ingested in the presence of food or under a non-fasted state,  
60 whereas a higher absorption (25-27%) was reported when nickel was ingested via drinking water in  
61 the absence of food, or under a fasted state. The number of individuals examined in the relevant human  
62 studies was low. There was also a considerable inter-individual variability in these studies. Thus, a  
63 precise estimate of the oral bioavailability of nickel in humans under different conditions cannot be  
64 established for the acute risk characterisation.

65 A study in rats showed an absorption of around 10% when soluble nickel compounds were administered  
66 in a 5% starch saline solution as vehicle. Such a condition is considered as being representative for  
67 dietary exposure via food and beverages for the chronic risk characterisation.

68 After absorption, nickel is widely distributed in the organism. Nickel was shown to cross the placenta in  
69 mice. Nickel can also be transported across the blood brain barrier. Absorbed nickel is excreted mainly  
70 via the urine. During lactation nickel can also be excreted in the breast milk. An elimination half-life of  
71  $28 \pm 9$  hours was estimated in human volunteers.

72 The divalent metal transporter 1 (DMT1) mediates the transport of nickel and other divalent metal ions  
73 such as iron from the lumen of the intestine into the enterocyte and also mediates apical uptake of  
74 divalent cations in the kidney. DMT1 is known to be involved in the transport of divalent iron into the  
75 cytosol of endosomal cells prior to transport across the blood brain barrier by ferroportin. Since nickel  
76 is also a substrate for DMT1, this transporter is likely to also be involved in nickel uptake into the brain.

77 The major effects observed in the short-term repeated dose toxicity studies in rodents and dogs  
78 following oral administration were decreased body weight and effects in the liver and kidney (changes  
79 in organ weights and histopathological changes). Effects on bone and on gut microbiota have also been  
80 reported in a few recent studies.

81 A few studies indicate that nickel can disturb neurobehavioural functions in mice and rats as indicated  
82 by impaired spatial memory performance and effects on locomotor activity. Neurodegeneration in adult  
83 rats has also been reported.

84 In mice, different reproductive effects such as decreased male sex organ weights and histopathological  
85 changes in these organs, disturbed spermatogenesis, decreased sperm motility and sperm damages  
86 have been reported after oral exposure to soluble nickel compounds. The reproductive effects were  
87 responsible for a decreased fertility in mice. A recent short-term toxicity study (28 days) with limited

88 reporting suggested that nickel may also cause testicular degeneration in rats. Mice appear to be more  
89 sensitive than rats regarding reproductive effects.

90 There is consistent evidence of developmental toxicity in rats in the form of increased pup mortality  
91 (stillbirth or post implantation loss/perinatal lethality) and decreased pup weight after oral exposure to  
92 soluble nickel compounds. Developmental toxicity was also observed in mice (decreased fetal weight,  
93 malformations) but at higher doses than for rats suggesting that rats appear to be more sensitive than  
94 mice regarding developmental toxicity. Based on the available data, the CONTAM Panel considers that  
95 the increased incidence of post-implantation loss in rats is the critical effect for the risk characterisation  
96 of chronic oral exposure to nickel. This is in agreement with the previous Opinion.

97 Nickel compounds are inactive in almost all bacterial mutagenicity tests and are weakly mutagenic in  
98 cultured mammalian cells. Nickel ions may be co-mutagenic, which is likely due to interference with  
99 DNA repair processes. Nickel compounds can induce sister chromatid exchanges, chromosomal  
100 aberrations and micronuclei at high (mM), cytotoxic levels in different mammalian cell systems; these  
101 effects are likely due to aneugenic as well as clastogenic actions. Nickel compounds have been shown  
102 to induce DNA single-strand breaks (SSBs), DNA-protein cross-links and oxidative base damage in  
103 mammalian test systems *in vitro*. Induction of chromosomal aberrations and micronuclei in rodents  
104 treated with different nickel compounds is not consistent across studies and both positive and negative  
105 results have been reported after oral administration, and intraperitoneal or subcutaneous injection.  
106 Nickel compounds give rise to both DNA SSBs and DNA-protein cross-links *in vivo* after oral  
107 administration or subcutaneous injection.

108 No tumours have been observed in the carcinogenicity studies in experimental animals after oral  
109 administration of soluble nickel compounds.

110 Nickel has different types of effects on the immune system. It is a sensitiser, hence exposure may lead  
111 to adverse hypersensitivity reactions. Oral exposure studies to investigate sensitisation to nickel by the  
112 oral route are scant. Oral exposure to nickel is not known to cause sensitisation, but nickel is able to  
113 elicit eczematous flare-up reactions in the skin in nickel-sensitised individuals, a condition known as  
114 systemic contact dermatitis (SCD), following oral exposure. The CONTAM Panel concludes that SCD  
115 elicited by oral intake of nickel in humans already sensitive to nickel is the critical effect for the risk  
116 assessment of acute effects of nickel. However, there are uncertainties associated with information  
117 regarding adverse reactions in humans after ingestion of nickel. The evaluation is based on 3 individual  
118 studies, all with a limited number of nickel-sensitised individuals. The degree of sensitivity of these  
119 individuals is not known. The outcomes of these studies were expressed in different ways, i.e. as flare-  
120 up reactions of already eczematous skin lesions, or as flare-up reactions in addition to new skin  
121 reactions, which makes comparison of these studies difficult. Individuals were fasted before oral  
122 exposure to nickel and subsequent monitoring of the effects, which may not represent all types of nickel  
123 intake. Nevertheless, the CONTAM Panel considers, in agreement with the previous Opinion, that SCD  
124 is the critical effect for the risk characterisation of acute oral exposure to nickel.

125 In the previous Opinion, the CONTAM Panel concluded that the data from the available epidemiological  
126 studies do not support an association between oral exposure to nickel and reproductive and  
127 developmental effects in humans. However, a few studies published since then suggest an association  
128 between nickel exposure and adverse reproductive and developmental outcomes.

129 No studies on neurotoxicity in humans were identified in the previous Opinion. In the few studies  
130 published since then, no clear signs of neurotoxicity were reported.

131 No data linking cancer in humans with oral exposure to nickel are available.

132 It is evident that oxidative stress and an elevation of reactive oxygen species (ROS) are involved in the  
133 toxicity of nickel. A contribution of oxidative stress is evident in relation to reproductive toxicity,  
134 genotoxicity, immunotoxicity and neurotoxicity. It has also been postulated that nickel might exert  
135 some of its effects via perturbation of iron homeostasis since divalent nickel competes with the transport  
136 of divalent iron into cells via DMT1 and possibly could also compete with iron sites on enzymes like the  
137 prolyl hydroxylases that modify hypoxia inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ).

138 Nickel has been demonstrated to disturb regulation of mammalian reproductive function at several  
139 levels. Mice appear more sensitive than rats and this was associated with a higher level of oxidative

140 stress in mouse testes compared to testes of rats. A part of this higher sensitivity of mice appears to  
141 be due to the formation of a complex between nickel and protamine 2 in sperm chromatin, which  
142 further elevates ROS production. Oxidative stress and nickel complexation with protamine 2 may both  
143 contribute to infertility. Rats have very low levels of protamine 2 in contrast to mice and humans, which  
144 have much higher levels of this protein. The fact that protamine 2 is expressed in humans might suggest  
145 that the mouse is a better model than the rat in predicting the ability of nickel to induce human male  
146 infertility. However, the relative level of the antioxidant status of human testes will be an important  
147 determinant of susceptibility based on the role of ROS.

148 The genotoxicity of nickel is likely due to indirect effects including inhibition of DNA repair and ROS  
149 production. In addition, chromatin changes may occur following dysregulation of signalling pathways  
150 and alteration of the epigenetic landscape.

151 The ability of nickel to bind to proteins is responsible for the induction of specific immune responses,  
152 leading to allergic reactions. These may be evident in the skin, but can also occur elsewhere in the  
153 body. Nickel has also a non-specific activity on the immune system, such as the induction of  
154 inflammatory reactions through toll like receptors and nucleic factor kappa B signalling pathways that  
155 may be involved in the adverse reactions, including the allergic reactions. Even though predominant  
156 reactions to nickel occur after skin exposure, oral exposure to nickel may potentially induce these effects  
157 as well, and especially elicit flare-up reactions in already sensitized individuals. In addition, nickel may  
158 also interfere with immunity through causing apoptosis of monocytes as observed *in vitro*, and thus  
159 may have an impact on host resistance.

160 Nickel causes deficits in neurobehavioural performance in rodents and neuronal cell toxicity *in vivo* and  
161 *in vitro*. These effects are associated with oxidative stress and disturbance of mitochondrial aerobic  
162 metabolism evidently involving HIF-1 $\alpha$ .

163 Nickel is classified as a human carcinogen via inhalation. No data linking cancer in humans with oral  
164 exposure to nickel are available. No tumours have been observed in the carcinogenicity studies in  
165 experimental animals after oral administration of soluble nickel compounds. Therefore, the CONTAM  
166 Panel considers it unlikely that dietary exposure to nickel results in cancer in humans.

167 For chronic oral exposure to nickel, the critical effect is the increased incidence of post-implantation  
168 loss in rats observed in the one- and two-generation studies. The CONTAM Panel noted that other toxic  
169 effects, including neurotoxic effects reported in the experimental animal studies were observed at  
170 higher dose levels than those resulting in developmental toxicity, i.e. post-implantation loss. From the  
171 BMD analysis, the BMDL<sub>10</sub> of 1.3 mg Ni/kg bw per day was selected as the reference point for the  
172 establishment of the TDI. A TDI of 13  $\mu$ g/kg bw was established by applying the default uncertainty  
173 factor of 100 to account for intra- and interspecies differences.

174 For acute oral exposure to nickel, the critical effect is eczematous flare-up reactions in the skin (SCD)  
175 elicited in nickel-sensitised humans. The dose-response modelling showed that a BMDL could not be  
176 derived from the available data by applying the current BMD guidance. Therefore, the reference point  
177 was based on the no-observed-adverse-effect-level (NOAEL)/ lowest-observed-adverse-effect-level  
178 (LOAEL) approach. In the absence of a NOAEL, a LOAEL of 4.3  $\mu$ g Ni/kg bw was identified. In  
179 accordance with the previous Opinion, the data were considered insufficient to derive an ARfD and an  
180 MOE approach was applied for the acute risk assessment. The CONTAM Panel considered that an MOE  
181 of 30 or higher would indicate a low health concern.

## 182 **Occurrence/exposure for the EU population**

183 More than 47,000 analytical results on the occurrence of nickel in food and drinking water were used  
184 for the chronic and acute dietary exposure assessment. The highest mean nickel concentrations were  
185 measured for the food category 'Legumes, nuts and oilseeds' and for the food category 'Products for  
186 special nutritional use'.

187 The mean lower bound (LB)/upper bound (UB) chronic dietary exposure to nickel across the different  
188 dietary surveys and age classes ranged from 1.57/1.89  $\mu$ g/kg bw per day in elderly to 12.5/14.6  $\mu$ g/kg  
189 bw per day in toddlers. The 95th percentile LB/UB chronic dietary exposure to nickel ranged from  
190 3.35/3.93  $\mu$ g/kg bw per day in very elderly to 28.1/29.9  $\mu$ g/kg bw per day in infants. The food category,

191 'grains and grain-based products' was the most important contributor to the mean LB chronic dietary  
192 exposure to nickel in all age classes.

193 The mean UB acute exposure ranged from 1.89 µg/kg bw per day in the elderly to 14.6 µg/kg bw per  
194 day in toddlers. The 95th percentile UB acute exposure ranged from 5.35 µg/kg bw per day in the  
195 elderly to 40.8 µg/kg bw per day in toddlers. The most relevant food categories for the 95th percentile  
196 UB acute dietary exposure varied between age classes and surveys. Beans, coffee, ready-to-eat soups,  
197 chocolate and breakfast cereals were the most relevant food categories in most of the surveys.

198 The acute dietary exposure to nickel from consumption of a small bottle of water (500 mL) containing  
199 a high concentration of nickel was estimated to be 0.04 µg/kg bw from tap water and 0.08 µg/kg from  
200 bottled water.

## 201 **Risk characterisation**

202 The mean LB and UB chronic dietary exposure was below the TDI and thus, does not indicate a concern.  
203 However, for one survey in toddlers, the mean chronic dietary exposure was at the level of the TDI  
204 (LB/UB: 12.5/14.6 µg/kg bw per day) and this may indicate a health concern.

205 The 95th percentile LB chronic dietary exposure exceeded the TDI in toddlers in 10 out of 14 dietary  
206 surveys and in other children in 11 out of 19 dietary surveys. Also in infants, an exceedance of the TDI  
207 was observed in some surveys. The 95th percentile LB chronic dietary exposure was below the TDI in  
208 adolescents and in all adult age groups. Thus, the 95th percentile chronic dietary exposure to nickel  
209 may raise a health concern for infants, toddlers and other children.

210 Comparison of the estimated mean UB acute dietary exposure with the acute reference point of 4.3 µg  
211 Ni/kg bw resulted in MOE values ranging from 0.3 to 2.3, across dietary surveys and age classes. The  
212 MOE values when using the 95th percentile UB acute dietary exposure ranged from 0.1 to 0.8 across  
213 dietary surveys and age classes. Thus, these MOE values raise a health concern for nickel-sensitised  
214 individuals.

215 For the scenario regarding consumption of a small bottle of drinking water, the MOE values of 120  
216 and 55 for tap water and bottled water, respectively do not raise a health concern.

## 217 **Recommendations**

218 In order to improve the risk assessment and reduce the uncertainties, the CONTAM Panel recommends  
219 the generation of more information on oral bioavailability of nickel in humans under different dosing  
220 regimens (i.e. vehicle, fasting/non-fasting condition). In addition, it is recommended to perform new  
221 studies with larger numbers of nickel-sensitised individuals and different dosing regimens and dose  
222 levels included to allow a better characterisation of the dose-response and facilitate a BMD approach.  
223 Such studies would form the basis for a more precise risk assessment of skin and systemic reactions to  
224 nickel exposure via food and drinking water in nickel-sensitised individuals. Information on the potential  
225 presence of nickel nanoparticles in food and drinking water is also needed.



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294 **1 Introduction**

295 **1.1 Background and terms of reference as provided by the requestor**

296 **Background**

297 On 22 January 2015, EFSA's Scientific Panel on Contaminants in the Food Chain (CONTAM) adopted a  
298 Scientific Opinion on the risks to public health related to the presence of nickel in food and drinking  
299 water, in which it established a tolerable daily intake (TDI) of 2.8 µg/kg Ni/kg body weight (bw) per  
300 day and concluded that on the basis of the available occurrence data the current chronic dietary  
301 exposure raises health concerns for all age groups and that the acute exposure is of concern for nickel-  
302 sensitised individuals. The CONTAM Panel noted the need for mechanistic studies to assess the human  
303 relevance of the effects on reproduction and development that had been observed in experimental  
304 animals and for additional studies on human absorption of nickel from food; for example, in combination  
305 with duplicate diet studies.

306 In its Opinion, EFSA considered occurrence data on nickel in food and drinking water, which were  
307 collected in 15 different European countries. However, as 80% of the total collected data were collected  
308 in just one Member State, a geographically more widespread data set would be needed to verify the  
309 occurrence of nickel in food throughout the EU. Furthermore, for certain food groups, considered as  
310 main contributors to dietary exposure in the EFSA Scientific Opinion, only limited occurrence data were  
311 available. In order to discuss possible future risk management measures, a better view of the nickel  
312 content in food commodities belonging to these food groups was needed. Therefore, by means of  
313 Recommendation (EU) 2016/1111<sup>1</sup>, Member States were asked to collect additional occurrence data  
314 for several foodstuffs in 2016, 2017 and 2018.

315 On 17 November 2016, EFSA adopted its updated guidance on the use of the benchmark dose (BMD)  
316 approach in risk assessment, which might impact on the previously established TDI for nickel.

317 It is therefore appropriate to request EFSA to update the EFSA Scientific Opinion on the risks to public  
318 health related to the presence of nickel in food and drinking water, taking into account the new  
319 occurrence data, the updated BMD Guidance and any newly available scientific information.

320 **Terms of reference**

321 In accordance with Art 29 (1) of Regulation (EC) No 178/2002<sup>2</sup>, the European Commission asks the  
322 European Food Safety Authority for an updated Scientific Opinion on the risks to public health related  
323 to the presence of nickel in food and drinking water, taking into account the new occurrence data, the  
324 updated BMD Guidance and any newly available scientific information.

325 **1.2 Interpretation of the terms of reference**

326 The CONTAM Panel concluded that this Opinion should comprise:

- 327 a) an evaluation of the toxicity of nickel for humans, considering all relevant toxicological endpoints;  
328 b) an estimation of the dietary exposure of the EU population to nickel from food and drinking water,  
329 including the consumption patterns of specific groups of the population; and  
330 c) an assessment of the human health risks to the EU population, including specific (vulnerable) groups  
331 of the population, as a consequence of the estimated dietary exposure.

332 In the context of human exposure to nickel via the diet and drinking water, water-soluble nickel  
333 compounds are the most relevant. This Scientific Opinion is therefore confined to water-soluble nickel  
334 compounds (i.e. nickel (II), nickel chloride, nickel sulfate, nickel dinitrate and nickel acetate). Non- or

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<sup>1</sup> Commission Recommendation (EU) 2016/1111 of 6 July 2016 on the monitoring of nickel in food. C/2016/3858. OJ L 183, 8.7.2016, p. 70–71.

<sup>2</sup> Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety. OJ L 31, 1.2.2002, p. 1–24.

335 low-soluble nickel compounds such as nickel sulfide, nickel oxide and nickel carbonate are not  
336 considered in the current assessment.

337 Nickel can also be present in the environment as nickel nanoparticles. In the absence of evidence that  
338 nickel nanoparticles occur in food and/or drinking water, studies on the toxicity of nickel nanoparticles  
339 were not considered in the present assessment.

340 As outlined in the terms of reference, the current risk assessment is an update of the previous Opinion,  
341 published in 2015. The literature search for the latter was conducted in 2013. Therefore, papers  
342 published since 2013 were taken into account for the current risk assessment when not yet included in  
343 the previous Opinion.

### 344 1.3 Supporting information for the assessment

345 This section is an adapted and amended version of the corresponding sections in the previous Opinion  
346 on nickel in food and drinking water (EFSA CONTAM Panel, 2015).

#### 347 1.3.1 Chemistry

348 The chemistry of nickel (CAS registry No. 7440-02-0) and nickel compounds is described in many  
349 general scientific references (e.g. Health Canada, 1994; ATSDR, 2005; IARC, 1990, 2012; EU RAR 2008;  
350 Nielsen and Larsen, 2013). Only the main relevant information is presented here.

351 Nickel is a silver-white metal with typical metallic properties and has an atomic number of 28 and atomic  
352 weight of 58.71. It has five naturally occurring stable isotopes, with mass numbers 58 (68.07%), 60  
353 (26.23%), 61 (1.14%), 62 (3.63%) and 64 (0.93%). Although it has oxidation states of -1, 0, +1, +2,  
354 +3 and +4, the most common valence state in the environment is the divalent oxidation state ( $\text{Ni}^{2+}$  or  
355 Ni (II)). In the absence of strong complexing agents, nickel (II) occurs mostly as the green  
356 hexaquaonickel ion  $[\text{Ni}(\text{H}_2\text{O})_6]^{2+}$  in natural waters at pH 5–9. Simple inorganic complexes (salts) with  
357 common ligands such as  $\text{HCO}_3^-$ ,  $\text{Cl}^-$ ,  $\text{OH}^-$ ,  $\text{NH}_3$ ,  $\text{SO}_4^{2-}$ , etc. are formed to a minor degree in this pH range.  
358 The most water-soluble nickel salts are nickel chloride hexahydrate ( $\text{NiCl}_2(\text{H}_2\text{O})_6$ ; 2,500 g/L), nickel  
359 dinitrate hexahydrate ( $\text{Ni}(\text{NO}_3)_2(\text{H}_2\text{O})_6$ ; 2,400 g/L), nickel sulfate hexahydrate ( $\text{NiSO}_4(\text{H}_2\text{O})_6$ ; 660 g/L),  
360 nickel sulfate heptahydrate ( $\text{NiSO}_4(\text{H}_2\text{O})_7$ ; 760 g/L) and nickel acetate ( $\text{Ni}(\text{CH}_3\text{CO}_2)_2(\text{H}_2\text{O})_4$ ; 170 g/L).  
361 Less soluble nickel compounds include nickel hydroxide ( $\text{Ni}(\text{OH})_2$ ; 0.13 g/L) and nickel carbonate  
362 ( $\text{NiCO}_3$ ; 0.09 g/L). Nickel sulfides and oxides are practically insoluble in water.

363 Since nickel is usually measured in food as total nickel, limited information is available on the content  
364 or dietary intake of different chemical species of nickel in food. It is generally assumed that it occurs in  
365 the form of complex bound organic nickel, which has different physico-chemical and possibly also  
366 different biological properties than inorganic nickel (EU RAR, 2008). However, there are only a few  
367 studies of nickel speciation in food. The majority of studies in this field were made of nickel fractionation  
368 in different samples of tea, soybean flour and human milk (Schaumlöffel, 2005; Scancar et al., 2013).  
369 In tea, nickel is present as nickel (II) or as complexes with large organic molecules (4–6 kDa) or  
370 flavonoid components or mainly associated with quinic acid (Scancar et al., 2013). In soybean flour,  
371 66% of the total nickel was extractable and was present mainly as complexes of 2–3 kDa size. In human  
372 milk, nickel was found to be associated with high molecular mass biomolecules, probably caseins,  
373 lactotransferrin, serum albumin or immunoglobulins. Recently, nickel (II), nickel gluconate and nickel  
374 citrate complexes were found in cocoa infusions (Peeters et al., 2017). Nickel citrate and nickel malate  
375 complexes account for 99% of the nickel present in pea root nodule cytoplasm fraction (Cacho et al.,  
376 2010).

#### 377 1.3.2 Environmental fate and sources of food and drinking water contamination

378 The CONTAM Panel extensively reviewed the environmental fate and sources of food and drinking water  
379 contamination in 2015. The conclusions from this review are repeated below. Further details are  
380 available in EFSA CONTAM Panel (2015).

381 *Nickel occurs in environmental compartments and in the biosphere with highly variable levels, normally*  
382 *as nickel (II) compounds or complexes. The metal presence is determined by natural as well as*  
383 *anthropogenic factors, the latter generically identifiable with industrial and technological sources. A*  
384 *wide variability characterizes ambient nickel concentrations reflecting the influence of nickel emissions*  
385 *from different types of sources.*

386 *In air, nickel occurs mostly as fine respirable particles that are removed by wet and dry deposition.*  
387 *Anthropogenic sources of air-borne nickel account for more than 80% of the atmospheric nickel burden;*  
388 *the remainder to 100% is accounted for by natural sources. In non-industrialized areas, background*  
389 *nickel concentrations are generally around or below 3 ng/m<sup>3</sup> (yearly averages), although higher levels*  
390 *have also been observed; in urban and industrialized areas nickel concentrations in air can be*  
391 *considerably higher (up to tens or hundreds of ng/m<sup>3</sup>). In rainwater, nickel concentrations are on*  
392 *average measured in the range < 1 µg/L, although greater levels have been detected depending on*  
393 *location.*

394 *Surface runoff, deposition from air, and release of municipal and industrial waste waters are sources of*  
395 *nickel in surface waters. Under anaerobic conditions, typical of deep waters, nickel can be segregated*  
396 *from the environment as insoluble sulfide. Although in surface waters total nickel may be present at*  
397 *levels greater than a few µg/L, in general the element is detected at average concentrations in the*  
398 *order of 3 µg/L or lower, rivers being more contaminated than lakes and sea water. Fish and seafood*  
399 *are consequently another source of nickel in the diet. Total nickel concentrations in ground water and*  
400 *water from drinking water sources/supplies may range from less than 1 µg/L up to few tens of µg/L,*  
401 *although cases of a high nickel occurrence (up to hundreds of µg/L) have also been reported.*

402 *Nickel is released to soils from smelting and refining operations, disposal of sewage sludge, or use of*  
403 *sludge as a fertilizer; secondary anthropogenic sources include emissions from motor vehicles and*  
404 *electric power utilities. Weathering and erosion of geological materials are natural sources of nickel to*  
405 *soils. Typical average background concentrations of nickel in topsoil are in the order of few tens of*  
406 *mg/kg (namely, < 50 mg/kg): these values are consistent with nickel levels that on a local basis can*  
407 *be even remarkably higher, and with concentration ranges of two or three orders of magnitude.*  
408 *Reflecting the extent of anthropogenic impact, nickel concentrations are on average higher in*  
409 *agricultural soils while reaching the highest values in soils proximal to industrial activities.'*

410 Uptake of nickel by plants results in another source of nickel in the diet. For example, root vegetables  
411 like carrots, potatoes and onions accumulate nickel when grown in contaminated soil or irrigated with  
412 contaminated water (Stasinis et al., 2014). The same has been observed in plants grown in paddy  
413 fields (Rahman et al., 2018).

414 *'Sediments are an important sink for nickel in water. In general, nickel concentrations detected in such*  
415 *matrix show similarities with those detected in topsoil: in particular, nickel content in sediments is*  
416 *expected to be high near sources of nickel emissions.*

417 *Migration from food contact material could represent an additional source for the presence of nickel in*  
418 *food and drinking water. The CONTAM Panel concluded that the extent of nickel migration into food*  
419 *and drinking water due to the use of good quality stainless steel cookware, tableware, and in general*  
420 *food contact materials has likely little or no relevance compared to the dietary exposure determined by*  
421 *the intrinsic presence of nickel in diet constituents. However, leaching of nickel into food may not be*  
422 *negligible for food contact materials made of poor quality stainless steel, or of other metal alloys*  
423 *containing nickel.'*

### 424 1.3.3 Analytical methods

425 Flame or graphite furnace with atomic absorption spectrometry (F- or GF-AAS), and, increasingly,  
426 inductively coupled plasma-optical/atomic emission spectrometry (ICP-OES/ICP-AES) or inductively  
427 coupled plasma-mass spectrometry (ICP-MS) are the most common analytical techniques suitable for  
428 the determination of total nickel in foods and drinking water. The limits of detection (LODs) in water  
429 samples range from 0.05 to 1.0 µg/L depending on the analytical techniques used. In foods, there is a  
430 wide variation of LODs ranging from 2 to 290 µg/kg and from 0.006 to 117 µg/L, depending on the  
431 detection techniques used and the type of food (EFSA CONTAM Panel, 2015).

432 Four European standardised methods for the determination of total nickel in water are available by F-  
433 or GF-AAS or ICP-(OES or MS) techniques with LODs ranging from < 0.1 to 1 µg/L (ISO 8288:1986; EN  
434 ISO 17294-2:2016; EN ISO 15586:2004; EN ISO 11885:2009). Only one standardised method is  
435 available for food, namely for animal and vegetable fats and oils by GF-AAS and no LOD or limit of  
436 quantification (LOQ) is reported (ISO 8294:1999).

437 Sample preparation for the analysis of total nickel should be performed in accordance with Standard  
438 EN 13804:2013, 'Foodstuffs — Determination of elements and their chemical species — General  
439 considerations and specific requirements'. Further details are provided in EFSA CONTAM Panel (2015).

440 To achieve analytical quality assurance, several standards, certified reference materials and regular  
441 proficiency testing schemes<sup>3</sup> are available for total nickel in food and water.

#### 442 1.3.4 Previous assessments

443 In 2015, The CONTAM Panel prepared a Scientific Opinion on the risks to public health related to the  
444 presence of nickel in food and drinking water. For the assessment of chronic effects of nickel,  
445 developmental toxicity in experimental animals was considered as the critical effect. A TDI of 2.8 µg  
446 Ni/kg bw per day was derived from a BMD lower confidence limit for an extra risk of 10% (BMDL<sub>10</sub>) of  
447 0.28 mg/kg bw for post-implantation loss per litter in rats based on the data from a dose-range-finding  
448 reproductive toxicity study (SLI 2000a) and a 2-generation reproductive toxicity study (SLI, 2000b).  
449 The default uncertainty factor of 100 was applied to establish the TDI. The dietary exposure to nickel  
450 raised concern when considering the mean and 95th percentile chronic exposure levels for all age  
451 classes. As the critical effect for the assessment of acute effects of nickel, the Panel selected the  
452 systemic contact dermatitis (SCD) elicited in nickel-sensitised humans after oral exposure to nickel.  
453 BMD analyses were performed on data from three studies on human volunteers (Gawkrodger et al.,  
454 1986; Hindsén et al., 2001; Jensen et al., 2003). The lowest BMDL<sub>10</sub> of 0.08 mg Ni per person,  
455 corresponding to 1.1 µg Ni/kg bw, calculated from the data by Jensen et al. (2003), was selected as a  
456 reference point for SCD elicited in Ni-sensitive humans after acute oral exposure to nickel. The CONTAM  
457 Panel applied a margin of exposure (MOE) approach and considered an MOE of 10 to be indicative of  
458 a low health concern. The acute dietary exposure to nickel raised concern that nickel-sensitised  
459 individuals may develop eczematous flare-up skin reactions. The CONTAM Panel noted '*the need for*  
460 *mechanistic studies to assess the human relevance of the effects on reproduction and development*  
461 *observed in experimental animals and for additional studies on human absorption of nickel from food,*  
462 *for example in combination with duplicate diet studies'* (EFSA CONTAM Panel, 2015).

463 Epidemiological studies have provided evidence for lung cancer related to specific nickel compounds or  
464 classes of compounds in humans exposed by inhalation: water-soluble nickel compounds (e.g. nickel  
465 chloride, nickel sulfate), insoluble nickel compounds (e.g. nickel oxides and nickel sulfides) (IARC, 1990,  
466 2012). Nickel and nickel compounds have been classified by the IARC as carcinogenic to humans (Group  
467 1) causing cancers of the lung, nasal cavity and paranasal sinuses after inhalation.

468 Upon request from the Danish Environmental Protection Agency, Nielsen and Larsen (2013) evaluated  
469 the health hazards from exposure to nickel, inorganic and soluble salts to propose a health-based quality  
470 criterion for nickel in drinking water. The assessment was finalised in 2010 and published in 2013. The  
471 assessment was based on the EU Risk Assessment Reports. A no-observed-adverse-effect-level  
472 (NOAEL) of 1.1 mg Ni/kg bw per day was identified for developmental toxicity in the two-generation  
473 study (SLI, 2000b) with nickel sulfate. A TDI of 5.5 µg Ni/kg bw per day was calculated based on this  
474 NOAEL by applying an uncertainty factor of 200 to account for inter- and intraspecies variations (10×10)  
475 and a factor of two in order to consider the severity of effects (peri- and postnatal increased mortality)  
476 at only twice the dose level of the NOAEL value. A health-based quality criterion in drinking water for  
477 repeated exposure to soluble inorganic nickel salts of 17 µg Ni/L was then calculated. A health-based  
478 quality criterion in drinking water for acute exposure of 37 µg Ni/L was calculated based on a lowest-  
479 observed-adverse-effect-level (LOAEL) of 12 µg/kg bw for oral challenge of nickel-sensitised individuals  
480 (Nielsen et al., 1990) and assuming an ingestion of 2.3 L of drinking water per day (90th percentile for  
481 adults, body weight: 70 kg). An uncertainty factor of 10 was applied because a LOAEL instead of a  
482 NOAEL was used and because the LOAEL would probably have been lower if the nickel status of the  
483 patients was not lowered by giving them a nickel-poor diet during the last 2 days before the provocation  
484 test.

485 In the most recent version of the WHO Guidelines for Drinking-water quality (WHO, 2017) the guideline  
486 value for nickel is 70 µg/L. The guideline value is based on a TDI of 12 µg/kg bw, derived from a LOAEL  
487 established after oral provocation of fasted patients with an empty stomach. It is noted that the principal

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<sup>3</sup> See <https://www.eptis.org/>

488 reference is WHO (2005) reporting the 2004 assessment, i.e. there has been no new WHO evaluation  
489 since the EFSA CONTAM Opinion from 2015.

### 490 1.3.5 Legislation

491 Currently, there are no maximum levels in the EU legislation for nickel as a contaminant in foodstuffs.  
492 Commission Regulation (EU) No 10/2011<sup>4</sup> includes a specific migration limit for nickel of 0.02 mg/kg  
493 food or food simulant from plastic materials and articles. In addition, the Council of Europe published  
494 in 2013 a practical guide on metals and alloys used in food contact materials and articles, which set out  
495 a specific release limit (SRL) for nickel of 0.14 mg/kg food (EDQM, 2013).

496 EU Council Directive 98/83/EC<sup>5</sup> on the quality of water intended for human consumption sets a  
497 parametric value for nickel at 20 µg/L (Annex I, Part B `Chemical parameters`); at the same time, it also  
498 indicates the minimum performance characteristics to be warranted by the method used for the analysis  
499 (Annex III). Within the Directive's scope, water intended for human consumption refers to:

- 500 • all water either in its original state or after treatment, intended for drinking, cooking, food  
501 preparation or other domestic purposes, regardless of its origin and whether it is supplied from  
502 a distribution network, from a tanker, or in bottles or containers;
- 503 • all water used in any food-production undertaking for the manufacture, processing,  
504 preservation or marketing of products or substances intended for human consumption unless  
505 the competent national authorities are satisfied that the quality of the water cannot affect the  
506 wholesomeness of the foodstuff in its finished form.

507 The maximum limit for nickel in natural mineral water is regulated in the EU by Commission Directive  
508 2003/40/EC.<sup>6</sup> In this Directive, nickel is listed in Annex I among the constituents naturally present in  
509 natural mineral water, with a maximum limit of 20 µg/L. As above, the Directive also indicates the  
510 performance characteristics to be warranted by the method used for the analysis (Annex II).

511 According to Annex VI of Regulation (EC) No 1272/2008<sup>7</sup> (Classification, Labelling and Packaging  
512 Regulation), nickel sulfate and nickel dinitrate are classified:

- 513 • Carc. 1A H350i (May cause cancer by inhalation)
- 514 • Muta. 2 H341 (Suspected of causing genetic effects)
- 515 • Rep. 1B H360D (May damage the unborn child)
- 516 • STOT RE 1 H372 (Causes damage to organs)
- 517 • Acute Tox. 4 H302 (Harmful if swallowed)
- 518 • Acute Tox. 4 H332 (Harmful if inhaled)
- 519 • Skin Irrit. 2 H315 (Causes skin irritation)
- 520 • Skin Sens. 1 H317 (May cause an allergic skin reaction)
- 521 • Resp. Sens. 1 H334 (May cause allergy or asthma symptoms or breathing difficulties if inhaled)
- 522 • Aquatic Acute. 1 H400 (Very toxic to aquatic life)
- 523 • Aquatic Chronic 1 H410 (Very toxic to aquatic life with long lasting effects).

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<sup>4</sup> Commission Regulation (EU) No 10/2011 of 14 January 2011 on plastic materials and articles intended to come into contact with food. OJ L 012 15.1.2011, p. 1.

<sup>5</sup> Council Directive 98/83/EC of 3 November 1998 on the quality of water intended for human consumption. OJ L 330, 5.12.1998, p. 1–28.

<sup>6</sup> Commission Directive 2003/40/EC of 16 May 2003 establishing the list, concentration limits, and labelling requirements for the constituents of natural mineral waters and the conditions for using ozone-enriched air for the treatment of natural mineral waters and spring waters. OJ L126 22.5.2003, p. 34–39.

<sup>7</sup> Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006. OJ L 353, 31.12.2008, p. 1–1355.



524 In addition, nickel dinitrate is also classified as Eye Dam. 1 H318 (causes serious eye damage). For  
525 nickel chloride there is no harmonised classification in the EU.

## 526 2 Data and methodologies

### 527 2.1 Supporting information for the assessment

528 The CONTAM Panel used its previous risk assessment on nickel in food and drinking water issued in  
529 2015 as a starting point for drafting the supporting information. The data were summarised in a  
530 narrative way based on expert knowledge/judgement and updated when new information became  
531 available as identified in reviews and relevant scientific evaluations by national or international bodies.  
532 A search for previous assessments was carried out on the websites of the relevant organisations. In  
533 addition, three specific literature searches were conducted to identify scientific literature on previously  
534 reported occurrence and exposure data, on the occurrence of nickel nanoparticles in food and drinking  
535 water and on the migration of nickel from food contact materials into food. The literature search was  
536 performed in October and November 2019. Web of Science<sup>8</sup> and PubMed<sup>9</sup> were identified as databases  
537 appropriate for retrieving literature for the present evaluation. An overview of the search terms is given  
538 in Appendix I, Section I.1. The references obtained from the literature search were imported and saved  
539 using a software package (EndNote<sup>10</sup>) and screened based on title and abstract.

### 540 2.2 Hazard identification and characterisation

541 The CONTAM Panel applied the general principles of the hazard identification and characterisation for  
542 chemicals in food as described by WHO/IPCS (2009) as well as the different EFSA guidance documents  
543 relevant to this step of the risk assessment (Appendix I, Section I.4).

#### 544 2.2.1 Collection and selection of evidence

545 A comprehensive search for literature was conducted for peer-reviewed original research pertaining to  
546 adverse health effects in experimental animals and humans following oral exposure. The search  
547 strategy was designed to identify scientific literature dealing with toxicokinetics, toxicity and mode of  
548 action. This Scientific Opinion is an update of the previous Scientific Opinion on nickel in food and  
549 drinking water published in 2015 and for which the literature search was conducted in 2013 (Casalegno  
550 et al., 2015). Therefore the literature search for the current Opinion was restricted to papers published  
551 since 1 January 2013. It was decided not to restrict the literature search to publications in English.

552 The literature search was performed in June 2019. Web of Science<sup>11</sup>, PubMed<sup>12</sup> and SciFinder were  
553 identified as databases appropriate for retrieving literature for the present evaluation. An overview of  
554 the search terms is given in Appendix I, Section I.2. The references obtained from the literature search  
555 were imported and saved using a software package (EndNote<sup>13</sup>). The references obtained were  
556 screened based on title and abstract using Distiller SR to identify the relevant literature, and the  
557 exclusion criteria are shown in Appendix I, Section I.3.

558 Additionally, relevant scientific evaluations by national or international bodies and reviews were  
559 considered for the current risk assessment.

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<sup>8</sup> Web of Science (WoS), formally ISI Web of Knowledge, Thomson Reuters. Available at: <http://thomsonreuters.com/thomson-reuters-web-of-science/>

<sup>9</sup> PubMed, Entrez Global Query Cross-Database Search System, National Center for Biotechnology Information (NCBI), National Library of Medicine (NLM), Department of the National Institutes of Health (NIH), United States Department of Health and Human Services. Available at: <http://www.ncbi.nlm.nih.gov/pubmed/>

<sup>10</sup> EndNote X5, Thomson Reuters. Available at: <http://endnote.com/>

<sup>11</sup> Web of Science (WoS), formally ISI Web of Knowledge, Thomson Reuters. Available at: <http://thomsonreuters.com/thomson-reuters-web-of-science/>

<sup>12</sup> PubMed, Entrez Global Query Cross-Database Search System, National Center for Biotechnology Information (NCBI), National Library of Medicine (NLM), Department of the National Institutes of Health (NIH), United States Department of Health and Human Services. Available at: <http://www.ncbi.nlm.nih.gov/pubmed/>

<sup>13</sup> EndNote X5, Thomson Reuters. Available at: <http://endnote.com/>



## 560 2.2.2 Appraisal of evidence

561 The information retrieved was screened and evaluated by relevant domain experts from the CONTAM  
562 working group on nickel in food and used for the present assessment. Limitations in the information  
563 used are documented in this Scientific Opinion.

564 The selection of the scientific papers for inclusion or exclusion was based on consideration of the extent  
565 to which the study was relevant to the assessment or on general study quality considerations (e.g.  
566 sufficient details on the methodology, performance and outcome of the study, on dosing, substance  
567 studied and route of administration and on statistical description of the results), irrespective of the  
568 results.

## 569 2.3 Occurrence data submitted to EFSA

### 570 2.3.1 Data collection and validation

571 Following a mandate from the European Commission to EFSA, a call for annual collection of chemical  
572 contaminant occurrence data in food and drinking water, including nickel, was issued in December  
573 2010<sup>14</sup>. European national authorities and similar bodies, research institutions, academia, food business  
574 operators and other stakeholders were invited to submit analytical data on nickel in food and drinking  
575 water. The data for the present assessment were provided by organisations from 26 European  
576 countries. In addition, for some samples the EU was indicated as place of sampling without specification  
577 of the country while for other samples no information on sampling place was provided. All analytical  
578 results were reported as nickel without providing information on specific chemical forms.

579 The data submission to EFSA followed the requirements of the EFSA Guidance on Standard Sample  
580 Description for Food and Feed (EFSA, 2010a); occurrence data were managed following the EFSA  
581 standard operational procedures (SOPs) on 'Data collection and validation' and on 'Data analysis of food  
582 consumption and occurrence data'.

583 Data on nickel in food and drinking water submitted to EFSA by the beginning of January 2020 were  
584 considered for the present assessment. Data received after that date were not included.

### 585 2.3.2 Data analysis

586 Following EFSA's SOP on 'Data analysis of food consumption and occurrence data' to guarantee an  
587 appropriate quality of the data used in the exposure assessment, the initial dataset was carefully  
588 evaluated by applying several data cleaning and validation steps. Special attention was paid to  
589 identification of duplicates and to accuracy of different parameters such as 'Sampling country',  
590 'Sampling year', 'Sampling strategy', 'Analytical methods', 'Result express', 'Reporting unit', 'LOD/LOQ',  
591 and the codification of analytical results under FoodEx classification (EFSA, 2011a). The outcome of the  
592 data analysis is presented in Section 3.2.1 and Annex C, Table C.1.

593 The left-censored data (LCD) (results below LOD or below LOQ) were treated by the substitution  
594 method as recommended in the 'Principles and methods for the risk assessment of chemicals in food'  
595 (WHO/IPCS, 2009). The same method is indicated in the EFSA scientific report 'Management of left-  
596 censored data in dietary exposure assessment of chemical substances' (EFSA, 2010b) as an option in  
597 the treatment of LCD. The guidance suggests that the lower bound (LB) and upper bound (UB)  
598 approach should be used for chemicals likely to be present in the food (e.g. naturally occurring  
599 contaminants, nutrients and mycotoxins). The LB is obtained by assigning a value of zero (minimum  
600 possible value) to all samples reported as lower than the LOD (< LOD) or LOQ (< LOQ). The UB is  
601 obtained by assigning the numerical value of the LOD to values reported as < LOD and the LOQ to  
602 values reported as < LOQ (maximum possible value), depending on whether the LOD or LOQ is reported  
603 by the laboratory.

## 604 2.4 Food consumption data

605 EFSA Comprehensive European Food Consumption Database (hereinafter referred to as the  
606 Comprehensive Database) provides a compilation of existing national information on food consumption

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<sup>14</sup> <http://www.efsa.europa.eu/en/consultations/call/190410>

607 at the individual level. It was first built in 2010 (EFSA, 2011b; Huybrechts et al., 2011; Merten et al.,  
608 2011). Details on how the Comprehensive Database is used have been published in an EFSA Guidance  
609 (EFSA, 2011b). The latest version of the Comprehensive Database updated in 2020 contains results  
610 from a total of 69 different dietary surveys carried out in 25 different Member States covering 134,929  
611 individuals.

612 Within the dietary studies, subjects are classified in different age classes as follows:

613	Infants:	< 12 months old
614	Toddlers:	≥ 12 months to < 36 months old
615	Other children:	≥ 36 months to < 10 years old
616	Adolescents:	≥ 10 years to < 18 years old
617	Adults:	≥ 18 years to < 65 years old
618	Elderly:	≥ 65 years to < 75 years old
619	Very elderly:	≥ 75 years old

620 Seven surveys provide information on specific population groups: 'Pregnant women' (≥ 15 years to ≤  
621 48 years old) and 'Lactating women' (≥ 18 years to ≤ 45 years old).

622 Overall, the food consumption data gathered by EFSA in the Comprehensive Database are the most  
623 complete and detailed data currently available in the EU. Consumption data were collected using single  
624 or repeated 24- or 48-hour dietary recalls or dietary records covering from three to seven days per  
625 subject. Owing to the differences in the methods used for data collection, direct country-to-country  
626 comparisons can be misleading.

627 Detailed information on the different dietary surveys used in the present evaluation is shown in Annex  
628 B Table B.1, including the number of subjects and days available for each age class.

## 629 2.5 Food classification

630 Consumption data were classified according to the FoodEx classification system (EFSA, 2011a). FoodEx  
631 is a food classification system developed by EFSA in 2009 with the objective of simplifying the linkage  
632 between occurrence and food consumption data when assessing the exposure to hazardous substances.  
633 The system consists of a large number of individual food items aggregated into food groups and broader  
634 food categories in a hierarchical parent-child relationship. It contains 20 main food categories (first  
635 level), which are further divided into subgroups having 140 items at the second level, 1,261 items at  
636 the third level and reaching about 1,800 endpoints (food names or generic food names) at the fourth  
637 level.

## 638 2.6 Exposure assessment

639 The CONTAM Panel estimated chronic and acute dietary exposure to nickel. In Annex B Table B.1, the  
640 number of available days for each age class used in the acute exposure assessment is described beside  
641 the number of subjects available for the chronic exposure assessment.

642 Some of the occurrence data were obtained for food products containing seaweed (e.g. pasta, biscuits,  
643 soups). Since no consumption data for such specific products are available, these data could not be  
644 used for the overall chronic and acute exposure to nickel. However, the exposure from pasta containing  
645 seaweed was covered in a separate acute exposure scenario (see below).

646 For calculating chronic dietary exposure to nickel, dietary surveys with only 1 day per subject were not  
647 considered as they are not adequate to assess repeated exposure (EFSA, 2011a). Similarly, subjects  
648 who participated in the dietary studies for only 1 day when the protocol prescribed more reporting days  
649 per individual, were also excluded for the chronic exposure assessment. When, for one particular  
650 country and age class, two different dietary surveys were available, only the most recent one was used.

651 Thus, for the chronic exposure assessment, food consumption data were used from 44 different and  
652 most recent dietary surveys carried out in 23 different European countries present in the latest version  
653 of the Comprehensive Database (Annex B, Table B.1).

654 For calculating chronic dietary exposure to nickel, food consumption and body weight data at the  
655 individual level were accessed in the Comprehensive Database. Occurrence data and consumption data  
656 were linked at the relevant FoodEx level. In addition, the different food commodities were grouped  
657 within each food category to better explain their contribution to the total dietary exposure to nickel.  
658 The food categories represented by either very low number of samples (< 6 samples) or for which all  
659 data were below the LOD or LOQ were considered not suitable and were not used for the exposure  
660 calculation.

661 The mean and the high (95th percentile) chronic dietary exposures were calculated by combining nickel  
662 mean occurrence values for food samples collected in different countries (pooled European occurrence  
663 data) with the average daily consumption for each food at an individual level in each dietary survey  
664 and age class. Consequently, individual average exposures per day and body weight were obtained for  
665 all individuals. On the basis of distributions of individual exposures, the mean and 95th percentile  
666 exposure were calculated per survey and per age class. Dietary exposure was calculated using overall  
667 European LB and UB mean occurrence of nickel.

668 Before linking the consumption data to the corresponding occurrence data, the following adjustments  
669 to the occurrence and consumption data were made to reduce uncertainty and reach more accurate  
670 exposure estimates:

671 • Occurrence and consumption events for solid forms of certain foods (tea leaves, cocoa powder,  
672 cocoa powder preparations, cocoa beans, coffee powder, coffee beans, coffee imitates  
673 powder, concentrated/dehydrated/powdered fruit juices, dried milk and dehydrated soups)  
674 were adjusted by an appropriate dilution factor and these consumption events were  
675 reclassified to the liquid forms as this is considered more appropriate for the current  
676 assessment.

677 • Occurrence data and consumption events for solid forms of infant formulas and follow-on  
678 formulas were adjusted by a dilution factor of eight and reclassified to the liquid forms (as  
679 ready for feeding) as this is considered more appropriate for the current assessment.

680 • The nickel contamination in water and milk used for the dilution was not taken into account  
681 since it was considered unlikely that the water and milk would always contain nickel. This could  
682 lead to an underestimation of the exposure.

683 • Consumption events for cereal-based food for infants and young children were adjusted by a  
684 factor of 0.25 (when reconstituted with water) or 0.15 (when reconstituted with milk) when  
685 the eating occasions were reported as consumed (liquid) since the occurrence data mainly  
686 referred to the analysis of the food as purchased. This correction was based on the information  
687 given by the data provider as to whether the product is reconstituted with milk or water (EFSA  
688 et al., 2018b).

689 Acute dietary exposure to nickel was estimated using a probabilistic approach. A total of the 48 most  
690 recent dietary surveys carried out in 25 different European countries were used (Annex B Table B.1).  
691 Acute exposure was assessed for each reporting day by multiplying the total consumption amount for  
692 each food category by one occurrence level randomly drawn among the individual results available for  
693 that food category. Respective intakes of the foods consumed that day were then summed and finally  
694 divided by the individual's body weight. This process was iterated 1,000 times for each reporting day.  
695 For the calculations, the UB occurrence data were used. For each of these endpoints, the 95%  
696 confidence interval (CI) was defined as the 2.5th and 97.5th percentiles obtained from the 1,000  
697 iterations.

698 In addition, the CONTAM Panel considered that it is of interest to also estimate an acute exposure from  
699 specific foods or occurring within particular circumstances. Therefore, three additional specific acute  
700 exposure scenarios were developed and calculated as follows:

701 • Acute exposure from seaweed. The exposure was assessed on a per day basis by multiplying  
702 the mean and the highest reliable percentile consumption amount of each age class and survey  
703 by the 95th percentile occurrence level (6,269 µg/kg) of seaweed. It was noted that the 95th  
704 percentile UB and LB occurrence levels were equal. Due to the lack of consumption data, the  
705 exposure could be estimated only for a limited number of surveys.

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- 711
- Acute exposure from pasta containing seaweed. In the absence of consumption data for such specific food products, an amount of regular pasta was assumed as a proxy also for the pasta containing seaweed. The exposure was calculated on a per day basis by multiplying the mean and 95th percentile consumption amount of each age class and survey by the 75th percentile (the highest reliable percentile) occurrence level (1,521 µg/kg) of pasta containing seaweed. It was noted that the 75th percentile UB and LB occurrence levels were equal.
- 712
- Acute exposure from water, considering an adult subject drinking a glass of tap water or bottled water in the morning on empty stomach. The exposure was assessed by multiplying 500 mL of tap water or bottled water by the 95th percentile UB occurrence level of 5.0 or 11 µg/kg, respectively. A standard body weight of 70 kg for adults was considered.
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- 715

716 All analyses were run using the SAS Statistical Software (SAS enterprise guide 9.4).

## 717 2.7 Risk characterisation

718 The general principles of the risk characterisation for chemicals in food as described by WHO/IPCS  
719 (2009) were applied as well as the different EFSA guidance documents relevant to this step of the risk  
720 assessment (Appendix I, Section I.4).

# 721 3 Assessment

## 722 3.1 Hazard identification and characterisation

### 723 3.1.1 Toxicokinetics

#### 724 3.1.1.1. ADME

725 According to the data presented in the previous Opinion (EFSA CONTAM Panel, 2015) the bioavailability  
726 of nickel following ingestion depends on the solubility of the administered nickel compound, the dosing  
727 vehicle and the fasting state of the subject. Solomons et al. (1982) reported that when nickel was given  
728 in drinking water to fasted individuals nickel plasma levels increased significantly compared to non-  
729 exposed fasted individuals. The absorption of nickel when administered in meals was considerably  
730 lower, with plasma levels not being statistically significantly different from those in non-exposed fasted  
731 individuals. When nickel was given via a soft drink to fasted subjects, the absorption was similar to that  
732 observed with drinking water, whereas a lower increase in plasma levels was observed following  
733 administration in whole milk, coffee, tea, or orange juice. For healthy human volunteers, Sunderman  
734 et al. (1989) reported a mean absorption of  $27 \pm 17\%$  of the administered nickel dose when  
735 administered in drinking water after a 12 h fasting period, versus a mean absorption of  $0.7 \pm 0.4\%$   
736 when administered in food; the absorption was estimated based on excretion of nickel in the urine.  
737 Nielsen et al. (1999) reported that the cumulative median amount of nickel excreted in urine within  
738 three days after dosing was 2.26% (1.03–4.71%) when nickel was ingested together with food or  
739 mixed into food. Increasing amounts of nickel were excreted in the urine as the interval between intake  
740 of water and meal increased, with a cumulative median amount of 25.8% ( $25.00 \pm 11.02$ ) excreted in  
741 urine when food was served 4 h prior to ingestion of nickel-containing drinking water. Patriarca et al.  
742 (1997) reported, based on faecal excretion measurements, that 9–40% of nickel ingested in drinking  
743 water was absorbed in four fasted human volunteers. In laboratory animals, nickel was rapidly but  
744 poorly absorbed following ingestion, as suggested by the low urinary excretion observed in various  
745 studies (EFSA CONTAM Panel, 2015). A study in rats showed an absorption of around 10% when nickel  
746 sulphate or nickel chloride was administered in a 5% starch saline solution as vehicle (EFSA CONTAM  
747 Panel, 2015). After absorption, nickel is widely distributed in the organism of both animals and humans.  
748 Animal studies showed that nickel can be found in the peripheral nerve tissues and in the brain (EFSA  
749 CONTAM Panel, 2015). In studies with mice, nickel was shown to cross the placenta resulting in  
750 increased levels of nickel in the fetuses. There are some indications that the absorbed nickel can bind  
751 to serum proteins, in particular to albumin. Absorbed nickel is excreted mainly via the urine and to a  
752 lower extent in breast milk. An estimated elimination half-life of  $28 \pm 9$  h was calculated in human  
753 volunteers (EFSA CONTAM Panel, 2015).

754 Since the previous Opinion, Toman et al. (2014) investigated the distribution of nickel in selected organs  
755 of male Wistar rats after oral administration of nickel chloride hexahydrate in drinking water at a  
756 concentration of 100 mg /L for 90 days. This corresponds to a dose of nickel chloride hexahydrate of  
757 9 mg/kg bw per day applying the default factor of 0.09 for a subchronic study in rats (EFSA Scientific  
758 Committee, 2012a), equivalent to 2 mg Ni/kg bw per day. An untreated group served as the control.  
759 The concentration of nickel was statistically significantly lower in the muscle of the treated group  
760 ( $0.20 \pm 0.12$  mg/kg) compared with the control group ( $1.18 \pm 0.79$  mg/kg). No significant differences  
761 were observed for the liver, kidney and testis. These results indicate that nickel does not accumulate  
762 in tissues following repeated oral ingestion.

763 The divalent metal transporter 1 (DMT1; encoded by the *SLC11a2* gene which is polymorphic (Kayaalti  
764 et al., 2015)) mediates the transport of nickel and other divalent metal ions such as iron from the lumen  
765 of the intestine into the enterocyte and export of iron from endocytic vesicles. DMT1 also mediates  
766 apical uptake of divalent cations in the kidney, and has been shown to be involved in recovery of iron  
767 from recycling endosomes during transferrin receptor-associated cellular uptake in various cell types  
768 (Mackenzie and Garrick, 2005). There is evidence for accumulation of nickel in the brain (see Section  
769 3.1.2.7. Neurotoxicity). DMT1 is known to be involved in the transport of divalent iron into the cytosol  
770 of endosomal cells prior to transport across the blood–brain barrier by ferroportin (Skjørringe et al.,  
771 2015). Since nickel is also a substrate for DMT1, this transporter is likely to also be involved in nickel  
772 uptake into the brain.

### 773 3.1.1.2. Kinetic modelling

774 A toxicokinetic model developed for oral exposure to nickel by Sunderman et al. (1989) was described  
775 in the previous Opinion (EFSA CONTAM Panel, 2015). The model was based on two studies in eight  
776 human volunteers, in which levels of nickel in serum and faecal excretion were determined on the 2  
777 days before and 4 days after administration of nickel sulfate at dose levels of 12, 18 or 50  $\mu$ g Ni/kg bw  
778 in water or in food to the same subjects. The only estimated kinetic parameter that appeared  
779 significantly different between exposure in water and food was the fraction of the dose that was  
780 absorbed. The model was shown to adequately predict serum nickel levels.

781 Since the previous Opinion, one study of relevance for this mandate has been published (Dede et al.,  
782 2018). The aim of this study was to use physiologically based pharmacokinetic (PBPK) models to  
783 determine the optimal time for collecting biological samples in a longitudinal study to evaluate whether  
784 participants who consumed different foods had been exposed to arsenic, cadmium, chromium, nickel  
785 or lead. Only information relevant to nickel is presented here. The model was based on the parameters  
786 from experiment 2 in relation to the PBPK model developed by Sunderman et al. (1989) in which nickel  
787 levels were determined in serum, urine and faeces from eight human subjects who had been given an  
788 oral dose of nickel (as nickel sulfate) in food. As Sunderman et al. (1989) did not determine the rate of  
789 transfer from tissues to serum in experiment 2, Dede et al. (2018) used the nickel transfer from tissues  
790 to serum from experiment 1 in which nickel was administered in water. The unabsorbed fraction of  
791 nickel was accounted for by adding a faeces compartment to the model. The predictive performance of  
792 the modified model was tested by using data from two previous studies, i.e. Sunderman et al. (1989)  
793 and Nielsen et al. (1999). The predicted urinary excretion of nickel was shown to match closely with  
794 data from Sunderman et al. (1989). The mass fraction of the nickel dose absorbed from the gut was  
795 predicted to be  $0.7 \pm 0.4\%$  by Sunderman et al. (1989) when nickel was ingested via food. However,  
796 a higher nickel absorption from food of  $2.95 \pm 1.32\%$  was reported by Nielsen et al. (1999). In the  
797 Dede et al. (2018) model the most sensitive parameters were related to oral absorption of nickel. The  
798 model also showed that the urinary elimination rate of nickel was an additional sensitive parameter.

### 799 3.1.1.3. Summary

800 The bioavailability of ingested nickel ranged from about 1% to about 30% in human volunteers when  
801 evaluated based on analyses of nickel in plasma or urine. A low absorption (0.7–2.5%) was observed  
802 when nickel was ingested in the presence of food or under non-fasted state, whereas a higher  
803 absorption (25–27%) was observed when nickel was ingested via drinking water in the absence of  
804 food, or under a fasted state. The CONTAM Panel noted the low number of individuals examined in the  
805 three relevant human studies, as well as a considerable inter-individual variability in the measured  
806 parameters precluding a precise estimate of the oral bioavailability of nickel. A study in rats showed an



807 absorption of around 10% when nickel sulphate or nickel chloride was administered in a 5% starch  
808 saline solution as vehicle. After absorption, nickel is widely distributed in the organism. In a study with  
809 mice, nickel was shown to cross the placenta. There are also indications of transport across the blood  
810 brain barrier. Absorbed nickel is excreted mainly via the urine and to a lower extent in breast milk. An  
811 estimated elimination half-life of  $28 \pm 9$  hours was calculated in human volunteers. A recent PBPK  
812 model based on parameters from a previously published model showed that the most sensitive  
813 parameters were related to oral absorption of nickel. The model also showed that the urinary elimination  
814 rate of nickel was an additional sensitive parameter.

### 815 3.1.2 Toxicity in experimental animals

#### 816 3.1.2.1. Acute toxicity (single exposure)

817 According to the data presented in the previous Opinion (EFSA CONTAM Panel, 2015), water-soluble  
818 nickel compounds have shown moderate to high acute toxicity with LD<sub>50</sub> values ranging from 39 to  
819 190 mg Ni/kg bw for nickel sulfate, 43–130 mg Ni/kg bw for nickel chloride, > 404 mg Ni/kg bw for  
820 nickel nitrate, and 116–325 mg Ni/kg bw for nickel acetate.

821 Since the previous Opinion, no acute toxicity studies of relevance for this mandate have been identified.

#### 822 3.1.2.2 Short-term toxicity (5–90 days)

823 According to the data presented in the previous Opinion (EFSA CONTAM Panel, 2015), the major effects  
824 observed in the short-term repeated-dose toxicity studies following oral administration were decreased  
825 body weight, changes in organ weight (liver and kidneys), and histopathological changes in the liver  
826 and the kidney.

827 Since the previous Opinion, nine short-term toxicity studies of relevance for this mandate have been  
828 published (details are reported in Appendix II.1). The reporting of several studies does not allow the  
829 CONTAM Panel to evaluate the results and these studies are only reported in Appendix II.1.

830 In a study aiming to analyse the biochemical parameters of blood plasma, male Wistar rats were  
831 administered nickel chloride hexahydrate in the drinking water at concentrations of 0 or 100 mg /L  
832 (corresponding to 2 mg Ni/kg bw per day based on the default factor of 0.09 for a subchronic study in  
833 rats set by the EFSA Scientific Committee (2012a)) daily for 90 days (Toman et al., 2013). Potassium,  
834 calcium, magnesium, total proteins, cholesterol, bilirubin and glutamate dehydrogenase concentrations  
835 were significantly decreased when compared with the control values, and glucose and alkaline  
836 phosphatase concentrations were significantly increased.

837 In a study on the effects on bone composition, adult male mice were administered nickel sulfate or  
838 nickel nitrate by oral gavage daily for 40 days (0, 5.0, 15 or 40 or 5.0, 20 or 40 mg/kg bw per day,  
839 respectively) (Gathwan and Al-Karkhi, 2015). Assuming that the doses are expressed as nickel salt, the  
840 corresponding doses of nickel are 1.9, 5.7 and 15.2 mg Ni/kg bw per day for nickel sulfate and 1.6, 6.4  
841 and 12.8 mg Ni/kg bw per day for nickel nitrate. The control group was on a normal diet and water.  
842 The intake of feed and water was lower in treated mice as compared to the control group and, according  
843 to the authors, the decrease was dose-dependent (no data presented in the article). The femur bone  
844 weight was significantly decreased in the mid- and high-dose groups. Histopathologically, necrosis to  
845 layers of decalcified bone, i.e. periosteum, matrix and endosteum was observed with both nickel salts.  
846 The bone-forming cells, lamellae and Haversian canals were also affected. The cortical width of bone  
847 section decreased dose-dependently with both nickel salts. Such changes were also observed in samples  
848 of powdered dried bone with scanning electron microscopy (SEM). According to the authors, the effects  
849 of nickel sulfate were more severe than those of nickel nitrate. The CONTAM Panel noted that the doses  
850 causing effects, expressed as nickel, were higher for nickel sulfate than for nickel nitrate, which could  
851 explain the differences in toxicity reported by the authors.

852 In a more recent publication by the same group (Gathwan and Albir, 2019) effects on bone composition  
853 were also examined in adult male mice. The CONTAM Panel was not able to evaluate the results of this  
854 study based on the two-page article without details.

855 The gut microbiota are critical for healthy functioning of the gut. In humans and animals, changes in  
856 the gut microbial population are associated with multiple health problems. In humans, this includes



857 obesity and inflammatory bowel disease. The CONTAM Panel identified two studies investigating the  
858 effect of nickel on gut microbiota.

859 In a study aiming to gain a more comprehensive understanding of the effects of metal exposure on the  
860 gut microbiota, Richardson et al. (2018) exposed rats to nickel chloride. Sprague–Dawley rats were  
861 administered nickel chloride by oral gavage at doses of 0, 177, 232, or 300 mg/kg bw per day  
862 (corresponding to 0, 80, 105 or 136 mg Ni/kg bw per day) daily for five consecutive days. 16S ribosomal  
863 RNA (rRNA) gene sequencing was used to track changes in the gut microbiota composition. Significant  
864 dose-dependent changes were observed in response to nickel. Bacteria with higher numbers of iron-  
865 importing gene orthologs were overrepresented after exposure to nickel.

866 In a study examining the effect of oral nickel exposure on intestinal microflora, female mice were  
867 administered water containing 400 µM nickel sulfate hexahydrate for 21 days (Zhou et al., 2019). Based  
868 on the default factor of 0.18 for a subacute study in mice (EFSA Scientific Committee, 2012a) and the  
869 molecular weight of 262.85 g/mol for nickel sulfate hexahydrate, the corresponding dose is 4 mg Ni/kg  
870 bw per day. The control group received pure water. There was no significant difference in body weight  
871 between the treated group and the control group. The nickel concentration in the kidney of treated  
872 mice was significantly higher compared to the controls. Regarding the influence on gut microbiota, the  
873 authors concluded that orally administered nickel could change the intestinal flora in mice and thus  
874 could alter the interaction between the host and the intestinal flora.

875 In summary, the short-term toxicity studies published since the previous Opinion have reported similar  
876 effects as the studies reported in the previous Opinion. Furthermore, effects on bone and on gut  
877 microbiota were reported.

### 878 **3.1.2.3 Genotoxicity**

879 In 2015, the CONTAM Panel concluded that '*soluble nickel compounds are not mutagenic in bacterial*  
880 *cells and, in general, weakly mutagenic in mammalian cells in vitro. Chromosomal effects due to both*  
881 *aneugenic and clastogenic activity of soluble nickel compounds have been observed in mammalian cells*  
882 *in vitro. The evidence for in vivo induction of chromosomal alterations is inconsistent. There is evidence*  
883 *for the induction of DNA damage by soluble nickel compounds both in vitro and in vivo.'* It was also  
884 shown that soluble nickel compounds can induce morphological transformation of mammalian cells *in*  
885 *vitro*.

886 Since the previous Opinion (EFSA CONTAM Panel, 2015), 12 new studies have been identified and they  
887 are summarised in Tables 1 and 2. The papers by Terpilowska and Siwicki (2018) and Czarnek et al.  
888 (2019) are not included in Table 1 due to the limited reporting and the unreliable results for the controls.  
889 The *in vivo* study by Mitkovska et al. (2017) is not included in Table 2 due to the lack of the identification  
890 of the compound tested and the absence of a validation of the methodology.

891 **Table 1:** *In vitro* new genotoxicity studies on nickel

Endpoint	Experimental test system	Test substance	Exposure conditions	Result	Comments	Reference
SSBs (Comet assay)	Primary normal human dermal fibroblasts	NiCl <sub>2</sub> (purity: 99.99%) Negative and positive controls: substance not specified	5,000; 10,000; 25,000; 50,000 µM 2 h exposure	Increased SSBs only at 50,000 µM (tail moment)  <b>Positive</b>	According to protocol by Singh et al. (1988)	Belliardo et al., 2018
SSBs (Comet assay)	Human B lymphoblastoid cell line HMy2.CIR	NiCl <sub>2</sub> (purity: not specified) Solvent: not specified Negative control: solvent	0, 80, 160, 320, 640 µM 24 or 48 h exposure	Increased SSBs only at 640 µM at 24 h and 48 h (% DNA in the tail).  <b>Positive</b> 640 µM: increased ROS levels at 48h but not at 24h  160, 320, 640 µM: increased MDA levels at 24 h and 48 h	According to protocol by Singh et al. (1988)  640 µM: modest inhibition of viability at 24 h  160, 320, 640 µM: inhibition of viability at 48 h	Lou et al., 2013
DSBs (γ-H2AX by western analysis)	Human Hep G2 (hepatoblastoma) and LS-174T (colorectal adenocarcinoma) cells	NiCl <sub>2</sub> (purity >95%) Solvent: water Negative control: solvent Positive control: 1 µM benzo[a]pyrene	100, 250, 500, 750 and 1,000 µM 24 h exposure	<b>Negative</b>	Dose-dependent decrease in cell viability (up to 50%)	Kopp et al., 2018
Micronuclei, NPB, and NBUD (cytokinesis-block micronucleus cytome test)	Immortalised human bronchial epithelial cell line (BEAS-2B)	Water-soluble nickel (II) chloride (NiCl <sub>2</sub> ·6H <sub>2</sub> O) Negative control: untreated cells Positive control: mitomycin C	1, 5 and 10 µg/mL Exposure: 48 h	The frequency of micronuclei in binucleated cells was significantly higher than for control cells for the two highest concentrations tested NiCl <sub>2</sub> increased NPB and NBUD frequencies.  <b>Positive</b>	NiCl <sub>2</sub> showed a significant cytostatic effect and also reduced the mitotic index.	Di Bucchianico et al., 2018

Endpoint	Experimental test system	Test substance	Exposure conditions	Result	Comments	Reference
Chromosomal aberrations	Immortalised human bronchial epithelial cell line (BEAS-2B)	Water-soluble nickel (II) chloride (NiCl <sub>2</sub> ·6H <sub>2</sub> O) Negative control: untreated cells Positive control: mitomycin C	1, 5 and 10 µg/mL Exposure: 48 h	NiCl <sub>2</sub> significantly increased the rate of chromatid-type aberrations and induced both inter- and intra-arm exchanges. It also induced chromosome-type aberrations, mainly the formation of dicentric chromosomes as well as endo-reduplications. Various degrees of aneuploidy such as trisomy, and to a lesser extent monosomy, particularly involving chromosomes 1, 3, 14, 20 and 21. The mitotic index slightly decreased following NiCl <sub>2</sub> exposures.		Di Bucchianico et al., 2018
SSBs, (Comet assay)	Immortalised human bronchial epithelial cell line (BEAS-2B)	Water-soluble nickel (II) chloride (NiCl <sub>2</sub> ·6H <sub>2</sub> O) Negative control: untreated cells Positive control: H <sub>2</sub> O <sub>2</sub>	1, 5 and 10 µg/mL Exposure: 48 h	Modest increases of SSBs compared to control without clear dose response.  Increased ROS levels.  NiCl <sub>2</sub> caused a statistically significant increase in intracellular Ca <sup>2+</sup> .		Di Bucchianico et al., 2018
DSB (Neutral Comet assay)	A549 cells: human lung carcinoma BEAS-2B cells: non-tumorigenic cells, immortalized cell line derived from normal	Water soluble nickel (II) chloride (NiCl <sub>2</sub> ) Negative control: water	A549 cells: 0, 100, 250 and 500 µM BEAS-2B cells: 0, 100 and 250 µM Exposure : 45 h +/- irradiation (5 Gy IR)	0 µM NiCl <sub>2</sub> : no increase in DSB in irradiated cells (repair completed at 24 hrs)  >100 µM: concentration-dependent increase in DSB persisting 24 h post-irradiation in irradiated cells		Scanlon et al., 2017

Endpoint	Experimental test system	Test substance	Exposure conditions	Result	Comments	Reference
	human bronchial epithelium		Harvesting: 24 h post irradiation	At 250 $\mu$ M (BEAS-2B) and 500 $\mu$ M (A549): small increases in the median comet tail moment were observed in non-irradiated cells  <b>Positive</b>  Nickel inhibits repair of IR-induced DSB in tumorigenic and non-tumorigenic lung cells		

892 DSBs: double-strand breaks; IR: irradiation; MDA: malondialdehyde; NiCl<sub>2</sub>: nickel chloride; ROS: reactive oxygen species; SSBs: single-strand breaks; NPB: nucleoplasmic bridges (a biomarker of  
893 DNA misrepair and/or telomere end-fusions), NBUD: nuclear buds (a biomarker of elimination of amplified DNA and/or DNA repair complexes).

894 **Table 2:** *In vivo* new genotoxicity studies on nickel

Endpoint and experimental system	Test substance	Exposure conditions	Result	Comments	Reference
<b>Chromosomal aberrations</b> Male mice bone marrow N=5/group	NiCl <sub>2</sub> Vehicle: not specified Positive control: endoxan Negative control: vehicle	Single i.p. treatment at 0, 2.62, 5.25, 10.5 and 21.0 mg/kg bw Harvesting: 24 h	Single treatment: dose-dependent increase in % abnormal metaphases (fragment/breaks, deletions, translocations, endomitosis) from 5.25 mg/kg bw onwards <b>Positive</b>	Cumulative effect of repeated dosing of NiCl <sub>2</sub>	Fahmy et al., 2014
		Repeated i.p. treatment at 2.62, 5.25 and 10.5 mg/kg bw per day for 1, 2 or 3 weeks	Repeated treatment: 1 week: increased % of abnormal metaphases at the two highest doses second and third weeks: increased abnormal metaphases at all doses <b>Positive</b>		
<b>Chromosomal aberrations</b> Male mice spermatocytes N=5/group	NiCl <sub>2</sub> Vehicle: not specified Positive control: endoxan Negative control: vehicle	Single i.p. treatment at 0, 2.62, 5.25, 10.5 and 21.0 mg/kg bw Harvesting: 24 h	Single treatment: dose-dependent increase in % abnormal metaphases (separation of X-Y and autosomal univalent, fragment/breaks) from 5.25 mg/kg bw <b>Positive</b>	The authors report a significant dose-dependent increase in the % of sperm abnormalities (heads and tails)	Fahmy et al., 2014
		Repeated i.p. treatment at 2.62, 5.25 and 10.5 mg/kg bw per day for 1, 2 or 3 weeks	Repeated treatment: Dose- and time-dependent increase in % abnormal metaphases at all doses <b>Positive</b>		
<b>Chromosomal aberrations</b> (structural and numerical) adult male Swiss albino mice Bone marrow N=12/group	NiCl <sub>2</sub> Vehicle: saline Positive control: not included Negative control: vehicle	2.3, 4.7 and 7.0 mg/kg bw (s.c. injection) 24 h exposure 800 cells scored	Dose-related increase in % aberrant cells (without gaps) (significant only at the highest dose) (induction of gaps, breaks, fragments and exchanges) Increase incidence of aneuploidy in all groups. Ratio hypoploidy (38/39 chromosomes) /hyperploidy (41/42 chromosomes): between 2.1 and 3:1. Increased incidence of polyploidy (3 N)	Clastogenic and aneugenic effects were associated with oxidative stress (increased lipid peroxidation and NO, decreased GSH levels) and cytotoxicity (Lactate dehydrogenase)	El-Habit and Moneim, 2014

Endpoint and experimental system	Test substance	Exposure conditions	Result	Comments	Reference
<b>Positive</b>					
<b>Micronuclei</b> Adult male Swiss albino mice Bone marrow N=12/group	NiCl <sub>2</sub> Vehicle: saline Positive control: not included Negative control: vehicle	2.3, 4.7 and 7.0 µmol/kg bw (s.c. injection) Harvesting; 24 h	Significant increase of MNPCE (4–9-folds) at all doses Dose-related decrease in PCE/NCE <b>Positive</b>	500 PCE scored	El-Habit and Moneim, 2014
<b>SSBs (comet assay)</b> Adult male Swiss albino mice Bone marrow N=12/group	NiCl <sub>2</sub> Vehicle: saline Positive control: not included Negative control: vehicle	2.3, 4.7 and 7.0 µmol/kg bw (s.c. injection)	Dose-dependent increase in SSBs at all doses <b>Positive</b>		El-Habit and Moneim, 2014

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NiCl<sub>2</sub>: nickel chloride; i.p.: intraperitoneal; N: number of animals; PCE: polychromatic erythrocytes; NCE: normochromatic erythrocytes; MNPCE: micronucleated polychromatic erythrocytes; s.c.: subcutaneous; SSBs: single-strand breaks; GSH: glutathione.



897 ***In vitro***

898 In the previous EFSA Opinion, it was shown that nickel compounds are inactive in almost all bacterial  
899 mutagenicity tests and are weakly mutagenic in cultured mammalian cells. Several reports indicate that  
900 nickel ions may be co-mutagenic (e.g. with alkylating agents or ultraviolet light). This is likely due to  
901 interference with DNA repair processes. It was demonstrated that nickel can alter gene expression by  
902 enhanced DNA methylation and compaction. It is important to note that most of the evidence of nickel  
903 mutagenesis in mammalian cells was obtained using transgenic cell lines (e.g. locus *gpt* in G12 and  
904 G10 cell lines, *lac I* in an embryonic fibroblast cell line) (Klein et al., 1994; Kargacin et al., 1993; Mayer  
905 et al., 1998; Kasprzak et al., 2003).

906 It was also shown that water-soluble and poorly water-soluble nickel compounds induce sister  
907 chromatid exchanges, chromosomal aberrations and micronuclei at high (mM), cytotoxic levels in  
908 different mammalian cell systems. These effects are likely due to aneugenic as well as clastogenic  
909 actions. It was reported that the chromosomal aberrations induced by nickel occurred predominantly  
910 in heterochromatic regions of the chromosomes. Water-soluble as well as water insoluble nickel  
911 compounds have been shown to induce DNA single-strand breaks (SSBs), DNA-protein cross-links and  
912 oxidative base damage in mammalian test systems.

913 The genotoxicity data published since the previous EFSA assessment (see Table 1) confirm that soluble  
914 nickel compounds induce DNA damage *in vitro* as visualised in alkaline comet assays indicating the  
915 formation of SSBs (Lou et al., 2013; Belliardo et al., 2018). In a recent study it was shown that nickel  
916 chloride induces micronuclei, chromosomal aberrations and SSBs in immortalised human bronchial  
917 epithelial cells (Di Bucchianico et al., 2018). However, no increase of double strand breaks (DSBs) as  
918 measured by a  $\gamma$ H2AX assay was observed in the human hepatoblastoma Hep G2 and colorectal  
919 adenocarcinoma LS-174T cell lines (Kopp et al., 2018). Finally, Scanlon et al. (2017) investigated the  
920 biological consequences of the inhibition by nickel of homology-dependent DSBs repair (HDR). By  
921 reducing this repair pathway, low doses of nickel increased ionizing radiation-induced DSBs (as  
922 measured by a neutral comet assay) in immortalized bronchial cells or in lung carcinoma cells. At high  
923 doses of nickel small increases of DSBs were also observed in non-irradiated cells indicating a defective  
924 repair of spontaneous DSBs.

925 ***In vivo***

926 As reported previously, *in vivo* mutation studies with nickel compounds were mostly conducted in  
927 *Drosophila melanogaster* and showed weakly positive effects. The mutagenic effects of nickel sulfide  
928 were tested *in vivo* in LacZ transgenic CD2F1 mice and in lacI transgenic F34 rats. In nasal mucosa and  
929 lung tissue, no increase of mutation frequencies was observed compared with negative controls (Mayer  
930 et al., 1998).

931 The induction of chromosomal aberrations and micronuclei in rodents treated with different nickel  
932 compounds is not consistent across studies. As reported previously, following oral, intraperitoneal (i.p.)  
933 or subcutaneous (s.c.) administration, both positive (El-Habit and Moneim, 2014; Sobti and Gill, 1989)  
934 and negative (Deknudt and Leonard, 1982; Oller and Erexson, 2007) results were obtained. In the  
935 more recent publications (see Table 2), increased chromosomal aberrations and micronuclei were  
936 observed in mouse bone marrow after i.p. or s.c. exposure to nickel chloride, and chromosomal  
937 aberrations were also observed in spermatocytes after i.p. exposure.

938 Both soluble and insoluble nickel compounds give rise to both DNA breaks and DNA-protein cross-links  
939 *in vivo* after oral exposure or s.c. injection (Saplakoglu et al 1997; Kawanishi et al. 2002; Danadevi et  
940 al. 2004; Kasprzak et al., 2003). The formation of SSBs was confirmed in a new alkaline comet assay  
941 in mice after s.c. injection (Table 2).

942 As reported in the previous opinion, DNA damage and chromosomal alterations have been analysed in  
943 cells from nickel-exposed workers (e.g. from an electrolytic nickel refinery or welders) with inconsistent  
944 findings since both positive (Werfel et al., 1998; Danadevi et al., 2004) and negative studies (Kiilunen  
945 et al., 1997) were reported. A positive association between nickel levels and the level of oxidative DNA  
946 lesions (fpg-sensitive sites) was observed in an urban population in Germany (Merzenich et al., 2001).  
947 Increases in micronuclei have also been reported in the oral epithelial cells of children exposed to nickel  
948 via metal crowns (Morán-Martínez et al., 2013).

949 The CONTAM Panel noted that several of the new studies had limitations in their design and/or reporting  
950 as indicated in the Tables.

951 In summary, new data confirm that soluble nickel compounds induce structural and numerical  
952 chromosomal aberrations and SSBs *in vitro* and *in vivo*. Based on the available data, the genotoxicity  
953 of nickel is likely due to indirect effects including inhibition of DNA repair and reactive oxygen species  
954 (ROS) production (see Section 3.1.4.1).

#### 955 **3.1.2.4 Long-term toxicity (including carcinogenicity)**

956 No tumours have been observed in the carcinogenicity studies in experimental animals after oral  
957 administration of soluble nickel compound (EFSA CONTAM Panel, 2015).

958 Since the previous Opinion, no long-term toxicity studies or carcinogenicity studies of relevance for this  
959 mandate have been identified.

#### 960 **3.1.2.5 Reproductive and developmental toxicity**

961 In the previous Opinion (EFSA CONTAM Panel, 2015) several findings were reported. In rats, oral  
962 administration of nickel compounds does not induce alterations in reproductive tissues and no adverse  
963 effects on fertility or reproductive performances were reported (Obone et al., 1999; Ambrose et al.,  
964 1976; RTI, 1988a; Smith et al., 1993; SLI 2000a,b). However, in mice, effects on male sex organ  
965 weights, histopathological changes in these organs, disturbed spermatogenesis, decreased sperm  
966 motility and sperm damage have been reported in studies after oral exposure to nickel compounds  
967 (Pandey et al., 1999; Pandey and Srivastava, 2000) at doses of  $\geq 2.2$  mg Ni/kg bw per day. These  
968 effects were responsible for a decrease in fertility. Limitations in these studies preclude their use for  
969 the establishment of a reference point.

970 There is consistent evidence of increased pup mortality (stillbirth or post-implantation loss/perinatal  
971 lethality) after exposure of rats to nickel chloride or sulfate in several reproductive toxicity studies at  
972 doses  $\geq 1.3$  mg/kg bw per day (range-finding reproductive toxicity study (SLI 2000a), 2-generation  
973 reproductive toxicity study (SLI 2000b and RTI 1988a,b); Smith et al., 1993). For developmental  
974 toxicity, nickel crosses the placental barrier, directly affecting the developing embryo or fetus.  
975 Decreases in fetal weight (at doses  $\geq 92$  mg Ni/kg bw per day in mice exposed from gestation day (GD)  
976 6–13, Saini et al., 2013) or pup weight (at doses of 6.8 mg/kg bw per day in rats exposed during one  
977 generation, Smith et al., 1993) were observed at higher doses. In mice exposed to nickel chloride,  
978 malformations, reduced ossification and increased incidence of skeletal anomalies were observed at  
979 doses  $\geq 92$  mg Ni/kg bw per day in the presence of maternal toxicity. Microphthalmia was observed at  
980 46 mg Ni/kg bw per day in the absence of maternal toxicity (Saini et al., 2013). Nickel is a  
981 developmental toxicant inducing fetotoxicity, embryotoxicity and teratogenicity.

982 In 2015, the CONTAM Panel concluded that the most suitable and reliable dose–response information  
983 for developmental and reproductive effects are those reported in the studies by SLI (2000a,b). The  
984 most relevant information is copied below and further details are provided in the previous Opinion  
985 (EFSA CONTAM Panel, 2015).

986 In a one-generation dose-range-finding study, significant increases in post-implantation losses were  
987 observed in the offspring of Sprague–Dawley rats administered  $\geq 6.6$  mg Ni/kg bw per day as nickel  
988 sulfate hexahydrate via gavage for 14 days prior to mating, during mating, and gestation (SLI, 2000a).  
989 The number of dead pups at lactation day 0 (stillbirth) was significantly increased in all exposure groups  
990 except the 11 mg Ni/kg bw per day group, and at 17 mg Ni/kg bw per day, a decreased mean litter  
991 size was observed. No effect on the growth of surviving F1 pups during lactation and no effect on the  
992 survival or growth of F1 pups from postnatal day (PND) 22 for several weeks following weaning was  
993 observed (see Table 3). In 2015, the CONTAM Panel identified a NOAEL for parental toxicity of 17 mg  
994 Ni/kg bw per day (the highest dose tested) and a LOAEL of 2.2 mg Ni/kg bw per day for offspring  
995 toxicity, based on the number of dead pups at PND 0.

996 **Table 3:** One-generation dose range-finding study in rats (SLI, 2000a)

Dose (mg Ni/kg bw per day)	0 <sup>(a)</sup>	2.2	4.4	6.6	11	17
Mean post-implantation loss	0.4	2.6	1.5	2.3*	2.7**	4.8**
Number of litters with post-implantation loss	2/8	5/8	6/8	6/7	7/7	8/8
Number of litters with at least three post-implantations losses	0/8	1/8	1/8	2/7	3/7	7/8
Number of dead/live pups, day 0	1/128	12/100**	10/106**	10/92**	4/89	23/80**

997 bw: body weight; Ni: nickel.  
 998 (a): Historical control: mean: 1.5 (0.88–2.31).  
 999 \* p < 0.05  
 1000 \*\* p < 0.01  
 1001

1002 In a two-generation reproduction toxicity study, nickel sulfate hexahydrate was administered by gavage  
 1003 to Sprague–Dawley rats at levels of 0, 0.2, 0.6, 1.1 and 2.2 mg Ni/kg bw per day (SLI, 2000b).  
 1004 According to the authors, no effect on F1 or F2 pup viability and growth was observed in the offspring  
 1005 of rats administered up to the highest dose tested, 2.2 mg Ni/kg bw per day. The authors reported  
 1006 therefore a NOAEL for developmental toxicity of 2.2 mg/kg bw per day. The mean combined post-  
 1007 implantation/perinatal lethality until PND 0 among the F1 offspring was higher at 2.2 mg Ni/kg bw per  
 1008 day. However, the difference was not statistically significant (Table 4). In the F2 offspring, the value  
 1009 was similar to the F2 control value. Historical control group mean values for post-implantation/pre-natal  
 1010 loss at day 0 from eight studies ranged from 0.88 to 2.31 pups per litter. The value of 2.1 per litter for  
 1011 the group exposed to 2.2 mg Ni/kg bw per day is within this range. There was no statistically significant  
 1012 effect on post-implantation/perinatal lethality in the F2 offspring.

1013 In 2015, the CONTAM Panel decided to apply a BMD approach to derive a reference point on the dose–  
 1014 response curve.

1015 **Table 4:** Two-generation study in rats (SLI, 2000b)

Dose (mg Ni/kg bw per day)	0 <sup>(a)</sup>	0.2	0.6	1.1	2.2
<b>F0/F1 generation</b>					
Mean post-implantation loss day 0	0.9	1.5	1.2	1.3	2.1
Number of litters with post-implantation loss (%)	13/25 (52)	18/26 (69)	15/25 (60)	19/26 (73)	19/28 (68)
Number of litters with at least three post-implantation losses (%) <sup>(b)</sup>	3/25 (12)	3/26 (12)	5/25 (20)	5/26 (19)	9/28 (32)
<b>F1/F2 generation</b>					
Mean post-implantation loss day 0	0.9	1.9	1.3	1.3	1.2
Number of litters with post-implantation loss (%)	13/24 (54)	18/26 (69)	16/25 (64)	18/23 (78)	14/24 (58)
Number of litters with at least three post-implantation losses (%) <sup>(b)</sup>	0/24 (0)	4/26 (15)	3/25 (12)	3/23 (13)	4/24 (17)

1016 bw: body weight; Ni: nickel.  
 1017 (a): Historical control: mean: 1.5 (0.88–2.31).  
 1018 (b): The cut-off of three post-implantation losses was based on the maximum value in the historical controls of 2.31.  
 1019

1020 Since the previous Opinion, three studies of relevance for this mandate have been identified.

1021 **Reproductive toxicity**

1022 Adult Wistar rats were administered nickel chloride by gavage daily for 28 days at 0, 5.25, 10.5 and  
1023 21 mg/kg bw (assuming that the doses are expressed as nickel chloride, the corresponding doses of  
1024 nickel are 1.0, 4.8 and 9.5 mg/kg bw per day) (Lambade et al., 2015; see also Appendix II.1). According  
1025 to the authors, testes of mid- and high-dosed rats showed severe testicular degeneration, which was  
1026 described by the authors as 'emptying of the seminiferous tubules'. Most of the seminiferous tubules  
1027 contained necrotic cell debris and proteinaceous material besides degenerative changes in  
1028 spermatogonia cells. The CONTAM Panel noted that except for a figure of a slide, the histopathological  
1029 changes in the testes are only descriptive and no information on incidence and severity in the various  
1030 groups is presented.

1031 **Developmental toxicity**

1032 Effects of nickel on the postnatal development of Swiss albino mice exposed during the three gestation  
1033 periods were examined (Saini et al., 2014a). Nickel chloride hexahydrate was administered to pregnant  
1034 females by gavage (46, 92, or 185 mg Ni/kg bw) during GD 0–5 (pre-implantation period), GD 6–13  
1035 (organogenetic period), or GD 14–18 days (fetal period). The pregnant females were allowed to reach  
1036 term, deliver normally, and raise their pups. The litter size and sex ratio of neonates were recorded.  
1037 The offspring were examined for morphological anomalies, also the eye opening, pinna detachment,  
1038 hair appearance, vaginal opening, and testes descent. The weights of the offspring were recorded  
1039 weekly up to the age of 6 weeks to determine their growth. A significant decrease in litter size was  
1040 observed after exposure to 185 mg Ni/kg bw during all three gestation periods, as well as after exposure  
1041 to 92 mg/kg bw during the pre-implantation period in comparison with the control group. Mortality was  
1042 observed at the highest dose administered during all three gestation periods, and also at the mid-dose  
1043 administered during the organogenetic and fetal periods. The mortality was higher in the fetal period  
1044 as compared to the organogenetic period. Administration during the organogenetic period caused  
1045 morphological anomalies in eye, limb, and tail at the highest dose and in eye at the mid-dose. The  
1046 gestation index<sup>15</sup> was decreased following administration during the pre-implantation period, but to the  
1047 same extent at all three doses. The live birth index was decreased following administration of the  
1048 highest dose during the pre-implantation and organogenetic periods. The viability and weaning indices  
1049 were decreased following administration of the highest dose during all three gestation periods, and of  
1050 the mid-dose during the organogenetic and fetal periods. A significant decrease in offspring body weight  
1051 was observed at all doses administered during the organogenetic period, at the two highest doses  
1052 during the fetal period and at the highest dose during the pre-implantation period. The CONTAM Panel  
1053 concluded that nickel affects both pre- and postnatal development in a dose-dependent manner after  
1054 pre-natal exposure.

1055 The effects of nickel on developmental parameters in Swiss albino mice during the pre-implantation  
1056 period were investigated (Saini et al., 2014b). Nickel chloride hexahydrate was administered to  
1057 pregnant females by gavage (46, 92, or 185 mg Ni/kg bw) during GD 0–5. Dams were sacrificed by  
1058 cervical dislocation on GD 18 and the uteri were examined. A significant decrease in maternal and fetal  
1059 body weight was found for the mid- and highest dose groups. A dose-dependent significant reduction  
1060 in the number of implant sites and live fetuses per dam, increase in the number of resorptions, post-  
1061 implantation deaths and decrease in placental weight was reported. For the lowest dose, the skeleton  
1062 of the fetuses exhibited reduced ossification of nasal, parietal, intraparietal (5.8%), metatarsals, and  
1063 phalanges (11.7%) while some revealed absence of ossification. The degree of malformation was more  
1064 pronounced at the highest dose (185 mg Ni/kg bw) with increased reduction of skull ossification  
1065 (22.7%), reduced number of ribs (4.5%), sternbrae (13.6%), caudal vertebrae (4.5%), and  
1066 absence/reduced ossification of forepaws and hind paws (27.2%).

1067 **Summary**

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<sup>15</sup> The calculated indices were defined as follows:

gestation index (%) = (number of females delivering live young / number of females with evidence of pregnancy) x 100;

live birth index (%) = (number of live offspring / number of offspring delivered) x 100;

viability index = (number of live offspring at PND 4, 7, 14 / number of offspring delivered) x 100;

weaning index (%) = (number of live offspring at day 21 / number of live offspring born) x 100.

1068 Effects on male sex organ weights, histopathological changes in these organs, disturbed  
1069 spermatogenesis, decreased sperm motility and sperm damage have been reported previously in  
1070 studies in mice after oral exposure to nickel compounds. These effects were responsible for a decrease  
1071 in fertility. A recent short-term toxicity study (28 days) with limited reporting suggested that nickel also  
1072 may cause damage to the testes (testicular degeneration) of rats.

1073 There is consistent evidence in previous studies of developmental toxicity in rats in form of increased  
1074 pup mortality (stillbirth or post-implantation loss/perinatal lethality) and decreased pup weight) after  
1075 oral exposure to nickel compounds. Developmental toxicity was also observed in previous studies in  
1076 mice (decreased fetal weight, malformations) but at higher doses than for rats. Two recent studies  
1077 confirmed that nickel caused developmental toxicity in mice when administered during different  
1078 gestational periods at doses higher than those resulting in developmental toxicity in rats.

### 1079 **3.1.2.6. Immunotoxicity**

1080 The Panel did not identify animal studies that would be suitable for risk assessment of allergic reactions  
1081 after oral exposure to nickel. Animal studies that were identified were focused on mechanisms of  
1082 immunotoxicity caused by nickel and are described in Section 3.1.4.4.

### 1083 **3.1.2.7. Neurotoxicity**

1084 Since the previous Opinion, three neurotoxicity studies of relevance for this mandate have been  
1085 published.

1086 Neurobehavioural changes induced by nickel were investigated in inbred male Kunming mice (6 or 8  
1087 animals per group,  $25 \pm 3$  g, age not mentioned) administered nickel chloride hexahydrate orally by  
1088 gavage in sterile water at doses of 0, 5, 50 mg Ni/kg bw (He et al., 2013a). Spatial memory performance  
1089 was evaluated by using the Morris water maze (escape latency) and locomotor activity was evaluated  
1090 by the open field test (total distance travelled) at 1, 3, 12, 24, 48 h after dosing. Nickel content was  
1091 measured in the brain (left hemisphere) and the cerebral cortex (dissected from the right hemisphere).  
1092 Spatial memory performance was affected in the high-dose group as the escape latencies were  
1093 statistically significantly increased at 1, 3 and 12 h, but were similar to the levels in the control group  
1094 at 24 and 48 h. The escape latencies were slightly increased in the low-dose group at 3 h (not  
1095 statistically significant). The locomotor activity was also affected as the total distance travelled was  
1096 lower in the high-dose group at all time points, but only statistically significant at 1, 3 and 12 h. The  
1097 total distance travelled was also lower in the low-dose group (not statistically significant). The nickel  
1098 content in the brain and the cerebral cortex of high-dose animals was significantly increased at 3 and  
1099 24 h after dosing and was more than 10 times higher than the levels observed in the control group  
1100 after 3 h. After 24 h, almost two thirds of the nickel was eliminated. The nickel content in the brain and  
1101 cerebral cortex of low-dose animals was slightly higher than in the control group at both time points  
1102 (not statistically significant).

1103 Nickel-induced neurodegeneration was investigated in adult male Wistar rats ( $180 \pm 20$  g, number of  
1104 animals per group and age not mentioned). They were administered nickel chloride hexahydrate by  
1105 gavage in saline at doses of 0, 10 or 20 mg NiCl<sub>2</sub>/kg bw (corresponding to 0, 4.5 or 9.1 mg Ni/kg bw)  
1106 for 4 weeks (Ijomone et al., 2018a). Ultrastructural changes were observed in neurons of the  
1107 hippocampus, striatum and cortex. Mitochondria structural integrity in the neurons was also affected.  
1108 In the hippocampus, changes at the high dose were graded as severe in nuclei, cell membrane,  
1109 mitochondria and Golgi apparatus and as moderate in endoplasmic reticulum; no changes were  
1110 observed at the low dose. In the striatum, changes in nuclei were graded as moderate at both dose  
1111 levels and in mitochondria as severe at the high dose; no changes were observed in other structures.  
1112 In the cortex, changes in nuclei were graded as mild at the high dose and in mitochondria as mild at  
1113 both dose levels; no changes were observed in other structures.

1114 The effect of administration (GD 1 until weaning) of 0.2% nickel sulfate to Wistar rats (10 males and  
1115 20 females/group) via drinking water on their neurobehavioural functions during gestation and lactation  
1116 was investigated (Kahloula et al., 2014). The concentration in drinking water corresponds to a dose of  
1117 91 mg Ni/kg bw per day using a default factor of 0.12 for a subacute study in rats (EFSA Scientific  
1118 Committee, 2012a) and the molecular weight of nickel sulfate. Impaired spatial learning performance  
1119 was observed in the Morris water maze test. Locomotor hyperactivity was also observed in the open



1120 field test. An increase in the immobility time in the forced swimming test was observed, which suggests  
1121 a depressive behaviour. These effects reflect an alteration in the neurodevelopmental process.

1122 In summary, the studies indicate that nickel can disturb the neurobehavioural functions in rats and mice  
1123 as indicated by impaired spatial memory performance and effects on locomotor activity.  
1124 Neurodegeneration was also observed in adult rats.

### 1125 3.1.3 Observations in humans

#### 1126 3.1.3.1. Human biomonitoring

1127 In 2015, the CONTAM Panel concluded the following regarding biomonitoring:

1128 *'In subjects exposed to the same species of Ni from the same absorption route, serum Ni (S-Ni) and*  
1129 *especially U-Ni are useful biomarkers of exposure and can be used for bio-monitoring purposes, and*  
1130 *occurs in the case of occupational setting. However, too many variables give rise to individual*  
1131 *concentrations in biological media, which makes translation into exposure data impossible. Such*  
1132 *variables include the bio-accessibility and bioavailability of ingested Ni, the route of entry and clearance*  
1133 *(from the airways, the GI tract, and the skin). Once absorbed, Ni excretion rate (kinetics) depends on*  
1134 *protein binding and renal function, which can modify both serum and urinary concentration in subjects*  
1135 *with similar exposure. Finally, the sampling time selected to obtain blood or urinary spot samples is*  
1136 *another variable crucial for data interpretation. As a result, it is not possible to back-calculate the*  
1137 *contribution of intake from food or drinking water to the concentration of Ni in accessible biological*  
1138 *media.'*

1139 Deposition in hair has been reported after absorption of nickel (WHO, 2000). The CONTAM Panel noted  
1140 that some studies have been published on nickel in hair or nails as a biomarker of exposure. Therefore,  
1141 the Panel assessed the suitability of these matrices to be used as biomarkers.

1142 Based on a review of scientific literature, ATSDR (2005) reported that determination of nickel in the  
1143 urine, faeces, serum, hair and nasal mucosa has been used to demonstrate human exposure to nickel  
1144 compounds. Alimonti and Mattei (2008) concluded that nickel measurements in the urine, serum or hair  
1145 may serve as indices of exposure. Based on an extensive review of biological monitoring data,  
1146 Sunderman (1993) concluded that serum and urine nickel levels were the most useful biomarkers of  
1147 nickel exposure, especially if the route, sources, and duration of exposure are known, if the chemical  
1148 identities and physical-chemical properties of the nickel compounds are known, and if physiological  
1149 information (e.g. renal function) of the exposed population is known.

1150 In order to evaluate hair nickel concentration as a biomarker of exposure, an epidemiological cross-  
1151 sectional study was conducted in a Greek population that was exposed to nickel via food consumption.  
1152 The study provides evidence of the suitability of hair analysis in assessing environmental exposure to  
1153 nickel. The authors concluded that hair nickel content is a valuable and relatively inexpensive tool for  
1154 biomonitoring and to identify people at risk for certain biochemical alterations (Sazakli and Leotsinidis,  
1155 2017).

1156 In a study by Peters et al. (1991), concentrations of nickel in fingernails were suggested as a measure  
1157 of occupational exposure to nickel. Nickel concentrations in fingernails from moderately and heavily  
1158 exposed workers were significantly higher than in non-exposed subjects (mean  $\pm$  standard deviation  
1159 (SD) and median  $\pm$  standard error:  $29.2 \pm 55.7 \mu\text{g/g}$  and  $13.8 \pm 5.58 \mu\text{g/g}$  in moderately exposed  
1160 ( $n=83$ );  $123 \pm 289 \mu\text{g/g}$  and  $29.9 \pm 18 \mu\text{g/g}$  in heavily exposed ( $n=51$ ); versus  $1.19 \pm 1.61 \mu\text{g/g}$  and  
1161  $0.488 \pm 0.13 \mu\text{g/g}$  in non-exposed ( $n=95$ )). However, no correlation between the nickel concentration  
1162 in fingernails and the duration of exposure was demonstrated.

1163 In a more recent study in workers, concentrations of metals, including nickel, in toenails were suggested  
1164 as a biomarker of occupational welding fume exposure (Grashow et al., 2014). The geometric mean  
1165 nickel concentration in toenails was  $2.53 \pm 3.50$  (mean  $\pm$  SD)  $\mu\text{g/g}$  and the median was  $2.19 \mu\text{g/g}$   
1166 ( $n=48$ ). The association between nickel concentrations in toenails and weld hours at 7–9 months prior  
1167 to clipping of the analysed toenails approached significance ( $p=0.06$ ). A non-exposed group was not  
1168 included in this study.

1169 In a study of Arab-American residents living in a highly industrialised area (Detroit, Michigan), profiles  
1170 of trace elements, including nickel, in toenails were evaluated as a tool in biomonitoring exposure

1171 history (Slotnick et al., 2005). The concentration of nickel toenails was  $37 \pm 109 \mu\text{g/g}$  (mean  $\pm$  SD;  
 1172  $n=263$ ). A non-exposed group was not included in this study, but average nickel levels of  $2.34 \mu\text{g/g}$   
 1173 ( $n=48$ ),  $1.19 \mu\text{g/g}$  ( $n=95$ ) and  $2.70 \mu\text{g/g}$  ( $n=34$ ) for non-occupationally exposed populations were cited  
 1174 in the paper.

1175 In a more recent study of American residents, biological exposure was evaluated by concentrations of  
 1176 nickel in toenails from adults living in Appalachian Kentucky and compared with those of adults living  
 1177 in Jefferson, a non-Appalachian, urban county (Johnson et al., 2011). The concentration of nickel in  
 1178 toenails tended to be higher among the Appalachian subjects (median:  $0.28 \mu\text{g/g}$  in Appalachian  
 1179 Kentucky residents ( $n=88$ ) versus  $0.18 \mu\text{g/g}$  in Jefferson residents ( $n=151$ )).

1180 Based on these four studies, the CONTAM Panel considers that nickel in toenails is not a suitable  
 1181 biomarker for evaluation of nickel exposure in humans. This is mainly due to the high variability in  
 1182 nickel nail concentrations among individuals as shown by the high standard deviations.

1183 To summarise, serum and urine nickel levels are the most useful biomarkers of nickel exposure but  
 1184 some studies also demonstrated that hair could be used as a non-invasive biomarkers of nickel  
 1185 exposure. However, the Panel considers that currently nickel in fingernails and toenails has not been  
 1186 sufficiently validated to serve as a biomarker of nickel exposure.

### 1187 **Levels of biomarkers of exposure in the European population**

1188 The text below describes studies that reported levels of nickel in human samples from the European  
 1189 population, without aiming to be complete. No recent studies reporting nickel concentrations in human  
 1190 milk samples from the European population were identified.

1191 Pérez et al. (2018) studied the relationship between levels of 20 elements, including nickel, in children's  
 1192 urine and their diet. Subjects (6–11 years old) were from two cities in Spain (Alzira;  $n=62$  and Valencia;  
 1193  $n=58$ ). No strong correlation was identified for nickel. The geometric means (95% CI) for the two cities  
 1194 were  $3.9$  ( $3.4$ – $4.48$ ) and  $4.7$  ( $4.03$ – $5.48$ )  $\mu\text{g/g}$  creatinine, respectively. Roca et al. (2016) also measured  
 1195 nickel concentrations in urine samples from 120 children of the same age and from the same cities.  
 1196 The geometric mean (95% CI) was  $4.3$  ( $3.5$ – $5.03$ )  $\mu\text{g/g}$  creatinine.

1197 Protano et al. (2016) analysed the concentration of elements in urine from children from central Italy.  
 1198 The median (interquartile range (IQR)) nickel concentration was  $6.71$  ( $4.78$ – $8.17$ )  $\mu\text{g/L}$  for children not  
 1199 exposed to environmental tobacco smoke and  $6.81$  ( $5.39$ – $9.05$ )  $\mu\text{g/L}$  for exposed children.

1200 Adults ( $n=1,177$ ) from industrially contaminated areas north of Rome were recruited in 1996 for a  
 1201 human biomonitoring study. The average nickel concentration in urine (corrected for creatinine) was  
 1202  $0.81 \mu\text{g/L}$  (95% CI:  $0.77$ – $0.85 \mu\text{g/L}$ ) (Ancona et al., 2016).

1203 In central Italy, 24-h urine samples were collected from adults (18–60 years old) living in three areas:  
 1204 an area close to a recycling plant ( $n=153$ ), an urban area ( $n=95$ ) and an area in the countryside ( $n=55$ ).  
 1205 The median nickel levels (range) were  $6.87$  ( $0.78$ – $22.27$ ),  $7.05$  ( $1.05$ – $42.68$ ) and  $4.96 \mu\text{g}/24 \text{ h}$  ( $1.18$ –  
 1206  $17.05$ ), respectively (Chellini et al., 2017).

1207 Non-fasting spot urine samples from 460 males and 541 females (18–80 years old; living in Belgium)  
 1208 were analysed for the presence of 26 elements. The median nickel concentration was  $1.79 \mu\text{g/g}$   
 1209 creatinine (P2.5–P97.5:  $< \text{LOD}$   $4.88$ ) (Hoet et al., 2013).

1210 A total of 2,000 adults (982 men and 1,018 women) living in northern France participated in a  
 1211 biomonitoring study. Blood ( $n=1,992$ ) and urine ( $n=1,910$ ) samples were analysed for the presence of  
 1212 nickel and other metals and metalloids. In blood, nickel was detected in 99.95% of the samples and  
 1213 the geometric mean was  $1.31 \mu\text{g/L}$  (95% CI:  $1.28$ – $1.34$ ). In urine, nickel was detected in 98.38% of  
 1214 the samples and the geometric mean was  $2.00 \mu\text{g/L}$  (95% CI:  $1.93$ – $2.08$ ) (Nisse et al., 2017).

1215 In Kosovo, the serum nickel concentration was determined in males between 31 and 64 years of age  
 1216 working in a thermal power plant ( $n=70$ ) and 27 male control subjects (30–65 years of age). The  
 1217 concentrations were  $2.76 \pm 0.4$  and  $2.18 \pm 0.2 \mu\text{g/L}$ , respectively (Zeneli et al., 2015).

1218 Sureda et al. (2017) selected the 25 most inactive and 25 most active individuals for each sex from a  
 1219 group of 280 older adults in Spain (55–80 years old) and analysed trace elements in toenail samples.  
 1220 No significant difference in nickel concentration was observed between active and inactive adults. The

1221 median nickel concentration in toenails was 989 µg/kg (IQR: 568–2033 µg/kg) in men and 887 µg/kg  
 1222 (IQR: 360–1,660 µg/kg) in women.

### 1223 **3.1.3.2. Carcinogenicity**

1224 Based on i) the lack of epidemiological data suggesting that nickel compounds cause cancer after oral  
 1225 administration, ii) the lack of tumours in the carcinogenicity studies in experimental animals after oral  
 1226 administration of soluble nickel compounds and, iii) the mode of action, the CONTAM Panel considered  
 1227 it unlikely that dietary exposure to nickel results in cancer in humans (EFSA CONTAM Panel, 2015).

1228 No new data linking cancer in humans with oral exposure to nickel have been identified since the  
 1229 previous Opinion.

### 1230 **3.1.3.3. Reproductive and developmental toxicity**

1231 In its previous Scientific Opinion (EFSA CONTAM Panel, 2015), the CONTAM Panel concluded that the  
 1232 data from the available epidemiological studies did not support an association between oral exposure  
 1233 to nickel and reproductive and developmental effects in humans. Since that 2015 Opinion, a number of  
 1234 new studies have been published.

#### 1235 **Reproductive toxicity**

1236 Zheng et al. (2014) investigated the status of heavy metals and trace elements in a Chinese population  
 1237 by collecting umbilical cord blood. No difference with statistical significance in the median nickel  
 1238 concentration was observed between the adverse pregnancy outcome group (e.g. fetal distress,  
 1239 premature births (infants born < 37 completed weeks of gestation) and macrosomia (birth weight ≥  
 1240 4,000 g)) and the reference group. The nickel concentrations (mean ± SD) were 46.32 ± 69.75 µg/L  
 1241 for the control group (n=68) and 38.82 ± 92.36 µg/L for adverse cases (n=58).

1242 Serum concentrations of 11 trace elements in patients with polycystic ovary syndrome (PCOS) were  
 1243 investigated. A total of 369 women (including 96 patients with PCOS) were studied. Serum nickel levels  
 1244 were significantly higher in patients with PCOS compared with the control group. According to the  
 1245 authors, the results suggested that nickel, copper and zinc may play a role in the pathogenesis of PCOS  
 1246 related to reproductive hormone levels (Zheng et al., 2015).

1247 Another study investigated prenatal exposure to nickel as a risk factor for pre-term delivery (< 37  
 1248 weeks) (Chen et al., 2018). Pregnant women (n=7,291) were recruited in the longitudinal Healthy Baby  
 1249 Cohort in Wuhan, China. The mean age of the recruited pregnant women was 28.5 ± 3.7 years and  
 1250 96.4% had detectable urinary concentrations of nickel. The mean urinary nickel concentration was  
 1251 11.23 µg/g creatinine (median 5.05 µg/g creatinine; IQR of 2.65-9.51 µg/g creatinine). Statistically  
 1252 significant higher urinary nickel concentrations were found for the pre-term delivery mothers (median  
 1253 7.12 µg/g creatinine; IQR 3.53–13.06 µg/g creatinine; n=293) compared to the full-term mothers (4.98  
 1254 µg/g creatinine; IQR 2.63–9.31 µg/g creatinine; n=6,998). A statistically significant decrease in  
 1255 gestational age was observed as maternal urinary nickel levels increased. Using adjusted models, each  
 1256 doubling of the nickel concentration was associated with an increase of the adjusted odds ratios (aORs)  
 1257 for pre-term delivery with 16%. Similar results were obtained for both spontaneous and iatrogenic pre-  
 1258 term delivery. The authors concluded that higher maternal urinary nickel concentrations are associated  
 1259 with an increased risk of pre-term delivery.

1260 The study of Bian et al. (2019) investigated the relationship between seminal quality and ion levels in  
 1261 seminal plasma. A total of 205 semen samples were collected from the Yangtze River Delta Region in  
 1262 eastern China and the samples were divided into two groups: normal sperm motility group (total motility  
 1263 > 40% (55.6 ± 8.67), n=103) and abnormal sperm motility group (total motility < 40% (24.2 ± 9.51),  
 1264 n=102) according to the WHO 2010 standard (WHO, 2010). The low sperm motility group showed  
 1265 distinctively reduced nickel concentration (5.69 ± 1.93 µg/L) in seminal plasma compared with the  
 1266 normal sperm motility group (10.22 ± 3.83 µg/L). According to these findings, the authors suggested  
 1267 that nickel increases sperm motility. This observation is in contradiction with the outcome of the study  
 1268 by Zafar et al. (2015) (see below).

1269 In the same study, the effects of nickel on sperm total motility and progressive motility were studied in  
 1270 abnormal semen samples (total motility < 40%). The cells were incubated *in vitro* with nickel sulfate  
 1271 (control – 0; or 0.5, 1, 4, or 10 µM nickel sulfate; corresponding to 29, 59, 235 and 587 µg Ni/L) for



1272 0.5–2 h (Bian et al., 2019). Both total sperm motility and progressive motility increased significantly in  
1273 low activity samples treated with 0.5 or 1  $\mu\text{M}$  nickel sulfate incubated for 0.5 or 1 h. On the other hand,  
1274 a high nickel concentration (4 and 10  $\mu\text{M}$ ) dramatically decreased total sperm motility and progressive  
1275 motility. According to the authors, the data suggest that a low nickel concentration increases total  
1276 sperm motility and progressive motility *in vitro*. Another study provides data on the concentration of  
1277 nickel in human seminal plasma (75 seminal plasma samples, categorised into three groups –  
1278 normozoospermia, oligozoospermia and azoospermia; n=25/group) in a Pakistani population (Zafar et  
1279 al., 2015). The nickel concentrations in the seminal plasma (mean  $\pm$  SD: 3.07  $\pm$  1.63 (median: 2.57);  
1280 1.92  $\pm$  0.77 (2.09); 10.49  $\pm$  10.94 (6.94)  $\mu\text{g}/\text{kg}$ ; respectively) were negatively correlated with sperm  
1281 concentration and motility. Authors state that nickel concentration showed a significant difference in all  
1282 three groups, indicating a key role in male infertility.

1283 The relationship between human semen quality and the concentration of trace elements, including  
1284 nickel was examined (Ali et al., 2017). The detection frequencies in normal (64 samples) and in  
1285 abnormal (30 samples) semen specimens were similar. The concentration of nickel in seminal plasma  
1286 and in the sperm DNA was slightly higher in abnormal semen (5.28  $\pm$  2.4  $\mu\text{g}/\text{L}$  and 0.34  $\pm$  0.2  $\text{ng}/\mu\text{g}$ )  
1287 compared to normal semen (1.9  $\pm$  6.8  $\mu\text{g}/\text{L}$  and 0.04  $\pm$  0.1  $\text{ng}/\mu\text{g}$ ). The CONTAM Panel noted that  
1288 detection of nickel in sperm DNA is not necessarily an indication of an interaction with the DNA.

1289 Halder et al. (2014) studied four cases of dark-coloured semen with non-obstructive azoospermia and  
1290 without genital tract bleeding or spinal cord injury, which is rarely observed. Normal volume, pH,  
1291 leucocyte count and azoospermia or oligozoospermia count was observed in the semen samples. The  
1292 samples did not contain red blood cells and haem pigment, but increased levels of lead, manganese  
1293 and nickel were observed in serum samples of the cases. The concentrations of nickel in the serum of  
1294 the four cases were 10.6, 14.2, 27.8 and 32.2  $\mu\text{g}/\text{kg}$ , and the mean  $\pm$  SD for the control group (n=15)  
1295 was 2.7  $\pm$  2.3  $\mu\text{g}/\text{kg}$ . The authors suggest that dark-coloured semen can also be linked with metals.

1296 A study focused on the associations between urinary metal concentrations and circulating testosterone  
1297 in Chinese men (n=118). After adjustment for age, body mass index (BMI), alcohol use, smoking status  
1298 and income, men in the third quartile of nickel concentration had a significant decrease of 83.79  $\text{ng}/\text{dL}$   
1299 in testosterone in serum compared with those in the first quartile, but there was a lack of dose–response  
1300 trends (Zeng et al., 2013).

### 1301 **Developmental toxicity**

1302 A study evaluated the concentrations of selected essential and toxic elements in amniotic fluid and their  
1303 relation to maternal and fetal parameters (Suliburska et al., 2016). The study was carried out in 39  
1304 pregnant women, aged 34.6  $\pm$  4.7 years, between weeks 16 and 26 of gestation. Subjects in this study  
1305 were divided into two groups according to age: those under 35 years old (n=17) and those 35 years  
1306 or older (n=22). It was found that the concentration of nickel was markedly higher in the amniotic fluid  
1307 of older women (median 3.98 vs 2.32  $\mu\text{g}/\text{L}$ ). Significant positive correlations between diastolic blood  
1308 pressure and the level of nickel were observed. The authors also reported that high blood pressure in  
1309 mothers correlated with higher concentrations of nickel in amniotic fluid.

1310 Sun et al. (2018) described the possible link between prenatal nickel exposure and pre-term low birth  
1311 weight (PLBW). Nickel was analysed in urine samples from 408 pregnant women (102 PLBW; 306  
1312 controls) in China. A significantly higher median urine concentration was observed for PLBW cases (4.34  
1313  $\mu\text{g}/\text{g}$  creatinine) compared to the controls (2.80  $\mu\text{g}/\text{g}$  creatinine). Conditional logistic regression showed  
1314 a significant association between higher maternal urinary Ni levels and risk of PLBW. For the highest  
1315 tertile of the nickel urinary concentration, the aOR was 2.80 (95% CI: 1.44–5.44). The observed  
1316 association was more apparent among female than male infants.

1317 To explore the association of nickel exposure and occurrence of congenital heart defects (CHD), a case–  
1318 control study with 490 controls and 399 cases was conducted in China (Zhang et al., 2019). The cases  
1319 included septal defects, conotruncal defects, right and left ventricular outflow tract obstruction,  
1320 anomalous pulmonary venous return and other heart defects. The concentrations of nickel in the hair  
1321 of pregnant woman and fetal placental tissue were measured. Logistic regression analysis was used to  
1322 explore the relationship between nickel exposure and risk of CHD in the offspring. In the CHD group,  
1323 the median concentration of nickel in maternal hair was 0.629 (5<sup>th</sup>–95<sup>th</sup> percentile: 0.276–2.250;  
1324 arithmetic mean = 0.857) and 0.178  $\text{ng}/\text{mg}$  (0.012–0.851  $\text{ng}/\text{mg}$ ; arithmetic mean = 0.308) in fetal  
1325 placental tissue. In the control group, the median concentration of nickel in maternal hair was 0.443

1326 (0.182–1.710; arithmetic mean = 0.648) and 0.148 ng/mg (0.008–0.954; arithmetic mean = 0.242) in  
1327 fetal placental tissue. The overall risk of CHD increased with nickel hair concentrations (aOR: 1.326;  
1328 95% CI: 1.003–1.757;  $p < 0.001$ ). For fetal placental tissue no significant trend was found (aOR: 2.204;  
1329 95% CI: 0.783–6.206), except when focusing on the group of other heart defects (aOR: 11.280; 95%  
1330 CI: 1.621–78.512;  $p < 0.01$ ).

1331 A study investigated the associations between concentrations of As, Cd, Pb and Ni in umbilical cord  
1332 tissues and risk of orofacial clefts (OFCs), and the interactions between each pair of metals on OFC risk  
1333 in a case–control study (Ni et al., 2018). Concentrations above the median of all subjects was associated  
1334 with an elevated OFC risk of 6.79-fold for nickel. The median level of nickel in OFC cases (38.92 ng/g)  
1335 was significantly higher than in controls (21.22 ng/g) and nickel concentration in the subtypes of OFC  
1336 cases (cleft lip with cleft palate (CLP) or cleft lip only (CLO)) was significantly higher than those in the  
1337 controls ( $p < 0.001$ ). The authors also detected a significant association between the concentration of  
1338 nickel and the risks of CLP and CLO. Finally, they concluded that *in utero* exposure to nickel may  
1339 increase the risks for total OFCs, CLP, and CLO.

#### 1340 **Summary**

1341 One study reported an association between nickel and an increased risk of pre-term delivery. Another  
1342 study indicated that nickel concentrations in the seminal plasma were negatively correlated with sperm  
1343 concentration and motility. One developmental toxicity study suggested that occurrence of CHD may  
1344 be associated with nickel exposure. Another study reported that an increased risk of OFCs may be  
1345 related to *in utero* exposure to nickel.

#### 1346 **3.1.3.4. Immunotoxicity including sensitisation**

1347 As stated in the EFSA Opinion (EFSA CONTAM Panel, 2015), nickel has different types of effects on the  
1348 immune system. It is a sensitiser, hence exposure may lead to adverse hypersensitivity reactions. Nickel  
1349 allergic contact dermatitis has a prevalence of around 15% in the EU, Asia and the USA.

1350 As indicated earlier (EFSA CONTAM Panel, 2015), oral exposure studies to investigate sensitisation to  
1351 nickel by the oral route, or studies in which sensitised animals are orally exposed are scant. Animal  
1352 studies have reported that oral exposure may lead to the induction of oral tolerance towards nickel.  
1353 Also in humans, experimental studies have shown that repeated oral exposure to nickel may prevent  
1354 or diminish sensitisation. On the other hand, the EFSA Opinion of 2015 also reviewed information that  
1355 indicated that consumption of a nickel-rich diet may elicit eczematous flare-up reactions in the skin in  
1356 sensitive individuals, a phenomenon called SCD or haematogenous contact eczema. The CONTAM Panel  
1357 concluded that SCD elicited in nickel-sensitised humans after oral exposure to nickel was the critical  
1358 effect suitable for the assessment of acute effects of nickel.

1359 In a study by Nielsen et al. (1990) nickel-sensitised individuals and matched non-sensitive controls  
1360 (both groups having vesicular hand eczema of the pompholyx type) were exposed through drinking  
1361 water to 12  $\mu\text{g}$  Ni/kg bw. Nine of 20 nickel-allergic eczema patients experienced aggravation of hand  
1362 eczema after oral nickel administration, and three also developed a maculopapular exanthema, while  
1363 no exacerbation was seen in the control group. A LOAEL of 12  $\mu\text{g}$ /kg bw was identified after  
1364 provocation. The guideline value for nickel in drinking water established by WHO (2005) is based on  
1365 this study.

1366 In 2015, the CONTAM Panel identified the data from Jensen et al. (2003), who investigated 60 fasted  
1367 volunteers (40 nickel-sensitised and 20 non-sensitised), with incidences of clinically cutaneous reactions  
1368 including flare-up reactions of 1/10, 4/10, 4/10 and 7/10 at the oral doses 0, 0.3, 1, and 4 mg Ni per  
1369 person, respectively, as the most sensitive ones. Nickel was given as nickel sulfate hexahydrate in a  
1370 lactose capsule. At that time, the Panel derived a BMDL<sub>10</sub> of 0.08 mg Ni per person, corresponding to  
1371 1.1  $\mu\text{g}$  Ni/kg bw, as a reference point for SCD elicited in Ni-sensitive humans after acute oral exposure  
1372 to nickel (EFSA CONTAM Panel, 2015). The CONTAM Panel noted that this value of 1.1  $\mu\text{g}$  Ni/ kg bw  
1373 was in the same range as the lower confidence bounds of the effective dose in 10% of the population  
1374 (ED<sub>10</sub>) calculated in the meta-analysis by Jensen et al. (2006).

1375 Another study on which EFSA has performed a BMD analysis was published by Gawkrödger et al. (1986).  
1376 These authors investigated 26 persons (24 females and two males; aged 19–67 years), positive in patch  
1377 testing to nickel, after oral uptake of 0.4, 2.5 or and 5.6 mg Ni per person (in the form of nickel sulfate

1378 heptahydrate in lactose in capsules). Worsening of previous clinical skin sites (flare-ups) and new skin  
1379 lesions was recorded. Reactions were mostly seen at the highest dose tested and the incidences were  
1380 5/10, 5/10, 6/6, respectively. Based on this study, the CONTAM Panel (2015) calculated a BMDL<sub>10</sub> value  
1381 of 0.18 mg Ni per person, corresponding to 2.6 µg Ni/kg bw.

1382 Hindsén et al. (2001) challenged 30 females (21–44 years old, 12 with atopy and pompholyx and 18  
1383 without atopy and hand eczema) after a night's fasting, to capsules containing 4.48 mg or 13.44 mg  
1384 nickel sulfate hexahydrate in lactulose; corresponding to a dose of 1 or 3 mg Ni per person. In contrast  
1385 to the studies by Jensen et al. (2003) and Gawkrödger et al. (1986), Hindsén et al. only recorded flare-  
1386 up reactions, i.e. the worsening of already eczematous lesions. The incidence was 0/10, 2/10, 9/9 for  
1387 the dose groups 0, 1 and 3 mg Ni per person, respectively. The CONTAM Panel (2015) calculated, based  
1388 on this study, a BMDL<sub>10</sub> of 0.11 mg per person, corresponding to 1.6 µg Ni/kg bw.

1389 In 2015, the CONTAM Panel noted that '*Whereas contact allergy is the most frequent clinical pattern in*  
1390 *nickel-sensitized individuals, and resistance to infections may be influenced, many other clinical*  
1391 *elements may demonstrate that the systemic absorption of nickel, e.g. by the oral route, is able to elicit*  
1392 *gastrointestinal (e.g. abdominal pain, diarrhoea and/or constipation, nausea and/or vomiting), atypical*  
1393 *systemic manifestations (e.g. headache, chronic fatigue) and chronic dermatological symptoms (e.g.*  
1394 *urticaria-angioedema), that are called systemic nickel allergy syndrome (SNAS).'* The EFSA 2015  
1395 Opinion concluded that the SNAS relationship with oral nickel exposure has not been firmly confirmed.

1396 In addition to sensitisation and eliciting specific allergic reactions, the EFSA Opinion had also reviewed  
1397 other, non-specific effects of nickel on the immune system. Even if immunomodulatory effects of nickel  
1398 have been noted, i.e. both a stimulation of antibody responses to antigens other than nickel, that may  
1399 potentially enhance allergic responses, as well as depressed antibody responses, that may lead to  
1400 suppressed resistance. Indeed, in humans, individuals suffering from nickel allergy show a higher  
1401 incidence of Herpes labialis, genital candidiasis, and upper respiratory tract infections, which is  
1402 supported by evidence showing reduced resistance to allogeneic tumour cells in rats (EFSA CONTAM  
1403 Panel, 2015).

1404 The CONTAM Panel considered, however, that allergenicity of nickel is more pronounced than its  
1405 immunomodulatory influence.

1406 Since the 2015 EFSA Opinion, a number of new studies have been published.

1407 Ahlstrom et al. (2017) reviewed 46 studies on nickel allergy (10 in the general population and 36 in  
1408 dermatitis patients), and concluded that since the implementation of Directive 94/27/EC<sup>16</sup>, the so-called  
1409 Nickel Directive to diminish exposure through the skin in order to minimise the prevalence of nickel  
1410 allergy, the number of people suffering from nickel allergy has been reduced, but the prevalence  
1411 remains high. A prevalence of nickel allergy was noted in 11.4% of the general population, with a  
1412 prevalence of up to 20% in female dermatitis patients. Generally, a higher prevalence was noted in  
1413 southern European countries than in the north. In obese patients the prevalence seems to be  
1414 considerably higher (Lusi et al., 2015; Watanabe et al., 2018). In a study performed by Akan et al.  
1415 (2015) of 134 children with atopic dermatitis (n=45), 33.8% were positive to nickel skin patch testing.

1416 In addition to skin flare-up reactions after skin exposure to nickel in nickel-sensitised individuals, as  
1417 described in the EFSA Opinion in 2015 (EFSA CONTAM Panel, 2015), other skin symptoms may depend  
1418 on nickel sensitisation. Cifci (2019) reported an association between nickel sensitivity and rosacea.  
1419 Nickel sensitivity may be one of the underlying pathologies or a triggering factor of the rosacea.

1420 Very severe skin reactions do occur in sensitive individuals after consuming nickel-containing foods such  
1421 as pasta and cereals, as illustrated in a case study reported by Peredelskaya (2018). The patient was  
1422 allergic to nickel and reacted severely to intravenous injection procedures, i.e. most likely to the nickel  
1423 present in the injection needle, and these reactions were intensified by ingestion of nickel-containing  
1424 foods.

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<sup>16</sup> European Parliament and Council Directive 94/27/EC of 30 June 1994 amending for the 12th time Directive 76/769/EEC on the approximation of the laws, regulations and administrative provisions of the Member States relating to restrictions on the marketing and use of certain dangerous substances and preparations. OJ L 188, 22.7.1994, p. 1–2. This directive is no longer in force. The restriction is now incorporated into REACH (Annex XVII, Entry 27).

1425 In an epidemiological study among Asian individuals, nickel contact allergy was found to be associated  
1426 with occupational exposure to the metal, as well as with seafood and canned food consumption  
1427 (Boonchai et al., 2014).

1428 Büyükoztürk et al. (2015) studied patients with positive patch testing to nickel, by skin-prick testing  
1429 and measurement of interleukin (IL)-10, IL-4, IL-5, and interferon (IFN)- $\gamma$  in supernatants of peripheral  
1430 blood mononuclear cells stimulated by nickel during proliferation. Some patients were described as  
1431 having reactions after placing dental devices in their mouth, others experienced symptoms after  
1432 consuming foods with high levels of nickel, such as whole wheat, rye, cocoa, tea and green salads. The  
1433 study suggests the presence of Type I hypersensitivity in addition to Type IV hypersensitivity.  
1434 Lymphocyte proliferation, IL-4 and IL-10 were significantly elevated in patients having urticarial,  
1435 angioedema, and respiratory symptoms compared to patients who had only oral symptoms or systemic  
1436 dermatitis. The results indicate that oral exposure to nickel triggers systemic symptoms in previously  
1437 sensitive patients.

1438 Ricciardi et al. (2014) performed an epidemiological study of the prevalence of SNAS in Italy. The  
1439 authors report that nickel patch-test-positive patients showed flare-up reactions after oral exposure to  
1440 nickel. The authors state that foods particularly rich in nickel, such as peanuts, beans, lentils, peas,  
1441 soybeans, oats, cocoa, chocolate, nuts, whole wheat, pears and mushrooms can trigger symptoms of  
1442 SNAS, including flare-up reactions and systemic dermatitis.

1443 In addition to skin flare-up reactions, exposure of mucosal surfaces to nickel is often the trigger of  
1444 irritable bowel syndrome-like gastrointestinal disorders: its ingestion may cause allergic contact  
1445 mucositis, identifiable by means of oral mucosa patch testing (Borghini et al., 2016). All 22 nickel-  
1446 sensitised patients studied, challenged with a 5 mm paper disc saturated with a 5% solution of nickel  
1447 sulfate in vaseline (0.4 mg nickel sulfate/8 mg vaseline corresponding to 0.15 mg nickel/8 mg vaseline)  
1448 on the lower lip mucosa presented oral mucosa hyperaemia and/or oedema. Eight of the same 22  
1449 patients presented a local delayed vesicular reaction, unlike the remaining 14 patients. None of the 12  
1450 patients belonging to the control group showed any alteration.

1451 In addition to specific reactions to nickel, exposure to nickel may also lead to non-specific reactions of  
1452 the immune system. For instance, Andrioli et al. (2015) suggested that individuals suffering from the  
1453 SNAS as an immune-mediated disease had an increased risk for thyroid autoimmunity. Yuk et al. (2015)  
1454 reported that nickel allergy may be a risk factor for endometriosis.

1455 Aslan et al. (2017) showed that the majority of foods that increase gastroesophageal reflux symptoms  
1456 contain nickel. The purpose of this study was to evaluate the relationship between nickel sensitivity and  
1457 gastroesophageal reflux disease. Forty-eight subjects suffering from gastroesophageal reflux disease  
1458 were also nickel-sensitised, in contrast to 22 of the control group. Braga et al. (2013) showed that  
1459 individuals on a diet with less than 50  $\mu\text{g}$  nickel had significant improvement of their SNAS-associated  
1460 symptoms. Similar findings were made by Borghini et al. (2018) in nickel-sensitised females suffering  
1461 from endometriosis.

1462 Overall, the studies published since the 2015 EFSA Opinion confirm the risk of flare-up reactions after  
1463 ingestion of nickel. In addition to flare-up reactions in the skin, immune-mediated systemic conditions  
1464 may also be associated with oral exposure to nickel.

### 1465 **3.1.3.5. Neurotoxicity**

1466 In 2015, the CONTAM Panel did not identify studies on neurotoxicity in humans. Since then, three  
1467 studies have been identified.

1468 A study designed to investigate whether age-related cognitive deficit is associated with oxidative  
1469 damage, especially with inhibition of the enzyme  $\delta$ -aminolevulinatase (ALA-D) activity and  
1470 whether some metals, including nickel, influence the enzyme activity and cognitive performance (Baierle  
1471 et al., 2014). Fifty elderly individuals ( $\geq 60$  years old) and 20 young individuals (25–35 years old) from  
1472 Porto Alegre, Brazil, were examined. The study included three steps: 1) a questionnaire, 2) collection  
1473 of blood samples, and 3) cognitive tests. The elderly group generally had a lower performance in the  
1474 cognitive tests than the young group, as well as a lower activity of ALA-D. No significant difference was  
1475 observed in the serum level of nickel between the two age groups and the nickel level was within the



1476 reference interval. There was no relation between nickel and ALA-D activity, but there was a negative  
1477 association with ALA-D reactivation.

1478 Another study evaluated levels of some metals including nickel in biological samples along with  
1479 measurement of cognitive ability and biomarkers of oxidative stress (ALA-D and malondialdehyde  
1480 (MDA)) in children (do Nascimento et al., 2014). Twenty children (9 girls and 11 boys, aged 8–14 years)  
1481 from a rural area in southern Brazil and 20 children (10 girls and 10 boys, aged 8–14 years) from an  
1482 urban zone in the same region were included. The nickel blood level in rural children was 4–5 times  
1483 higher than that recommended by WHO, whereas the nickel hair level was very close to the reference  
1484 level. The rural children had generally a relatively low performance of cognitive ability. The plasma MDA  
1485 levels were statistically significantly higher in rural children than the levels in urban children. There was  
1486 no significant difference in the blood ALA-D activity between the two groups whereas the ALA-D  
1487 reactivation percentage was significantly higher in rural children compared to urban children. The  
1488 CONTAM Panel noted that an association between nickel and cognitive decline, as well as with  
1489 biomarkers of oxidative stress, cannot be evaluated from this study because of the co-exposure to other  
1490 neurotoxic metals such as lead and aluminium.

1491 In a study that investigated the effects of low levels of some metals including nickel on three  
1492 neurobehavioural domains (sustained attention, short-term memory, and manual motor speed), 606  
1493 adolescents (13.6–17 years) were examined (Kicinski et al., 2015). The mean concentration of nickel  
1494 in urine (n=533) was approximately twice the reference value (0.88 µg/g creatinine) and the 95<sup>th</sup>  
1495 percentile was approximately eight times higher than the reference value. There was no significant  
1496 association between nickel in urine and the neurobehavioural parameters.

1497 In summary, one study reported a negative association with ALA-D reactivation and nickel exposure.  
1498 Another study showed no significant association between nickel in urine and the neurobehavioural  
1499 parameters.

### 1500 **3.1.3.6. Other**

1501 The role of metals in the development of different diseases has been the subject of several studies.  
1502 These studies typically focus on several metals and trace elements, but the text below reports only the  
1503 results on nickel.

1504 No association was observed between nickel concentration in blood, plasma and urine and the height  
1505 of children (Klatka et al., 2015). This association was also investigated in a case–control study with  
1506 Georgian children, and no significant association between short stature and nickel in hair was observed  
1507 (Tabatadze et al., 2015). The nickel concentration was measured in blood, plasma and urine from obese  
1508 and non-obese children and adolescents (aged 6–17 years); no correlation was found between the BMI  
1509 and the concentration of nickel (Blażewicz et al., 2013).

1510 Significantly lower nickel concentrations have been observed in the blood of rheumatoid arthritis  
1511 patients than in controls (Irfan et al., 2017), and significantly higher nickel concentrations in the hair,  
1512 blood and urine of hypertensive patients compared to controls (Afridi et al., 2014). Plasma levels of  
1513 nickel were significantly higher in patients with Parkinson’s disease (n=225) than in healthy controls  
1514 (n=125) (Verma et al., 2016). Lower serum concentrations of nickel have been determined in patients  
1515 with alcoholic liver cirrhosis (n=62) compared to healthy individuals (n=18) (Prystupa et al., 2016). The  
1516 mean serum nickel concentration was 1.9 µg/L in the control group and the mean concentrations in  
1517 patients with increasing severity of liver disease were 0.7, 0.5 and 0.3 µg/L. A significant difference  
1518 was reported between the control and the dose groups with the highest severity. Another study  
1519 reported a significantly higher mean nickel concentration in serum for Serbian hypothyroid patients  
1520 (n=23) compared to healthy volunteers (n=70) (Stojavljević et al., 2018). The mean concentrations  
1521 (range) were 3.4 (0.1–21.16 µg/L) and 2.19 (1.01–7.78 µg/L), respectively. López-Jornet et al. (2014)  
1522 measured nickel in the saliva of patients with burning mouth syndrome (n=28) and controls (n=30)  
1523 but no significant difference was observed (0.052 ± 0.071 mg/kg (mean ± SD) vs 0.009 ± 0.03 mg/kg).

1524 In a cross-sectional prospective study, a positive association has been reported for urinary nickel with  
1525 the prevalence of Type 2 diabetes (Liu et al., 2015), as well as a positive association with albuminuria  
1526 and β2-microglobulinuria, indicators of glomerular or tubular kidney damage, in Chinese adults (Liu et  
1527 al., 2016). In a cross-sectional prospective study with Greek adults, using nickel in hair as a biomarker,  
1528 men with a higher concentration of nickel in hair (upper quartile of the distribution) have a higher risk

1529 of abnormally high cholesterol, low-density lipoproteins, albumin and calcium. In women, a higher  
 1530 concentration of nickel in hair is associated with abnormal glucose, triglycerides and low abnormal  
 1531 sodium (Sazakli and Leotsinidis, 2017).

1532 No significant association has been found between high nickel blood levels and the risk of nasosinus  
 1533 polyposis in a case–control study of Tunisian patients (Khlifi et al., 2015) and between serum nickel  
 1534 concentrations and brain damage markers and serum hormones in a case–control study with male  
 1535 Russian patients suffering from acute ischaemic stroke (Skalny et al., 2017). In Chinese pregnant  
 1536 women, a significant association was observed for nickel in blood with a decrease of free thyroxine  
 1537 using single-metal models. However, in the multiple-metals models, the trend was no longer significant  
 1538 (Guo et al., 2018).

1539 There is a growing body of evidence that metals and trace elements, including nickel, have a role in  
 1540 the development of various diseases in humans. However, this evidence is sparse and the studies had  
 1541 methodological limitations, and therefore these studies cannot be used in risk assessment of nickel.

### 1542 3.1.4 Mode of action

1543 A recurring theme in the toxicity of nickel is the evidence for a role of oxidative stress and elevation of  
 1544 ROS. A contribution of oxidative stress is evident in relation to reproductive toxicity, genotoxicity,  
 1545 immunotoxicity and neurotoxicity (see below). Further evidence of oxidative stress comes from a study  
 1546 by Deng et al. (2016) who investigated the pulmonary toxicity induced by dietary exposure to nickel  
 1547 chloride in broiler chickens. Chickens were fed diets containing nickel chloride hexahydrate for 42 days.  
 1548 Dose- and time-dependent lesions in the lung (swollen and exfoliated epithelial cells, thickened alveolar  
 1549 walls, infiltration of inflammatory cells and congestion) was associated with the generation of nitric  
 1550 oxide free radicals, oxidative damage to DNA (increased 8-hydroxy deoxyguanosine) and lipid  
 1551 peroxidation (increased MDA in the lung). Nickel decreased the messenger RNA (mRNA) levels and  
 1552 activities of antioxidant enzymes in the lung. Glutathione (GSH) content in the lung decreased in the  
 1553 treated groups whereas oxidised glutathione content increased. Although not directly relevant to  
 1554 ingestion of nickel via food, further evidence of oxidative stress associated with an apoptotic mechanism  
 1555 of cell death comes from studies in nasal epithelia. Nickel acetate caused apoptosis in nasal epithelial  
 1556 RPMI-2650 cells in association with increased caspase-3/7 activity, increased annexin V binding, p53  
 1557 and increased Bax/Bcl-2 protein ratio. There was also a concentration-dependent increase in ROS and  
 1558 mitochondrial depolarisation which was inhibited by the antioxidant N-acetylcysteine (NAC) (Lee et al.,  
 1559 2016).

1560 It has also been postulated that nickel might exert some of its effects via perturbation of iron  
 1561 homeostasis since divalent nickel competes with the transport of divalent iron into cells via DMT1 (see  
 1562 Section 3.1.1 on Toxicokinetics) and possibly could compete with iron sites on enzymes like the prolyl  
 1563 hydroxylases that modify hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ) (Davidson et al., 2005). Nickel decreased  
 1564 the binding of the Von Hippel–Lindau protein to HIF-1 $\alpha$ , indicative of a decrease in prolyl hydroxylase  
 1565 activity. In addition, there was a concentration-dependent inhibition of intestinal divalent iron  
 1566 absorption by divalent nickel, measured using gut sacs from freshwater rainbow trout (*Oncorhynchus*  
 1567 *mykiss*) *in vitro*. The relatively high sensitivity of the mucosal epithelium of the intestine to inhibition  
 1568 relative to the mucus or blood compartment, suggested to the authors that the interactions were likely  
 1569 to occur via DMT1 (Kwong and Niyogi, 2009).

#### 1570 3.1.4.1. Genotoxicity

1571 The genotoxicity of nickel is likely due to indirect effects including inhibition of DNA repair and ROS  
 1572 production. In addition, chromatin changes may occur following dysregulation of signalling pathways  
 1573 and alteration of the epigenetic landscape.

1574 In agreement with the conclusion drawn in 2015, the CONTAM Panel considers it unlikely that dietary  
 1575 exposure to nickel is carcinogenic to humans. Most of the new publications concern the mechanism of  
 1576 genotoxicity which may contribute to nickel-induced carcinogenesis by inhalation.



1577 **Inhibition of DNA repair**

1578 The treatment of cells with soluble nickel (II) increases the DNA damage and mutagenicity of several  
1579 agents evidently via inhibition of DNA repair (nucleotide excision repair, base excision repair and O6-  
1580 methylguanine-DNA methyltransferase).

1581 Cells with reduced repair of DNA double-strand breaks exhibit higher levels of baseline genomic  
1582 instability and sensitivity to DNA damaging agents. Scanlon et al. (2017) have shown that nickel-induced  
1583 downregulation of HDR is consistent with the time course of nickel-induced genetic lesions and the co-  
1584 carcinogenic effect of nickel with DNA damaging agents. They suggest that nickel can impact cellular  
1585 DNA repair on multiple levels, ranging from direct enzyme inhibition to modulation of DNA repair factor  
1586 expression. Nickel exposure (250 or 500 µM for 48 h) in human tumorigenic (lung carcinoma A549,  
1587 cervical carcinoma HeLa, and breast carcinoma MCF7 cells) and non-tumorigenic (BEAS-2B cells,  
1588 immortalised cell line derived from normal human bronchial epithelium) lung cells leads to  
1589 transcriptional downregulation of the HDR proteins BRCA1, RAD51 and FANCD2 and the mismatch  
1590 repair (MMR) protein MLH1, without downregulation of the non-homologous end joining (NHEJ) factors.  
1591 Treatment with nickel chloride (> 100 µM) in BEAS-2B and A549 cells led to a concentration-dependent  
1592 increase in DNA DSBs persisting 24 h post-irradiation in irradiated cells. At 250 µM and 500 µM nickel  
1593 chloride in BEAS-2B and A549 cells, respectively, small increases in the median comet tail moment were  
1594 observed in non-irradiated cells indicating that, at high concentrations, nickel-induced repression of  
1595 HDR may limit repair of spontaneous DNA DSBs within cells. They also showed that nickel represses  
1596 MLH1 promoter activity; however, upon longer treatment, enhanced *MLH1* promoter silencing did not  
1597 persist. Their findings support a model in which nickel inhibits high-fidelity DNA repair pathways through  
1598 acute hypoxia-mimetic transcriptional pathways, potentially contributing to nickel-induced  
1599 carcinogenesis by inhalation. The authors noted that the gene expression and functional changes in  
1600 DNA repair in nickel-treated cells have similarities to those induced by hypoxic stress. Hypoxia represses  
1601 the high-fidelity HDR and MMR pathways through multiple transcriptional and epigenetic mechanisms  
1602 but not the error-prone NHEJ pathway.

1603 **Oxidative stress**

1604 Treatment with soluble and insoluble Ni causes increases in ROS in many cell types and in animal  
1605 models. ROS induction seems to be responsible for increased DNA SSBs, DNA-protein cross-links and  
1606 sister chromatid exchanges (EFSA CONTAM Panel, 2015). Since then few new publications have been  
1607 identified.

1608 Terpilowska and Siwicki (2019) demonstrate a concentration-related increase in the intracellular ROS  
1609 level and the concentration of MDA (a marker of lipid peroxidation) in both BALB/3T3 and HepG2 cells  
1610 after exposure to 100–1400 µM nickel chloride hexahydrate. Superoxide dismutase (SOD), catalase  
1611 (CAT) and glutathione peroxidase (GSH-Px) activities significantly decreased after treatment,  
1612 dependent upon concentration.

1613 A correlation between the results of a comet assay and the results of oxidative stress assays was  
1614 described by Lou et al. (2013). They showed that when human B lymphoblastoid cells were exposed  
1615 for 24 h or 48 h to 640 µM nickel chloride the percentage of DNA in the tail of the comets was  
1616 significantly higher for the exposed groups compared to the control group. After 48 h exposure, ROS  
1617 levels in cells exposed to 640 µM nickel chloride were also significantly enhanced as compared to the  
1618 controls. Furthermore, MDA levels in cells exposed to 160 to 640 µM nickel chloride for 24 or 48 h  
1619 increased as compared with the controls (see Section 3.1.2.3 Genotoxicity).

1620 The induction of ROS after treatment with nickel chloride may be responsible for inconsistent outcomes  
1621 of genotoxicity tests in different systems (see Section 3.1.2.3. Genotoxicity). The susceptibility of test  
1622 systems to genotoxicity caused by ROS is highly dependent upon the antioxidant capacity of the cells.  
1623 Some systems are more prone to ROS, which may lead to positive genotoxicity results. However, some  
1624 systems have relatively high antioxidant capacity that can scavenge excessively produced ROS, and  
1625 therefore attenuate the damaging effects of ROS (Stannard et al., 2017).

1626 **Summary**

1627 The new studies confirm the previous conclusion (EFSA CONTAM Panel, 2015) that the genotoxicity of  
1628 nickel is likely due to indirect effects including inhibition of DNA repair and ROS production.

1629 **3.1.4.2. Epigenetic effects**

1630 **Chromatin**

1631 Nickel is able to silence genes near heterochromatin regions by initiating chromatin condensation (Sun  
1632 et al., 2013).

1633 Abnormal chromosomal forms were found in mammalian cells after treatment of cells with heavy metals  
1634 including nickel. Polarisation and premature local heterochromatisation are the most characteristic signs  
1635 of chromatin toxicity of nickel (II). It was shown that structural aberrations take place in chromatin  
1636 organisation upon treatment with nickel. These changes may generate characteristic geometric  
1637 distortions in the intermediates of chromatin condensation. Alterations in chromatin structures can lead  
1638 to apoptosis. Injury-specific chromatin changes that manifest at low concentrations suggest that pre-  
1639 apoptotic events are useful indicators of genotoxicity (Banfalvi, 2014).

1640 Studies have shown that some metals are capable of binding to the chromatin and proteins and thereby  
1641 inducing chromosomal aberrations, DNA-protein cross-links and DNA SSBs (Chen et al., 2017). In  
1642 particular, this review highlights that nickel has the ability to induce cell transformation and epigenetic  
1643 changes. However, the Panel noted that these effects are only relevant in the context of carcinogenesis  
1644 of nickel by inhalation.

1645 **DNA methylation, histone acetylation and miRNA regulation**

1646 Studies performed in order to understand the mechanism of nickel carcinogenesis by inhalation point  
1647 toward epigenetic alterations. Epigenetic alterations such as DNA methylation, histone modifications,  
1648 and small non-coding RNA are critical factors in inducing changes in the chromatin structure (Chen et  
1649 al., 2017).

1650 The epigenetic effects of nickel involve DNA hypermethylation and histone hypoacetylation resulting in  
1651 the activation or silencing of certain genes, especially those involved in cellular response to hypoxia  
1652 (Costa et al., 2005; Chen et al., 2012, 2017; Davidson et al., 2003, 2006; Kasprzak et al., 2003;  
1653 Salnikow et al., 2000).

1654 Water-soluble and water-insoluble nickel compounds are able to cause gene silencing. In the previous  
1655 EFSA Opinion (EFSA CONTAM Panel, 2015), several experiments were described showing that nickel  
1656 compounds influence DNA methylation, induce modification of histones and that micro RNAs may play  
1657 a role in nickel-induced cell transformation and carcinogenesis. New data have become available since  
1658 the previous EFSA Opinion, showing the key implication of epigenetic mechanisms in nickel  
1659 carcinogenicity by inhalation (Ji et al., 2013; Brocato and Costa, 2015; Zhang et al., 2013).

1660 Lee et al. (1995) have demonstrated that DNA methylation induced by nickel was found to inactivate  
1661 the expression of a stably integrated reporter gene, *gpt*, near the telomeres of Chinese hamster cells.

1662 Lee et al. (1998) have shown that nickel directly inhibits cytosine 5-methyltransferase activity. This  
1663 effect was only transient. Ni-induced an initial hypomethylation of DNA but global DNA methylation was  
1664 subsequently increased above basal levels and before any rebound of methyltransferase activity. The  
1665 hypermethylation may be targeted towards tumour suppressor genes and/or senescence as part of its  
1666 carcinogenesis mechanism by inhalation. Hypermethylation induced by nickel has been observed *in*  
1667 *vitro* and *in vivo* (Govindarajan et al., 2002; Sun et al., 2013; Zhang et al., 2011). It has also been  
1668 demonstrated that nickel selectively targets the inactive heterochromatin regions such as the long arm  
1669 of chromosome X in CHO cells instead of targeting active euchromatic regions. It was also reported  
1670 that nickel inhibits dioxygenases which result in an increase in DNA methylation marks (Brocato and  
1671 Costa, 2015).

1672 Nickel can also trigger silencing through histone modifications. It has been shown that *in vitro* and *in*  
1673 *vivo* exposure to nickel reduce global histone acetylation levels (Broday et al., 2000; Golebiowski and  
1674 Kasprzak, 2005; Ke et al., 2006). The reduction in histone acetylation may be due to inhibition of  
1675 histone acetyltransferase (HAT) or through ROS generation (Kang et al., 2003; Koyama et al., 2000;  
1676 Broday et al., 2000; Bal and Kasprzak, 2002; Zoroddu et al., 2000). It was also demonstrated that  
1677 nickel is capable of inducing alpha-helical conformation of the histone H4 tail rendering the transfer of  
1678 an acetyl group by acetyltransferase inactive (Zoroddu et al., 2000).

1679 Histone H3 lysine 9 dimethylation (H3K9me2) is involved in differentiation and maintaining cell identity  
 1680 and is associated with gene silencing. It is organised into large repressive domains that exist in proximity  
 1681 to active genes, which indicates the importance of maintenance of proper domain structure. Jose et al.  
 1682 (2014) treated immortalised non-cancerous human bronchial epithelial BEAS-2B cells with 500 µM  
 1683 nickel chloride for 72 h. They showed that nickel disrupted H3K9me2 domains, resulting in the spreading  
 1684 of H3K9me2 into active regions, which is associated with gene silencing. They demonstrate that nickel  
 1685 exposure can inhibit CTCF (insulator protein CCCTC-binding factor) binding at the weak binding sites.  
 1686 It was suggested that inhibition of CTCF at the H3K9me2 domain boundaries is a potential reason for  
 1687 H3K9me2 domain disruption and downregulation of gene expression.

1688 miRNA profiles significantly differ between tumour and normal tissues (Calin et al., 2002; Croce, 2009;  
 1689 Iorio and Croce, 2012). Chen et al. (2017) reported that miR-21 expression levels dose-dependently  
 1690 increased in nickel-induced human lung cancers. Clinically, patients with high nickel exposure and high  
 1691 miR-21 expression have a lower rate of survival. These data indicate that miRNA may play important  
 1692 roles in nickel-induced lung cancer following inhalation.

1693 In the context of cancer, these epigenetic changes would only be relevant to the inhalation route. Other  
 1694 potential consequences of epigenetic changes due to nickel exposure are currently unknown.

1695 **3.1.4.3. Reproductive and developmental toxicity**

1696 Nickel exposure dose-dependently disturbs the regular ovarian cycle, inhibits ovulation, decreases the  
 1697 implantation frequency in early embryogenesis, increases the frequency of early and late resorptions  
 1698 and the frequency of stillborn and abnormal fetuses (EFSA CONTAM Panel, 2015).

1699 Nickel also dose-dependently degenerates testicular structures, reduces sperm motility and count, and  
 1700 increases the occurrence of abnormal spermatozoa in mice.

1701 **Reproductive toxicity**

1702 A study was designed to investigate the effect of nickel administration on the histology of the testes,  
 1703 sperm parameters, and the expression of *CatSper 1* and *CatSper 2* genes in adult male mice. *CatSper*  
 1704 1 and *CatSper 2* are proteins involved in the formation of calcium ion channels, essential for the correct  
 1705 functioning of sperm cells. The exposed group was injected i.p. with 5 mg/kg bw per day of nickel  
 1706 chloride (corresponding to 2.3 mg/kg bw per day Ni) for 2 weeks. Nickel caused a reduction in sperm  
 1707 parameters as well as a decrease in the thickness of the germinal epithelium. Histological examination  
 1708 of the testes showed congestion of blood vessels, disintegration of germ cells from their basement  
 1709 membrane, and distorted intratubular architecture. In addition, there were variable degrees of Leydig  
 1710 cell hyperplasia, maturation arrest in some tubules, and scattered apoptotic cells. The most common  
 1711 types of morphological abnormalities were sperm head deformity (49.75%). The expression of *CatSper*  
 1712 2 in the exposed group was significantly lower compared to the control group, while no significant  
 1713 change was observed for *CatSper 1* (Mohammadi et al., 2018).

1714 Some studies in rats (Ambrose et al., 1976; Obone et al., 1999; and American Biogenics Corporation,  
 1715 1988; see EFSA CONTAM Panel, 2015 for a summary of these studies) showed no alterations in  
 1716 reproductive tissues and no adverse effects on fertility or reproductive performances after oral  
 1717 administration of nickel compounds. However, in mice, decreased male sex organ weights,  
 1718 histopathological changes in these organs, disturbed spermatogenesis, decreased sperm motility and  
 1719 sperm damage have been reported in studies after oral exposure to nickel compounds. These effects  
 1720 were responsible for a decrease in fertility.

1721 Taking into account the importance of free radical generation in the genotoxic effect of nickel (II) and  
 1722 knowing that GSH contributes to the reduction of damage to DNA, the primary aim of the study of  
 1723 Murawska-Ciałowicz et al. (2012) was to study whether male infertility caused by nickel (II) may be a  
 1724 result of oxidative stress involving protamine 2 in sperm chromatin. Corzett et al. (2002) had previously  
 1725 observed the expression of protamine 1 in rat sperm with only very little protamine 2 (2-5 % of total  
 1726 protamine in Norwegian rats). This contrasted with different species of mice in which protamine 2  
 1727 expression was 67-72% of total protamines in sperm. Thus Murawska-Ciałowicz et al. (2012)  
 1728 hypothesised that rats are less sensitive to nickel (II)-induced infertility due to a relative lack of  
 1729 protamine 2. The experiment was performed on male rats of the Buffalo strain (n=10 in the control and

1730 n=15 in the exposed group) and male mice of the Balb/c strain (n=10 in the control and n=15 in the  
1731 exposed group). The exposed groups received one i.p. injection with nickel chloride at a dose of 5 mg  
1732 Ni/kg bw. The concentration of lipid peroxidation markers (measurement of MDA + 4-hydroxynonenal)  
1733 in testicular homogenates of control mice are almost twice as high as the concentration measured in  
1734 control rats. After exposure to nickel there was a significant increase (over twofold) in lipid peroxidation  
1735 in testicular homogenates of mice. In the group of rats exposed to nickel, concentration of peroxidation  
1736 markers in testicular homogenates did not change. GSH concentration in testicular homogenates of  
1737 exposed rats was not significantly affected, whereas in treated mice GSH concentration was significantly  
1738 lowered (by 20%). This suggests that mice are more sensitive to the activity of nickel ions than rats.  
1739 On the basis of these results, it appears that nickel at this dose can initiate oxidative stress in the testes  
1740 of mice but not of rats. As a consequence of a reduced concentration of GSH, the anti-oxidant defence  
1741 of the testes is reduced. The consequent elevation of ROS in the testes may contribute to infertility. At  
1742 least part of the reason for a higher production of ROS in mouse testis compared to rat testis appears  
1743 to be related to the much higher concentration of protamine 2 in mouse testes. The rat expresses only  
1744 2-5% of the level of protamine 2 compared to the mouse (Belokopytova et al., 1993; Bunick et al.,  
1745 1990). Thus some of the ROS production appears to be a result of the formation of a complex between  
1746 nickel and the N-terminus of protamine 2 in species that express this protein. This mechanism of ROS  
1747 production has been studied (Bal et al., 1997a,b) in relation to the forms of protamine 2 which are  
1748 expressed in humans (Ammer et al., 1986; McKay et al., 1986). A synthesised peptide having the N-  
1749 terminal sequence of the human sperm protamine 2, binds nickel strongly and this leads to ROS  
1750 production that damages DNA evidenced by the formation of 8-oxo deoxyguanosine in vitro. In addition  
1751 to the complex resulting in an elevation of ROS, it is well established that alteration of the level of the  
1752 ratio of protamine 1 and protamine 2 (normally expressed at a similar level; Carrell et al., 2007) has  
1753 been associated with human male infertility (Oliva, 2006; Belokopytova et al., 1993; Balhorn et al.,  
1754 1987).

1755 Zou et al. (2017) studied the role of nickel-induced ROS generation in relation to apoptosis mediated  
1756 by mitochondria and endoplasmic reticulum stress (ERS) pathways in rat Leydig cells. Leydig cells were  
1757 seeded for 12 h with 0, 250, 500, and 1,000  $\mu$ M nickel sulfate or were incubated for 0, 6, 12, and 24 h  
1758 with 500  $\mu$ M nickel sulfate. Cells were also incubated with 2 ROS scavengers, NAC (5 mM) or 2,2,6,6-  
1759 tetramethyl-1-piperidinyloxy (TEMPO; 1 mM), for 1 h before treatment with 500  $\mu$ M nickel sulfate. Using  
1760 the MTT assay, authors showed a significantly decreased number of viable cells in a dose-dependent  
1761 manner. After the treatment for 6, 12, and 24 h, the viability of the Leydig cells decreased significantly  
1762 in all groups. The viability of the cells remained no more than 50% after treatment with 500 and  
1763 1,000  $\mu$ M nickel sulfate. The authors found twofold increases of dichlorofluorescein fluorescence  
1764 intensity of Leydig cells compared with controls (12 h, 500  $\mu$ M nickel sulfate). ROS generation was  
1765 significantly alleviated by NAC and TEMPO indicating that ROS is involved in nickel-induced cytotoxicity  
1766 in rat Leydig cells. The percentage of early apoptotic Leydig cells was significantly increased after 12 h  
1767 of treatment. Nickel also upregulated the mRNA expression of Bak<sup>17</sup>, cytochrome c and caspase 9,  
1768 indicative of an apoptotic mechanism, but these changes were reversed by both NAC and TEMPO  
1769 ( $p < 0.05$ ). The relative protein expression levels of GRP78, GADD153 and caspase 12 were upregulated  
1770 significantly after nickel treatment for 12 h. The authors concluded that ROS-dependent mitochondria  
1771 and ERS-mediated apoptotic signal pathways are involved in nickel-induced apoptosis in rat Leydig  
1772 cells.

1773 To determine the concentration- and time-effects of nickel on testosterone production and mitogen-  
1774 activated protein kinase (MAPK) phosphorylation, Leydig cells were treated with nickel sulfate (0, 250,  
1775 500 and 1,000  $\mu$ M) for 0, 6, 12 and 24 h, respectively (Han et al., 2018). To clarify the roles of ROS in  
1776 testosterone synthesis, cells were incubated with 5 mM NAC or 1 mM TEMPO for 1 h before treatment  
1777 with the highest nickel concentration (1,000  $\mu$ M nickel sulfate) for 24 h. To understand the roles of  
1778 MAPKs in testosterone synthesis, cells were pre-incubated with or without inhibitors of extracellular  
1779 signal-regulated kinase 1/2 (ERK1/2), p38 and c-JUN NH2-terminal protein kinase (JNK) for 0.5 h and  
1780 then treated with 1,000  $\mu$ M nickel sulfate for 24 h. The authors reported dose-dependent decreases in  
1781 testosterone levels in culture media (1,000  $\mu$ M nickel sulfate;  $p < 0.05$ ) and significant downregulation  
1782 of mRNA and protein expression levels of steroidogenic acute regulatory protein (StAR), cytochrome

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<sup>17</sup> Members of the Bcl-2 protein family are regulators in the mitochondrial pathway, and the multidomain group of pro-apoptotic proteins in the Bcl-2 family, including Bak, can result in the cytochrome c release from mitochondrial membrane.



1783 P450 11A1 (CYP11A1), 3 $\beta$ -hydroxysteroid dehydrogenase (3 $\beta$ -HSD), CYP17A1 and 17 $\beta$ -HSD. The  
1784 reduction of testosterone concentrations and downregulated expression of testosterone synthetase  
1785 were both reversed by NAC and by TEMPO (p<0.05), indicating that ROS were involved in nickel-  
1786 induced reduction of testosterone synthesis in rat Leydig cells. The phosphorylation of MEK1/2 in Leydig  
1787 cells significantly increased at 250, 500, 1,000  $\mu$ M nickel sulfate and the phosphorylation of ERK1/2,  
1788 MKK3, p38, MKK7 and JNK significantly increased at 500 and 1,000  $\mu$ M nickel sulfate. According to  
1789 these findings, the authors used only the highest concentration (1,000  $\mu$ M) for further experiments.  
1790 The results suggest that, at least, ERK1/2 and p38 MAPK signal pathways mediate nickel-induced  
1791 decrease of testosterone production by downregulating the expression of testosterone synthetase. The  
1792 authors also conclude that nickel-induced ROS generation and the activation of ERK1/2 and p38 MAPK  
1793 pathways contributed to the downregulated mRNA and protein levels of StAR, CYP11A1, 3 $\beta$ -HSD,  
1794 CYP17A1 and 17 $\beta$ -HSD, which ultimately reduced the testosterone content in rat Leydig cells (Han et  
1795 al., 2018).

#### 1796 **Developmental toxicity**

1797 The effect of nickel on embryo development was investigated in the mouse *in vitro*. Zygotes were  
1798 treated with 50  $\mu$ M or 100  $\mu$ M of nickel chloride until the blastocyst stage. Nickel at 100  $\mu$ M completely  
1799 eliminated hatching (statistically significant) and the rate of hatching at 50  $\mu$ M of nickel exposure was  
1800 reduced (although not statistically significant) compared to the controls. The expression of pluripotent  
1801 genes (*Nanog*, *Oct4*, *Sox2* and *Klf4*) in blastocysts exposed to nickel were downregulated in a dose-  
1802 dependent manner compared to the controls. The authors concluded that nickel disrupted blastocyst  
1803 hatching in a dose-dependent manner (Wang et al., 2018; abstract only).

#### 1804 **Summary**

1805 There is evidence for an effect of nickel on sperm quality, testicular histology and male fertility in mice.  
1806 Testicular degeneration has also been reported in rats in a study with limited reporting. Mice appear to  
1807 be more sensitive than rats. The mode of action appears to involve ROS, at least in part mediated by  
1808 nickel complexation with protamine 2 which is expressed in sperm chromatin. Humans express both  
1809 protamine 2 and protamine 1 at appreciable levels in sperm (43% protamine 2) and therefore may be  
1810 more similar in this respect to the mouse (67-72% protamine 2 in various mouse species) than to the  
1811 rat which expresses very little protamine 2 ( 2-5% in Norwegian rats). Thus, on the basis of protamine  
1812 2 expression levels, it appears that the susceptibility of humans to infertility might be more similar to  
1813 that of the mouse rather than to that of the rat, although the relative level of antioxidant defence in  
1814 sperm will undoubtedly also be a major determinant of susceptibility. There is also a possible  
1815 interference with calcium ion channels. *In vitro*, Leydig cell toxicity and reduced testosterone production  
1816 is related to increased ROS and there is evidence of altered ERK1/2 and p38 MAPK signalling which  
1817 appears to inhibit testosterone synthetase.

#### 1818 **3.1.4.4. Immunotoxic activity of nickel**

1819 The EFSA 2015 Opinion concluded that the combination of nickel with circulating or tissue protein gives  
1820 rise to new antigens and acts as a contact allergen and causes sensitisation expressed either as Type  
1821 I or Type IV hypersensitivity. These are mediated by IgE antibodies or by allergen-specific T-  
1822 lymphocytes, respectively, which are associated with a wide range of cutaneous eruptions following  
1823 dermal or systemic exposure. Alternatively, binding to the major histocompatibility complex (MHC)  
1824 and/or to MHC-bound peptides and T cell receptors leading to the activation of nickel-specific T cells  
1825 may result in sensitisation. Kuroishi et al. (2017) described chemokine ligand 4 (CXCL4) as a novel  
1826 nickel-binding protein. This is involved in hypersensitivity to nickel as well as in the adjuvant effect that  
1827 nickel has been shown to exert.

1828 New literature published since the EFSA Opinion in 2015 revealed more information on the mechanisms  
1829 of nickel-induced immunotoxicity. Such mechanisms may pertain to allergic responses that involve  
1830 inflammatory processes but may also relate to immunodysregulation and immunosuppression.

1831 Dyring-Andersen et al. (2013) showed that CD4(+) T cells producing IL-17, IL-22 and IFN- $\gamma$  are  
1832 important effector cells in the eczematous reactions of nickel-induced allergic contact dermatitis in  
1833 humans. Bechara et al. (2017, 2018) showed that toll-like receptor 4 (TLR4), p38 MAPK, and nuclear  
1834 factor kappa B (NF- $\kappa$ B) were involved in IL-23 production induced by nickel. Jak-signal transducer and  
1835 activator of transcription seems to maintain the IL-23/IL-12p70 balance by limiting IL-23 production

1836 and promoting Th1 polarisation. These results indicate that nickel-induced Th17 cell development is  
 1837 dependent on the production of IL-23 by human monocyte-derived dendritic cells via toll-like receptor  
 1838 4 (TLR4), p38 MAPK, NF- $\kappa$ B, and Jak-signal transducer and activator of transcription pathways. Similar  
 1839 observations on TLR4 and NF- $\kappa$ B involvement were made by Zoroddu et al. (2014), Lin et al. (2016),  
 1840 Oblak et al. (2015) and Peana et al. (2017). Almogren et al. (2013) observed a predominance of a Th1  
 1841 phenotype, based on cytokine expression including IL-4 and IL-10, of lymphocytes collected from  
 1842 patients suffering from nickel allergy, in line with commonly accepted mechanisms of contact sensitivity.  
 1843 Also another inflammatory mediator were shown to be induced by nickel: nickel chloride induced the  
 1844 expression of cyclooxygenase-2 (COX-2) mRNA in primary fibroblasts, neutrophils, RAW 264 cells, and  
 1845 THP-1 cells, indicating that nickel ions can induce COX-2 expression in various types of cells (Sato et  
 1846 al., 2016).

1847 Oral exposure to nickel as a determining factor for flare-ups in sensitive individuals has been reported  
 1848 (see Section 3.1.3). But oral nickel exposure has also been used as a mode of desensitisation. Ricciardi  
 1849 et al. (2013) evaluated the efficacy of exposure to increasing doses of nickel in women suffering from  
 1850 SNAS. The study indicates the efficacy of the desensitisation treatment after a period of being on a  
 1851 low-nickel diet, and confirms that oral exposure may induce oral tolerance, as previously reported (EFSA  
 1852 CONTAM Panel, 2015). Reduced IL-10 levels were noted in the desensitised individuals, indicating a  
 1853 role for IL-10 in the regulation of nickel-specific responses after oral uptake of nickel in nickel-sensitised  
 1854 individuals. Di Gioacchino et al. (2014) also studied the efficacy of oral desensitisation to nickel, and  
 1855 found that after desensitisation treatment, higher oral doses were required to induce flare-up reactions.  
 1856 Gingival fibroblasts may be involved in the induction of oral tolerance through nickel-induced alteration  
 1857 in NF- $\kappa$ B and HIF-1 $\alpha$  regulation (Gölz et al., 2016). Increased IL-1 $\beta$ , chemokine ligand 20 and vascular  
 1858 endothelial growth factor protein levels, as well as decreased IL-10 levels, which predispose an  
 1859 individual to an inflammatory reaction in the skin due to nickel, seem to be inhibited in gingival/oral  
 1860 tissue.

1861 Monocytes are precursors of macrophages as well as dendritic cells, and are capable of antigen  
 1862 presentation. They can activate nickel-specific T cells. Volke et al. (2013) studied lipopolysaccharide  
 1863 (LPS)-stimulated and non-stimulated RAW 264.7 macrophage cell lines incubated with nickel sulfate for  
 1864 24 h. Nickel sulfate increased LPS-induced nitrite production as well as the formation of L-citrulline from  
 1865 L-arginine. Correspondingly, the expression of the inducible nitric oxide synthase gene and protein was  
 1866 also remarkably enhanced. In contrast, nickel had an inhibitory effect on L-arginine transport. These  
 1867 data indicate that nickel interferes with macrophages' L-arginine/NOS system on multiple levels.

1868 Asakawa et al. (2015) also studied the effects of nickel (II) on the LPS-induced production of cytokines  
 1869 in murine macrophage cell line RAW264, as well as in the air pouch-type inflammation model in BALB/c  
 1870 mice. Nickel (II) inhibited LPS-induced production of IL-6, but not that of tumour necrosis factor- $\alpha$   
 1871 (TNF- $\alpha$ ) both *in vivo* and *in vitro*. In another study by the same group (Asakawa et al. (2018)), it was  
 1872 shown that nickel ions bind to the heat-shock protein 90- $\beta$  and enhance HIF-1 $\alpha$ -mediated IL-8  
 1873 expression.

1874 Freitas et al. (2013) demonstrated that that nickel nitrate kills neutrophils *in vitro* by an apoptotic  
 1875 mechanism most likely involving ROS production and increases in nicotinamide adenine dinucleotide  
 1876 phosphate oxidase. This toxicity may be important in relation to the immune system or in terms of  
 1877 bacterial defence if the toxic concentrations could be achieved *in vivo*.

1878 Kim et al. (2013) performed a genome-wide study on susceptibility loci for allergic nickel dermatitis.  
 1879 NTN4 (encoding for and extracellular matrix molecule) and PELI1 (involved in TLR/IL-1R signalling)  
 1880 seem to be involved in nickel sensitisation. The claudin-1 gene seems also to be involved (Ross-Hansen  
 1881 et al., 2013). This implies that such genes may also be involved in the effects of oral exposure of  
 1882 sensitive individuals. Studies with macrophages performed by Ferko and Catelas (2018) have shown  
 1883 that nickel ions can activate the NLRP3 inflammasome, via oxidative stress and NF- $\kappa$ B signalling. This  
 1884 is in line with studies by Li et al. (2013) and Li and Zhong (2014), indicating that nickel (II) activates  
 1885 the NLRP3-ASC-caspase-1 immune signalling pathway in antigen-presenting cells, leading to release of  
 1886 the pro-inflammatory cytokine IL-1 $\beta$ .

1887 The inflammatory action of nickel was also shown *in vitro*, using neutrophils harvested from canine  
 1888 peripheral blood. Extracellular traps were formed, i.e. networks mainly consisting of DNA and decorated  
 1889 with neutrophil elastase and myeloperoxidase (MPO) (Wei et al., 2018).



1890 Jakob et al. (2017), using a proteomic approach, identified in human monocytes harvested from  
 1891 peripheral blood and exposed *in vitro* to nickel (II), changes of protein expression linked to cell death,  
 1892 metal ion binding and cytoskeleton remodelling, indicative of apoptosis. Caspase-3 and -7 independent  
 1893 cell death of monocytes was observed at concentrations of 250  $\mu$ M and higher. Lower concentrations  
 1894 may result in activation of nickel-specific T cells. This is in line with earlier studies performed in broilers.  
 1895 Tang et al. (2015) noted that nickel chloride in excess of 300 mg/kg inhibited thymocyte growth by  
 1896 arresting cell cycle in broilers, increasing apoptosis percentage, altering apoptotic protein mRNA  
 1897 expression levels, and downregulating cytokine expression levels. Apoptosis was also found in  
 1898 splenocytes from broilers (Huang et al., 2013). Dietary nickel chloride in excess of 300 mg/kg caused  
 1899 apoptosis, altered Bax, Bcl-2 and Caspase-3 mRNA expression levels and induced oxidative stress in  
 1900 the spleen which are associated with apoptosis. Li et al. (2014) observed significant decreases in several  
 1901 haematological parameters (total erythrocyte counts, haemoglobin content and packed cell volume and  
 1902 osmotic fragility) in broilers. Also, the immune adherence function of erythrocytes, measured as the  
 1903 percentage of E-C3bRR, was decreased.

1904 Wu et al. (2014) noted suppressed mRNA expression of toll-like receptors TLR2-2 and TLR4 in the  
 1905 intestinal and cecal mucosa in broilers. The same group (Huang et al., 2014b) found decreased  
 1906 expression of IL4 and IL7 mRNA in the spleen of the broilers, as well as decreased levels of secretory  
 1907 immunoglobulin A, IgA, IgG and IgM in the small intestinal and cecal tonsil and in serum. The results  
 1908 were expanded by Huang et al. (2014a) to mRNA levels of IL-2, IL-6, IL-10, IL-12, TNF $\alpha$  and IFN $\gamma$ .  
 1909 Also, IgG, IgA and IgM content was found to be reduced in the bursa of Fabricius by oral nickel  
 1910 exposure. The authors also observed a loss of lymphocyte cellularity by histopathology. Concurrent  
 1911 with these findings, SOD, GSH-Px, and the ability to inhibit hydroxyl radical and glutathione content  
 1912 were significantly decreased. These results extended earlier findings by Wu et al. (2013b), showing  
 1913 that the serum IL-2, IL-4, IL-6, IL-10, IFN- $\gamma$  and TNF- $\alpha$  content was reduced. Collectively, these data  
 1914 indicate that the innate and acquired immune system of broilers is affected by exposure to nickel.  
 1915 Findings were further confirmed and extended by Yin et al. (2016a), who observed in broilers decreased  
 1916 cellularity lymphoid follicles with thinner cortices and wider medullae. Concurrently, the activities of  
 1917 SOD, CAT, GSH-Px, and the ability to inhibit hydroxyl radical and GSH contents were decreased in the  
 1918 bursa of Fabricius, while MDA content was increased in the nickel chloride-treated groups. In  
 1919 accordance with earlier studies, serum IgG, IgM, and bursa IgG and IgM contents were lower in the  
 1920 nickel chloride-treated groups compared to the controls. This was further supported by the same group,  
 1921 which showed that dietary nickel suppressed the development of the bursa of Fabricius, characterised  
 1922 by the relative weight of this organ, decreased lymphocyte density, increased G0/G1 phase (a prolonged  
 1923 non-dividing state), reduced S phase (DNA replication) and proliferating index, and increased  
 1924 percentages of apoptotic cells (Yin et al., 2016b). Also mRNA expression levels of Bax, cytochrome c,  
 1925 apoptotic peptidase activating factor 1, caspase-3, caspase-6, caspase-7 and caspase-9 were increased  
 1926 whereas the bcl-2 mRNA expression levels was decreased. This suppression of bursal development,  
 1927 and in particular the reduction of the B lymphocyte population and B lymphocyte activity, led to  
 1928 impairment of humoral immunity in the broiler chicken. The above-mentioned results show that in  
 1929 broilers, dietary nickel can cause histopathological lesions via oxidative damage, which finally impairs  
 1930 the function of the bursa of Fabricius and reduces the IgG and IgM content of the serum and the bursa  
 1931 of Fabricius.

1932 Guo et al. (2015) showed that nickel chloride altered inflammatory mediators, and functional damage  
 1933 in the broiler's kidney, using biochemical methods, immunohistochemistry and reverse transcription  
 1934 quantitative polymerase chain reaction. Dietary nickel chloride at doses higher than 300 mg/kg resulted  
 1935 in renal inflammatory responses, expressed as increased mRNA expression levels of the pro-  
 1936 inflammatory mediators including TNF- $\alpha$ , COX-2, IL-1 $\beta$ , IL-6, IL-8 and IL-18 through activation of NF-  
 1937  $\kappa$ B, in addition to decreased mRNA expression levels of the anti-inflammatory mediators including IL-  
 1938 2, IL-4 and IL-13. These data suggest that activation of the NF- $\kappa$ B pathway and reduction of anti-  
 1939 inflammatory mediator expression are the main mechanisms of nickel chloride-caused renal  
 1940 inflammatory responses after nickel chloride treatment. Several key inflammatory markers have been  
 1941 consistently associated with both obesity and risk of adverse outcomes in obesity-associated diseases,  
 1942 suggesting that a persistent, low-grade, inflammatory response is a potentially modifiable risk factor.  
 1943 The higher prevalence of nickel allergy in obese individuals (Lusi et al., 2015) was associated with a  
 1944 worse metabolic profile, while reducing the oral intake of nickel led to a considerable reduction in the  
 1945 BMI (Lusi et al., 2015; Watanabe et al., 2018). Chana et al. (2018) confirmed that nickel (II) amplifies

1946 LPS-induced secretion of several pro-inflammatory cytokines from monocytes. It is known that  
 1947 hyperglycaemic conditions also affect monocytic function. The study showed that that nickel (II)  
 1948 decreased mitochondrial activity in monocytic-cells and macrophages under normal conditions, but  
 1949 hyperglycemic conditions diminished the toxicity seen with nickel (II) exposure.

1950 Collectively, these studies show that the ability of nickel to bind to proteins is responsible for the  
 1951 induction of specific immune responses, leading to allergic reactions. These may be evident in the skin  
 1952 but can also occur elsewhere in the body. Nickel also has a non-specific activity on the immune system,  
 1953 such as the induction of inflammatory reactions through toll-like receptors and Nf-κB signalling  
 1954 pathways, which may be involved in the adverse reactions, including the allergic reactions. On the other  
 1955 hand, these mechanisms may also lead to dysregulation of the immune system, for instance through  
 1956 apoptosis, resulting in reduced production of immunoglobulins, that may have an impact on host  
 1957 resistance. Even though predominant reactions to nickel occur after skin exposure, oral exposure to  
 1958 nickel may potentially induce these effects as well, and especially cause flare-up reactions in already  
 1959 sensitised individuals.

1960 **3.1.4.5. Neurotoxicity**

1961 He et al. (2013a) reported that nickel exposure caused deficits in neurobehavioural performance in  
 1962 male mice administered nickel chloride hexahydrate orally by gavage and that nickel was deposited in  
 1963 the brain including the cerebral cortex (see Section 3.1.2.7 Neurotoxicity). They also examined nickel-  
 1964 induced aerobic metabolic disturbances in the cerebral cortex. Oxygen consumption and adenosine  
 1965 triphosphate (ATP) concentration were significantly decreased in the high-dose group at 3 h after dosing  
 1966 and lactate concentrations and the ratio of the reduced and oxidised form of nicotinamide adenine  
 1967 dinucleotide were significantly increased. These alterations returned to control levels at 24 h after  
 1968 dosing. In the high-dose group, oxidative stress was evident from the elevation of MDA concentrations  
 1969 and reduced activity of SOD. Oxidative stress was also induced in the low-dose group, but only the SOD  
 1970 activity was significantly decreased. The activity of two iron-sulfur cluster-dependent metabolic  
 1971 enzymes (ISCs), aconitase and complex I that are known to control aerobic metabolism was also  
 1972 measured. The aconitase activity was significantly decreased in both dose groups at 3 h after dosing  
 1973 while the activity of complex I was only significantly decreased in the high-dose group. The activity of  
 1974 both enzymes was similar in all groups at 24 h. The expression of ISC assembly scaffold protein was  
 1975 significantly suppressed in the high-dose groups at 3 h, but not at 24 h. According to the authors, these  
 1976 data suggest that aerobic metabolic disturbances may participate in the reported nickel-induced  
 1977 neurobehavioural effects and that the inhibition of ISC-containing metabolic enzymes may result in the  
 1978 disturbance of aerobic metabolism.

1979 Ijomone et al. (2018b) reported that nickel compromised neurobehavioural performance (cognitive and  
 1980 motor behaviour), affected neuronal morphology in the brain and significantly decreased the  
 1981 percentage of intact neurons in both hippocampus and striatum in adult male Wistar rats administered  
 1982 nickel chloride hexahydrate via i.p. injections in normal saline for 21 days at doses of 0, 5, 10, 50 mg  
 1983 NiCl<sub>2</sub>/kg bw (corresponding to 0, 2.3, 4.5 and 22.6 mg Ni/kg bw). The activities of SOD, catalase,  
 1984 glutathione S-transferase and GSH-Px were significantly decreased at all dose levels. Furthermore, the  
 1985 levels of glutathione were significantly decreased and the levels of MPO, lipid peroxidation and nitric  
 1986 oxide were significantly increased at all dose levels. These data suggest that the compromised  
 1987 neurobehavioural performance and brain histomorphology is associated with an increase in oxidative  
 1988 stress. Ijomone et al. (2018a) reported ultrastructural changes in neurons of the hippocampus, striatum  
 1989 and cortex in adult male Wistar rats administered nickel chloride hexahydrate orally by gavage in saline  
 1990 at doses of 0, 10, 20 mg NiCl<sub>2</sub>/kg bw (corresponding to 0, 4.5 and 9.1 mg Ni/kg bw) for 4 weeks (see  
 1991 Section 3.1.2.7 Neurotoxicity). Caspase-3 was markedly increased in CA3 and DG of the hippocampus  
 1992 and in the striatum in the high-dose group. Alpha-synuclein was also significantly increased in the cortex  
 1993 in the high-dose group; no effect was noted in the hippocampus and striatum. According to the authors,  
 1994 these data implicate mitochondria in an apoptotic mechanism of nickel-induced neurodegeneration.

1995 Rats were treated with nickel chloride by daily i.p. injection (0.25–1 mg/kg bw). Neurobehavioural tests  
 1996 after 8 weeks showed increased anxiety-like behaviour and depression-like symptoms compared with  
 1997 controls. Spatial learning and memory were impaired in males at the top dose only. There were  
 1998 associated changes in enzymes involved in antioxidant response and evidence of oxidative stress, which  
 1999 the authors consider may be the causative mechanism (Lamtai et al., 2018). Further evidence of

2000 oxidative stress is evident from a report that nickel causes elevation of metallothionein and oxidative  
2001 stress in mouse brain (Sadauskiene et al., 2013). However, this is reported only as an abstract and the  
2002 dose levels are not given.

2003 Previous studies demonstrated that nickel can cause a disruption of mitochondrial energy supply  
2004 mediated by activation of HIF-1 $\alpha$  and which could potentially contribute to neurobehavioural changes  
2005 in mice (He et al., 2013b). In neuro-2a cells, nickel chloride caused a concentration-dependent  
2006 increased expression of the microRNA miR-210 (which is known to be regulated by HIF-1 $\alpha$ ) and a  
2007 subsequent reduction of the protein that facilitates the assembly of the iron-sulfur cluster required for  
2008 mitochondrial function. This further supports the role of HIF-1 $\alpha$  in nickel toxicity (He et al., 2014).

2009 Several studies have focused on the effect of nickel in neuronal cell lines. Primary cultures of cortical  
2010 neurons were exposed to 0.5, 1.0 and 2.0 mM nickel chloride. Cytotoxicity was indicated by the release  
2011 of lactate dehydrogenase. Nickel reduced ATP production, disrupted mitochondrial membrane potential  
2012 and reduced mitochondrial DNA content. These effects were associated with an increase in ROS  
2013 production, decreased SOD activity and decreased concentration of GSH and were inhibited by pre-  
2014 treatment of cells with taurine which has antioxidant capacity. The findings support a role of oxidative  
2015 stress in neuronal cell toxicity induced by nickel (Xu et al., 2015). A differentiating neuronal cell line  
2016 (NT2) was treated with nickel (10  $\mu$ M) which increased the expression of HIF-1 $\alpha$  and specific markers  
2017 of neuronal differentiation in the absence of cytotoxicity. After 4 weeks of treatment, the expression of  
2018 tyrosine hydroxylase as a marker of dopaminergic neurons was reduced, suggesting a potential to affect  
2019 neurological development (Ceci et al., 2015).

2020 Further evidence for the potential for nickel to cause neurotoxicity comes from the observation in  
2021 cardiac neurons that 50  $\mu$ M nickel (II) inhibited neuronal excitability mediated by pituitary adenylate  
2022 cyclase polypeptide (Tompkins et al. 2015). In addition, nickel can interfere with calcium-induced  
2023 dimerisation of N-cadherin through competition with the binding of calcium (Dukes et al., 2019). This  
2024 shows the potential for an interference with adherens junctions including neurological synapses but the  
2025 effects were seen at 1 mM and it is not clear whether the potency is high enough to achieve the same  
2026 effects as the concentrations of nickel achieved *in vivo*.

2027 In a study by Baierle et al. (2014) associations were sought between ALA-D activity and various metals,  
2028 including nickel, in human volunteers (see Section 3.1.3.5 for further details). Nickel had no effect on  
2029 ALA-D activity, but nickel inhibited the reductive reactivation of oxidised ALA-D. This suggests a  
2030 potential to inhibit the maintenance of reduced thiol groups in ALA-D and is in accordance with nickel-  
2031 induced oxidative stress.

2032 In summary, nickel causes deficits in neurobehavioural performance in rodents and neuronal cell  
2033 toxicity *in vivo* and *in vitro*. These effects are associated with oxidative stress and disturbance of  
2034 mitochondrial aerobic metabolism evidently involving HIF-1 $\alpha$ .

2035 **3.1.4.6. Other**

2036 The cytotoxic effects of nickel ions on osteocytes were investigated *in vitro* (Kanaji et al., 2014).  
2037 Osteocytes from a murine long bone-derived osteocytic cell line (MLO-Y4) were treated with nickel  
2038 chloride solutions at concentrations of 0, 0.05, 0.10 and 0.5 mM for 24 and 48 h. A significant cytotoxic  
2039 effect was observed at 0.10 and 0.50 mM after 48 h of treatment. Significant higher levels of necrosis  
2040 and apoptosis were observed at 0.50 mM after 24 h of treatment.

2041 The effects of dietary nickel (diet supplemented with 300, 600 and 900 mg/kg of nickel chloride for 42  
2042 days) on the development of the small intestine in broilers were investigated. Doses  $\geq$  300 mg/kg bw  
2043 reduce the intestinal villus height, crypt depth and villus/crypt ratio, as well as the number of small  
2044 intestinal goblet cells. Decreases of the insulin-like growth factor-1 and the epidermal growth factor  
2045 content were also observed. This indicates that the normal development and function of the small  
2046 intestine was impaired in broilers (Wu et al., 2013a).

2047 3.1.5 Considerations of critical effects and dose–response analysis

2048 **3.1.5.1. Considerations of critical effects**

2049 **Chronic effects**

2050 In the previous Opinion (EFSA CONTAM Panel, 2015), the CONTAM Panel identified reproductive and  
2051 developmental toxicity as the critical effect for the risk characterisation of chronic oral exposure to  
2052 nickel. Different reproductive effects were reported in mice such as decreased male sex organ weight  
2053 and histopathological changes, disturbed spermatogenesis, decreased sperm motility and sperm  
2054 damage. These effects were responsible for a decrease in fertility in mice. Developmental toxicity  
2055 included increased pup mortality (stillbirth or post-implantation loss/perinatal lethality) and decreased  
2056 pup weight in rats. Developmental toxicity was also observed in previous studies in mice (decreased  
2057 fetal weight, malformations) but at higher doses than for rats. The effects were reported in a number  
2058 of studies of varying quality. The most reliable dose–response information for reproductive and  
2059 developmental effects was identified in a one-generation dose-range-finding study performed with  
2060 nickel sulfate hexahydrate in rats (SLI, 2000a) and in the subsequent main two-generation study (SLI,  
2061 2000b), see Section 3.1.2.5. The CONTAM Panel identified the incidence of litters with post-implantation  
2062 loss per treatment group as the relevant and sensitive endpoint for the dose–response assessment. A  
2063 recent short-term toxicity study (28 days) with limited reporting suggested that nickel also may cause  
2064 damage to the testes (testicular degeneration) of rats. Two recent studies confirmed that nickel caused  
2065 developmental toxicity in mice when administered during different gestational periods at doses higher  
2066 than those resulting in developmental toxicity in rats. For testicular effects, mice appear to be more  
2067 sensitive than rats whereas for developmental toxicity rats appear to be more sensitive than mice.  
2068 Human studies published since the previous Opinion suggest an association between nickel exposure  
2069 and adverse reproductive and developmental outcomes.

2070 The short-term toxicity studies in experimental animals published since the previous Opinion have  
2071 reported similar effects to those previously reported. Furthermore, effects on bone and on gut  
2072 microbiota were reported. Recent studies in experimental animals have indicated that nickel can disturb  
2073 the neurobehavioural functions in rats and mice and cause neurodegeneration in adult rats whereas no  
2074 clear signs of neurotoxicity have been reported in the few human studies. None of these studies are  
2075 adequate for the derivation of a reference point for the risk characterisation of chronic oral exposure  
2076 to nickel.

2077 A recurring theme in the toxicity of nickel is the evidence for a role of oxidative stress and ROS  
2078 formation. A contribution of oxidative stress is evident in relation to reproductive toxicity, genotoxicity,  
2079 immunotoxicity and neurotoxicity. Studies investigating the mode(s) of action underlying the adverse  
2080 reproductive and developmental effects published since the previous Opinion support a contribution of  
2081 oxidative stress and possible interference with calcium ion channels. *In vitro*, Leydig cell toxicity and  
2082 reduced testosterone production is also related to increased ROS and there is evidence of altered  
2083 ERK1/2 and p38 MAPK signalling which appears to inhibit testosterone synthetase.

2084 Based on the available data, the CONTAM Panel still considered that the increased incidence of post-  
2085 implantation loss in rats is the critical effect for the risk characterisation of chronic oral exposure to  
2086 nickel. The Panel concluded that the one- and two-generation studies by SLI (2000a, b) are still the  
2087 most suitable and reliable studies for dose–response modelling.

2088 **Acute effects**

2089 Exposure through the skin or by inhalation may lead to nickel sensitisation. Whereas oral exposure to  
2090 nickel is not known to sensitise, oral absorption of nickel is able to elicit eczematous flare-up reactions  
2091 in the skin (SCD) in nickel-sensitised individuals.

2092 The CONTAM Panel affirms that SCD elicited in previously nickel-sensitised individuals either via the  
2093 skin or the respiratory tract after oral exposure to nickel is the critical effect suitable for the risk  
2094 characterisation of acute oral exposure to nickel. In the current assessment no new studies were  
2095 identified as suitable for dose–response analysis and the CONTAM Panel used the same three studies  
2096 as in 2015 (Jensen et al., 2003; Hindsén et al., 2001; Gawkrödger et al., 1986).



2097 **3.1.5.2. Dose–response analysis (including BMD modelling)**

2098 The BMD analysis performed followed the updated guidance of the Scientific Committee on BMD  
 2099 modelling (EFSA Scientific Committee, 2017). The detailed description of the BMD analysis performed  
 2100 by the Panel can be found in Appendix III and Annex A. Appendix III shows the detailed BMD analysis  
 2101 from which the reference point was selected, and all other BMD analyses are shown in Annex A. The  
 2102 BMD analyses were performed using the EFSA web tool, which is based on the R-package PROAST  
 2103 61.3.

2104 **Chronic effects**

2105 As described in Section 3.1.5.1, the CONTAM Panel considered the incidence of post-implantation loss  
 2106 in rats as the critical effect following oral exposure to nickel. The CONTAM Panel concluded that the  
 2107 studies by SLI (2000a, b) are still the most suitable and reliable studies for dose–response modelling.  
 2108 These studies are particularly suitable for dose–response modelling as nine dose levels (0, 0.2, 0.6, 1.1,  
 2109 2.2, 4.4, 6.6, 11 and 17 mg Ni/kg bw per day) were tested (see Tables 3 and 4). The dataset from  
 2110 these studies consistsof three subsets: the one-generation dose-range-finding study (DRF), the F0/F1  
 2111 generation of the two-generation study (2GEN F0F1) and the F1/F2 generation of the two-generation  
 2112 study (2GEN F1F2). In 2015, the CONTAM Panel used the incidence of litters with post-implantation  
 2113 loss per treatment group and the incidence of litters with three or more post-implantation losses per  
 2114 treatment group for BMD analysis. The individual data were not used to derive a reference point since  
 2115 the analysis of these nested dichotomous data-response data using the software available at that time  
 2116 did not comply with the established goodness-of-fit criterion EFSA was using in 2015 in accordance  
 2117 with the previous BMD Guidance (EFSA, 2009a). Considering the update of the BMD guidance (EFSA  
 2118 Scientific Committee, 2017) and the available software, the CONTAM Panel decided to use the individual  
 2119 data of post-implantation loss per litter for the current assessment.

2120 BMD analysis of the 2GEN F1F2 data showed that none of the models were accepted, indicating that  
 2121 there is no observable trend (see Annex A.1). The BMD analysis was therefore limited to the data from  
 2122 the DRF and the 2GEN F0F1.

2123 For quantal data, the default benchmark response (BMR), as recommended by EFSA’s guidance, is an  
 2124 extra risk of 10% compared with the background risk. The CONTAM Panel noted that the US EPA (2012)  
 2125 indicates that most reproductive and developmental studies with nested study designs support a BMR  
 2126 of 5%. Applying a BMR of 5%, using model averaging and using the study as covariate, the resulting  
 2127 BMDL<sub>05</sub> values for post-implantation loss were 0.06 and 0.12 mg Ni/kg bw per day for the DRF and the  
 2128 2GEN F0F1 studies, respectively (see Table 5 and Annex A.2). Large BMDL<sub>05</sub>-BMDU<sub>05</sub> CIs (0.06–5.17  
 2129 and 0.12–4.18 mg Ni/kg bw per day, respectively) were observed. Therefore, the CONTAM Panel  
 2130 decided to apply the default BMR of 10% (see Appendix III.1). Applying a BMR of 10%, using model  
 2131 averaging and using the study as covariate, the resulting BMDL<sub>10</sub> values for post-implantation loss were  
 2132 1.40 and 1.34 mg Ni/kg bw per day for the DRF and the 2GEN F0F1 studies, respectively (see Table  
 2133 5). From this analysis, the CONTAM Panel selected the BMDL<sub>10</sub> of 1.3 mg Ni/kg bw per day for the  
 2134 increase of post-implantation loss in rats as a reference point for chronic effects caused by nickel.

2135 **Table 5:** Summary of the BMDL and BMDU values (mg Ni/kg bw per day) for post-implantation loss  
 2136 calculated for the combined analysis of the dose-range-finding study (DRF) and the F0/F1  
 2137 generation of the two-generation study (2GEN F0F1), using model averaging and study as  
 2138 covariate

Study	BMDL <sub>05</sub>	BMDU <sub>05</sub>	BMDL <sub>10</sub>	BMDU <sub>10</sub>
DRF	0.06	5.17	1.40	10.7
2GEN F0F1	0.12	4.18	<b>1.34</b>	9.8

2139 BMDL: benchmark dose lower confidence limit; BMDU: benchmark dose upper confidence limit.

2140  
 2141 **Acute effects**

2142 The CONTAM Panel confirms its previous conclusion to use SCD elicited in nickel-sensitised humans  
 2143 after oral exposure as the critical effect for acute oral exposure to nickel. In 2015, the CONTAM Panel  
 2144 identified three studies, namely Gawkrödger et al. (1986), Hindsén et al. (2001) and Jensen et al.  
 2145 (2003), as being suitable for the dose–response analysis.

2146 In the current assessment no other studies were identified as suitable for the dose–response analysis  
 2147 and the CONTAM Panel used the same three studies. It was noted that the study by Gawkrödger et al.  
 2148 (1986) includes no control group and three exposed groups and that the study by Hindsén et al. (2001)  
 2149 includes one control group and two exposed groups. Both experimental designs have important  
 2150 limitations for BMD analyses and therefore the CONTAM Panel decided to use the study by Jensen et  
 2151 al. (2003), consisting of one control group and three exposed groups (see Table 6), for BMD analysis.  
 2152 The CONTAM Panel selected the default BMR of an extra risk of 10% compared with the background  
 2153 risk for quantal data. Using model averaging, the resulting BMDL<sub>10</sub> - BMDU<sub>10</sub> interval for the incidence  
 2154 of clinically cutaneous reactions was  $2.66 \times 10^{-5}$  – 1.63 mg Ni/person (Annex A.3). The CONTAM Panel  
 2155 noted the large BMDL<sub>10</sub> – BMDU<sub>10</sub> interval and that very low BMDL<sub>10</sub> values (< 0.00001 mg Ni/person;  
 2156 see Annex A.3.5 Table A.4) were estimated for four models (i.e. the log-logistic, the log-probit, the  
 2157 Weibull and the gamma model). These models were restricted in the previous opinion (CONTAM Panel,  
 2158 2015). The new BMD Guidance (EFSA Scientific Committee, 2017) does not recommend constraining  
 2159 the steepness/shape parameter in the models. Therefore, if the shape of the dose–response curve is  
 2160 not constrained well enough by the data itself in the region of the BMR (due to the low number of dose  
 2161 groups, and/or the dose spacing, and/or limited sample size) a large BMD confidence interval can result  
 2162 as a consequence.

2163 **Table 6:** Incidence of cutaneous reactions to nickel following oral exposure in nickel-sensitised  
 2164 persons as reported by Gawkrödger et al. (1986) and Jensen et al. (2003)

Dose (mg Ni/person)	N	N with clinically cutaneous reactions <sup>(a)</sup>	N with flare-up of previous sites of dermatitis	Reference
0	10	1	1	Jensen et al. (2003)
0.3	10	4	4	Jensen et al. (2003)
1	10	4	4	Jensen et al. (2003)
4	10	7	6	Jensen et al. (2003)
0.4	10	5	n.r.	Gawkrödger et al. (1986)
2.5	10	5	n.r.	Gawkrödger et al. (1986)
5.6	6	6	n.r.	Gawkrödger et al. (1986)

2165 N: number of nickel-sensitised persons; n.r.: not reported.

2166 (a) Flare-up reactions and widespread clinical reactions, including any large or small clinical eruption on previously unaffected  
 2167 skin.

2168 Based on this analysis, the CONTAM Panel concluded that it is not appropriate to perform the BMD  
 2169 analysis on the study by Jensen et al. (2003) alone and considered the possibility of combining data  
 2170 sets from the different studies. It was noted that both Hindsén et al. (2001) and Jensen et al. (2003)  
 2171 reported the incidence of flare-up reactions only. However, BMD analysis of the incidence of flare-up  
 2172 reactions reported by Jensen et al. (2003) showed that none of the models were accepted, indicating  
 2173 that there is no observable trend (see Annex A.4). Therefore, the CONTAM Panel did not further use  
 2174 the incidence of flare-up reactions only in the assessment.

2175 Both Gawkrödger et al. (1986) and Jensen et al. (2003) reported the incidence of flare-up reactions  
 2176 together with the development of new physical signs (Table 6) and the populations studied by both  
 2177 research groups are comparable (based on comparison of age, sex, type of exposure and region where  
 2178 the study was conducted). Therefore, the CONTAM Panel decided to combine both datasets in one BMD  
 2179 analysis (not using the study as a covariate). Using model averaging, the resulting BMDL<sub>10</sub> – BMDU<sub>10</sub>  
 2180 interval for the incidence of clinically cutaneous reactions was 0.0124 – 2.43 mg Ni/person (Annex A.5).  
 2181 The CONTAM Panel noted the large BMDL–BMDU interval and that BMDL<sub>10</sub> of 0.0124 mg Ni/person is  
 2182 outside the dose-range. This is likely related to the small sample size that results in a large uncertainty  
 2183 in the response data (Appendix A.5.5) even though several dose groups are used in this case. Therefore,  
 2184 the Panel decided to identify the reference point based on the NOAEL/LOAEL approach. From the study  
 2185 by Jensen et al. (2003), a LOAEL of 0.3 mg Ni/person, the lowest dose tested, was identified. This  
 2186 LOAEL corresponds to 4.3 µg Ni/kg bw, assuming a body weight of 70 kg.



2187 3.1.6 Derivation of an HBGV / margin of exposure approach

2188 **Chronic effects**

2189 Previously, the CONTAM Panel established a TDI and the more recently available data do not provide  
 2190 a basis for changing this approach. Taking into account the revised BMD Guidance, the CONTAM Panel  
 2191 selected the BMDL<sub>10</sub> of 1.3 mg Ni/kg bw per day for the increase of post-implantation loss in rats as a  
 2192 reference point for chronic effects caused by nickel. Based on this BMDL<sub>10</sub> value, the CONTAM Panel  
 2193 established a TDI of 13 µg/kg bw for nickel using the default uncertainty factor of 100 to account for  
 2194 intra- and interspecies differences.

2195 **Acute effects**

2196 Eczematous flare-up reactions in the skin (SCD) following nickel exposure via food and drinking water  
 2197 have been reported to occur in nickel-sensitised individuals (see Section 3.1.3.4). The CONTAM Panel  
 2198 decided to characterise the hazard for the acute effects based on the LOAEL of 4.3 µg Ni/kg bw as the  
 2199 reference point for acute oral exposure to nickel.

2200 In 2015, the CONTAM Panel stated '*It is generally accepted amongst scientists in the field of*  
 2201 *immunotoxicology and sensitization that contact sensitization as well as elicitation of responses in*  
 2202 *sensitized individuals follow dose response relationships and have a threshold (Friedmann, 2007;*  
 2203 *Kimber and Basketter, 2008). This is also true for hypersensitivity to nickel (Ross-Hansen et al., 2014).*  
 2204 *For nickel ingested via the oral route, this implies that access of nickel molecules to the skin may lead*  
 2205 *to hypersensitivity reactions in the skin in a dose-dependent fashion. On the other hand, thresholds*  
 2206 *have not been formally established for sensitization to most contact allergens, and information on*  
 2207 *thresholds of allergic reactions in sensitized individuals is even sparser.'* Therefore, the CONTAM Panel  
 2208 decided at that time not to establish an acute reference dose, but to apply an MOE approach to the risk  
 2209 characterisation of this critical acute effect.

2210 No new information was identified since the previous opinion that supports a deviation from this  
 2211 approach and the Panel confirmed the previous conclusion to apply an MOE approach.

2212 The Panel considered that an MOE of 30 or higher would indicate a low health concern. This MOE of  
 2213 30 takes into account:

- 2214 1. the extrapolation from a LOAEL to a NOAEL (a factor of 3);
- 2215 2. high incidence of positive reactions at the LOAEL (40%);
- 2216 3. only a limited number of individuals were included in the pivotal study;
- 2217 4. that there is uncertainty regarding the threshold and that it can be expected that the threshold  
 2218 is low;
- 2219 5. the effects of nickel exposure in nickel-sensitised individuals have an impact on the quality of  
 2220 life, although not life-threatening (overall factor of 10 covering points 2–5).

2221 **3.2 Occurrence data**

2222 **3.2.1 Occurrence data on food submitted to EFSA**

2223 An initial number of 86,668 analytical results of food and drinking water on nickel were available in the  
 2224 EFSA database. All analytical data were reported as 'Total nickel' without providing information on  
 2225 specific chemical forms. Approximately 52% of the samples were reported as food and 48% as drinking  
 2226 water samples.

2227 Data were collected in 26 European countries. The analytical results were obtained between the years  
 2228 2000 and 2019. However, in order to reflect current contamination levels, only the most recent data  
 2229 were used in the present exposure assessment (from 2009 onwards).

2230 The occurrence data were carefully evaluated, and a list of validation steps was applied before being  
 2231 used to estimate dietary exposure (see Annex C, Table C.1 for further details). The final data set  
 2232 comprised 48,007 analytical results (63% for food and 37% for drinking water).

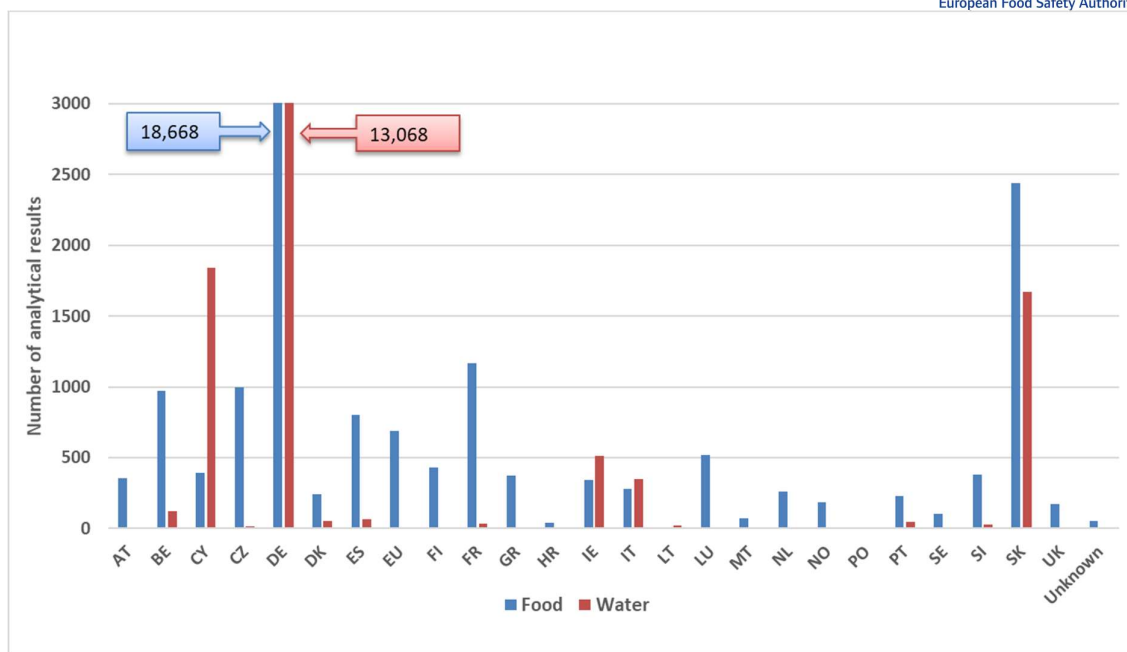
2233 The presence of relatively high LODs/LOQs may have a significant influence on the UB scenario.  
2234 Therefore, an evaluation of the reported LOQs was performed in order to reduce the impact of high  
2235 LOQs reported, but without compromising the number of analytical results (EFSA et al., 2018a). Based  
2236 on Council Directive 98/83/EC and Commission Directive 2003/40/EC, an LOD of 2 µg/L for the analysis  
2237 of water samples was considered in this assessment. Applying a factor of three to calculate the LOQ, a  
2238 value of 6 µg/L was used as an LOQ cut-off for samples of water. For the other food categories, special  
2239 attention was paid to those shown to be the most important contributors to the dietary exposure to  
2240 nickel in previous assessments (EFSA CONTAM Panel, 2015) and for which the difference between the  
2241 LB and UB was higher than 10%. For this purpose, different FoodEx level 2 food categories belonging to  
2242 'Grains and grain-based products', 'Vegetables and vegetable products', 'Milk and dairy products' and  
2243 'Non-alcoholic beverages' were identified. To identify the most appropriate LOQ cut-off values, the  
2244 distributions of quantified values (values above the LOQ) as well as the reported LOQs were evaluated.  
2245 The 75th or 90th percentile of the LOQs derived from the quantified values was selected as a cut-off  
2246 value and subsequently applied to the LOQs reported (EFSA et al., 2018a). The outcome of this  
2247 evaluation is reported in Annex C, Table C.2.

2248 Approximately 78% of the data were obtained for samples collected within the official monitoring  
2249 programmes, while the remaining samples came from private programmes conducted by industry and  
2250 other programmes (e.g. diet studies, surveillance and national surveys).

2251 Regarding the sampling method, a small number of the analytical results (< 1%) were obtained from  
2252 pooled samples, meaning that the result represented an average of a number of samples taken in equal  
2253 parts from different consignments/batches and pooled together before analysis. Since the level of  
2254 aggregation for pooled samples matched the level of classification of the individual samples (only similar  
2255 food matrices were pooled together), results from pooled samples were retained for further evaluation.  
2256 To ensure a proportionate representation of the individual samples and thus an accurate use of  
2257 occurrence data in assessing the dietary exposure, the mean concentrations per food category were  
2258 calculated by weighting the reported analytical results for the number of samples pooled.

2259 For analysis of total nickel, the sample is digested and consequently a recovery rate of about 100% is  
2260 expected. Recovery rates were reported for 2% of the data and approximately 2% of the analytical  
2261 results (n=1,048) submitted to EFSA were corrected for recovery.

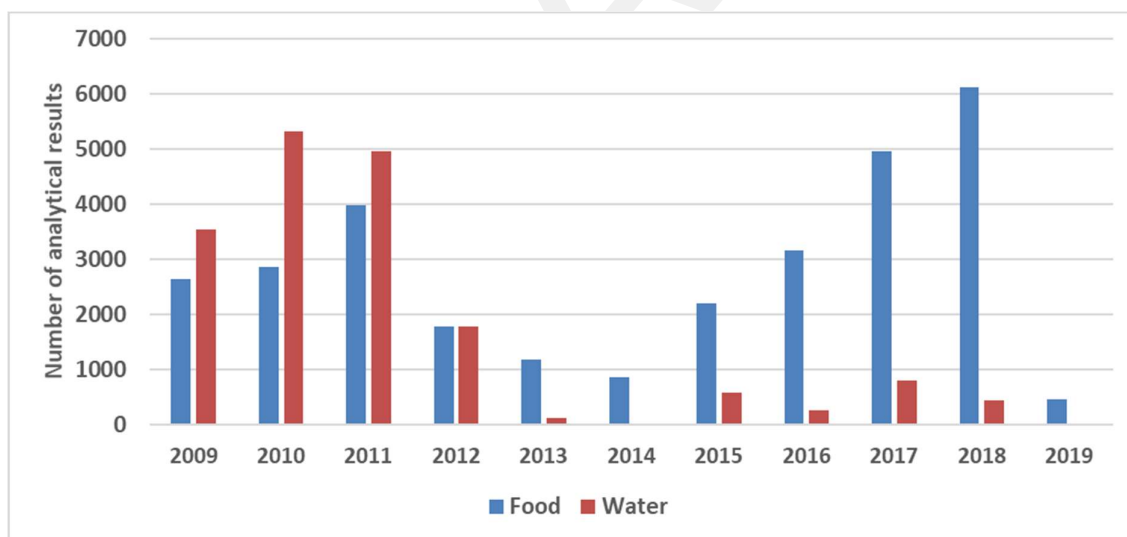
2262 Based on the data cleaning (see Annex C, Table C.1) 38,661 nickel analytical results were excluded.  
2263 The analytical results included in the final dataset (n=48,007) and considered for the dietary exposure  
2264 to nickel were collected in 24 different European countries, most of them in Germany (66% of analytical  
2265 results), while other countries contributed far less data (Figure 1). Approximately 1% of the data was  
2266 sampled in the EU without specification of the country. For a few data (n=51; 0.1%) no information on  
2267 sampling place was available; however, it was indicated that these products may be available on the  
2268 EU market. It should be noted that the origin of the samples was not always the European country  
2269 reporting the data, i.e. the data set also contained samples originating from North and South America,  
2270 Africa, Asia and Australia. The number of samples per year is presented in Figure 2.



2271

2272 AT: Austria; BE: Belgium; CY: Cyprus; CZ: Czechia; DE: Germany; DK: Denmark; ES: Spain; EU: European Union; FI: Finland;  
 2273 FR: France; GR: Greece; HR: Croatia; IE: Ireland; IT: Italy; LT: Lithuania; LU: Luxembourg; MT: Malta; NL: the Netherlands;  
 2274 NO: Norway; PO: Poland; PT: Portugal; SE: Sweden; SI: Slovenia; SK: Slovakia; UK: the United Kingdom.

2275 **Figure 1:** Distribution of analytical results of nickel in food and drinking water across the European  
 2276 countries (after excluding non-qualifying data)



2277

2278 **Figure 2:** Distribution of analytical results of nickel in food and drinking water over the sampling years  
 2279 (after excluding non-qualifying data)

2280 Table 7 (see Section 3.2.1.2) shows the number of analytical results and the percentage of LCD per  
 2281 food category at FoodEx Level 1. The most frequently analysed food categories were 'Drinking water',  
 2282 'Vegetables and vegetable products' and 'Grains and grain-based products'. A substantial amount of  
 2283 data was also available for many other food categories while others, e.g. 'Non-alcoholic beverages',  
 2284 'Eggs and egg products' were much less represented. The proportion of LCD ranged from 4% observed  
 2285 for the food category 'Legumes, nuts and oilseeds' to 85% observed for 'Animal and vegetable fats and  
 2286 oils' and the overall percentage of LCD was 53%.

2287 **3.2.1.1. Analytical methods**

2288 Information on the analytical methods used to analyse nickel was provided for 43% of the data included  
 2289 in the final dataset. Most of the analytical results (32%) were obtained using ICP-based analytical  
 2290 methods with different detection techniques: ICP-MS (low or high resolution MS) and ICP-OES/ICP-  
 2291 AES. Approximately 10% of data were measured by AAS, either reported without information or with  
 2292 information on the atomising unit, namely GF-AAS. Electrochemical and spectroscopic methods were  
 2293 reported for less than 1%. For the remaining samples, no information on the analytical method was  
 2294 reported.

2295 The distribution of the LOQs across the FoodEx Level 1 food categories is summarised in Annex C,  
 2296 Table C.3. A variety of median LOQs was noted across the food categories with the lowest median LOQ  
 2297 of 1.0 µg/kg observed for 'Drinking water' and the highest median LOQ of 150 µg/kg observed for  
 2298 'Products for special nutritional use'.

2299 **3.2.1.2. Occurrence data considered for dietary exposure assessment**

2300 An overview of the number of data points, the proportion of LCD as a percentage, the mean, median  
 2301 and 95th percentile (P95) concentration values of the FoodEx Level 1 food categories is presented in  
 2302 Table 7. More details on statistical description and according to lower FoodEx levels are reported in  
 2303 Annex C, Tables C.4–C.6.

2304 The occurrence data on nickel covered 20 FoodEx Level 1 food categories. The highest nickel mean  
 2305 concentrations were measured for the category 'Legumes, nuts and oilseeds', in particular for soya  
 2306 beans, soya bean flour, chestnuts and cashew nuts, and for the food category 'Products for special  
 2307 nutritional use', in particular for plant extract formula and mineral supplements. High mean nickel  
 2308 concentration levels were also measured for food products belonging to the food categories 'Sugar and  
 2309 confectionary' (mainly driven by chocolate (cocoa) products), 'Herbs, spices and condiments' (mainly  
 2310 driven by different spices) and 'Vegetables and vegetable products' (mainly driven by cocoa  
 2311 beans/cocoa products, tea leaves and seaweed), while for other food categories the mean nickel levels  
 2312 were much lower.

2313 It was noted that the mean LB nickel levels in drinking water are twice as high as those reported in the  
 2314 previous assessment (EFSA CONTAM Panel, 2015). This difference is associated with the selection of  
 2315 the LOQ cut-off; for the current assessment the LOQ cut-off of 6 µg/kg was selected (for more detail  
 2316 see Section 3.2.1) while the LOQ cut-off of 4 µg/kg was selected for the previous assessment.  
 2317 Consequently, samples analysed using a method with an LOQ between 4 and 6 µg/kg were included in  
 2318 the current dataset. It was noted that some of these samples had a high nickel concentration.

2319 **Table 7:** Summary of the nickel occurrence data by food category (µg/kg)

Food category, FoodEx Level 1	N	%LCD	Mean		Median <sup>(a)</sup>		P95	
			LB	UB	LB	UB	LB	UB
Grains and grain-based products	5,221	23	311	331	160	160	1,250	1,250
Vegetables and vegetable products	6,476	25	731	741	50	54	5,100	5,100
Starchy roots and tubers	887	16	100	106	42	46	500	500
Legumes, nuts and oilseeds	2,368	4	2,236	2,250	1,342	1,342	7,490	7,490
Fruit and fruit products	2,130	34	81	107	29	50	274	440
Meat and meat products	2,322	70	105	144	0	50	202	500
Fish and other seafood	1,655	51	128	160	0	50	420	500
Milk and dairy products	1,067	55	82	100	0	25	500	515
Eggs and egg products	153	61	19	28	0	10	70	70

Sugar and confectionary	772	38	1,392	1,462	305	503	5,330	5,330
Animal and vegetable fats and oils	1,343	85	100	213	0	60	180	1,000
Fruit and vegetable juices	1,246	46	25	52	11	24	78	110
Non-alcoholic beverages	88	30	49	58	12	20	180	180
Alcoholic beverages	1,512	68	12	40	0	20	36	100
Drinking water	17,831	81	2	3	0	1	7	7
Herbs, spices and condiments	982	20	1,176	1,201	361	460	4,640	4,640
Food for infants and small children	995	37	127	193	40	78	630	740
Products for special nutritional use	690	29	1,637	1,748	443	500	6,500	6,720
Composite food	160	19	117	141	60	64	340	500
Snacks, desserts, and other foods	109	48	133	168	40	100	630	630
Total	48,007	53						

2320 N: number of analytical results; % LCD: proportion of left-censored data; P95: 95th percentile; LB: lower bound; UB: upper  
 2321 bound.  
 2322 (a): Due to the high proportion of left-censored data (> 50%), the distribution of the LB concentrations is right-skewed.  
 2323 Therefore, the LB median result is zero.  
 2324

### 2325 3.2.2 Previously reported occurrence data in the open literature

2326 The CONTAM Panel reviewed previously reported occurrence data on nickel in food and drinking water  
 2327 in 2015 and concluded that in general, foods contained less than 500 µg Ni/kg. Foods with the highest  
 2328 mean concentrations of nickel were wild mushrooms, cocoa and cocoa products, beans, seeds, nuts  
 2329 and grains. In breast milk and waters, nickel concentrations are generally below 10 µg/L (EFSA CONTAM  
 2330 Panel, 2015).

2331 For its dietary exposure assessment, the CONTAM Panel in 2015 used a dataset of 18,885 food samples  
 2332 and 25,700 drinking water samples. The samples were collected between 2003 and 2012 in 15 different  
 2333 European countries, with almost 80% of the total collected in one Member State. In accordance with  
 2334 the scientific literature, high mean levels of nickel were reported for 'Legumes, nuts and oilseeds' (~  
 2335 2,000 µg/kg), certain types of chocolate (cocoa) products (3,800 µg/kg), and 'Cocoa beans and cocoa  
 2336 products' (9,500 µg/kg) (EFSA CONTAM Panel, 2015).

2337 Babaahmadifooladi et al. (2020b) reviewed the scientific literature for data on the occurrence of nickel,  
 2338 including the EFSA Opinion from 2015 and concluded that the foods with high nickel content are mostly  
 2339 of plant-based origin, e.g. legumes, soya-based products and nuts, compared with foods of animal  
 2340 origin such as meat, fish, and honey, which have lower nickel concentrations.

2341 In addition to this recent review, the CONTAM Panel noted that some new studies regarding the  
 2342 occurrence of nickel in food in Europe have become available. Examples of such studies are summarised  
 2343 below. When the CONTAM Panel was aware of duplication between data submitted to EFSA and data  
 2344 reported in the scientific literature, the data were only included in the data submitted to EFSA. However,  
 2345 no systematic check was done for possible duplication and this might have resulted in a partial overlap  
 2346 between Sections 3.2.1 and 3.2.2.

2347 Different food samples (n=291) were analysed in the French total diet study (TDS) on infants and  
 2348 toddlers to collect occurrence data on metals and metalloids (Chekri et al., 2019). Nickel was quantified  
 2349 in 37% of the samples. In infant foods, the highest mean nickel concentrations were observed in  
 2350 meat/fish-based ready-to-eat meals (75.7 µg/kg), vegetable-based ready-to-eat meals (71.5 µg/kg),  
 2351 soups/purees (57.7 µg/kg) and fruit purees (54.7 µg/kg). In common foods, the highest mean  
 2352 concentrations were found in sweet and savoury biscuits and bars (n=1; 527 µg/kg), dairy-based  
 2353 desserts (388 µg/kg), croissant-like pastries (173 µg/kg), and in hot beverages (n=1; 96.2 µg/kg).  
 2354 These high mean concentrations were mainly due to the contribution of samples containing chocolate.



2355 In a 2014 TDS carried out in the UK, the highest concentration of nickel was detected in nuts (2,140  
 2356 µg/kg) and poultry (290 µg/kg). In the previous TDS, nuts also had the highest concentration with a  
 2357 level of 3,020 µg/kg (FERA, 2015).

2358 Rubio et al. (2018) analysed 31 samples of Blue Jack mackerel muscle obtained from markets in the  
 2359 Canary Islands. Nickel was quantified in all samples, with a mean concentration of 110 µg/kg wet  
 2360 weight (ww) and ranging from 30 to 350 µg/kg. Nickel was also analysed in seafood samples collected  
 2361 from five marine ecosystems in Europe (Norway, Spain, Portugal, Italy and the Netherlands). It was  
 2362 only detected in mussels collected in the Po Delta with a mean concentration of 7,100 µg/kg dry weight  
 2363 (dw) (Maulvault et al., 2015). Fish samples from nine different species collected from local fish markets  
 2364 at the Romanian Black Sea coast were analysed for their metal content. Nickel was detected in the  
 2365 muscle of all fish species with the highest average concentration in Mediterranean horse mackerel (330  
 2366 µg/kg ww) and European pilchard (300 µg/kg ww) (Plavan et al., 2017). A total of 50 mussel and 40  
 2367 clam samples were collected at the Milan fish market. Nickel was detected in all mussel samples (mean  
 2368 concentration: 960 µg/kg) and in 39 clam samples (mean concentration of the positive samples: 1,230  
 2369 µg/kg) (Chiesa et al., 2018). In another Italian study fish and shellfish samples (n=30/species) of the  
 2370 Gulf of Catania were analysed and mean concentrations ranged between 42 and 196 µg/kg ww (Copat  
 2371 et al., 2018). In Poland, three farmed fish species were analysed for their nickel content as fresh fish  
 2372 (n=18) and after processing (smoking or marinating; n=15). Nickel was in all the samples below the  
 2373 LOD (0.0105 µg/L) (Cieslik et al., 2018).

2374 Nickel was analysed in samples of 11 different vegetables grown in Serbia. The highest average nickel  
 2375 concentrations were reported for spinach (2,200 µg/kg), broccoli (1,700 µg/kg) and tomatoes (1,500  
 2376 µg/kg) (Pajević et al., 2018). Samples of 12 vegetables were collected in La Rochelle, France, and  
 2377 analysed for their nickel concentration. Nickel was detected in all samples and the concentration ranged  
 2378 from 200 to 1,050 µg/kg (Cherfi et al., 2016).

2379 Beer samples (n=148) taken at the Belgian market were analysed for their nickel content. The results  
 2380 were submitted to EFSA and are therefore not further discussed in this section. However, the authors  
 2381 performed further analyses which are reported below. No correlation was identified between the nickel  
 2382 content and the indicated alcohol percentage, nor between the nickel concentration and the type of  
 2383 brewing process (top-fermented beers of high alcohol percentage, pilsner beers and sour beers).  
 2384 Further analysis of top-fermented beers showed that the yeast fraction contained a higher amount of  
 2385 nickel than the supernatants which could be due to a bioaccumulation of nickel in the yeast cells  
 2386 (Babaahmadifooladi et al., 2020a).

2387 Overall the results reported in the scientific literature are in line with the concentrations reported to  
 2388 EFSA.

#### 2389 **Release of nickel from food contact materials**

2390 Nickel may be released from food contact materials, including packaging material, cooking utensils and  
 2391 storage containers, which may result in additional exposure.

2392 Nickel can be released from coffee machines and concentrations above the SRL (up to 780 µg/kg) have  
 2393 been reported after decalcification (Müller et al., 2015). The authors pointed to the importance of  
 2394 sufficient rinsing after decalcification. Only low releases (maximum: 4.9 µg/L) were detected in the  
 2395 same study when water was boiled in electric kettles.

2396 Storage for 72 h of lemon juice in new and used cast iron containers resulted in nickel concentrations  
 2397 up to 28 and 514 µg/L, respectively. When storing water in the containers, the concentrations did not  
 2398 exceed the LOD (0.3 µg/L) (Khaniki et al., 2016).

2399 Nickel migration has been reported in canned vegetables (beans, chickpeas and okra). The nickel  
 2400 concentration was stable during the first half of the shelf-life but significant small concentration  
 2401 increases were observed during the second half. Concentrations of up to 500 µg/kg were reported.  
 2402 However, in one brand of chickpeas the concentration after 493 days of storage was 1,110 µg/kg  
 2403 (Noureddine El Moussawi et al., 2019). In another study, a large number of foods packed in different  
 2404 materials were sampled and analysed for their nickel content; no effect of the packaging material was  
 2405 found (Babaahmadifooladi et al., 2020a).

2406 Nickel migration was also studied in tea brewed in traditional metallic and stainless steel teapots (19  
2407 samples of teapots; three items per sample; old and new samples). The nickel concentration in the tea  
2408 ranged from 41 to 209 µg/L. However, in one sample the concentration was 856 µg/L. In comparison,  
2409 when using a pyrex glass recipient, the mean nickel concentration was 32 µg/L. Nickel was also  
2410 measured in tea samples from Moroccan families (n=14) and oriental tearooms (n=11) in Brussels. The  
2411 nickel concentration ranged from 14 to 187 µg/L and from 46 to 152 µg/L, respectively (Petit et al.,  
2412 2013).

2413 Guarneri et al. (2016) assessed the release of nickel from 18/10<sup>18</sup> stainless steel pots during common  
2414 cooking conditions. Tomato sauce and lemon marmalade were cooked for 1 h in pots from three  
2415 different stainless steel brands. Both used, i.e. used in a domestic setting for 10–12 years, and unused  
2416 pots were tested. Cooking tomato sauce for 1 h resulted in releases of 66, 144 and 98 µg/L and the  
2417 maximum concentration found after 1 h of cooking (basal level in the food and the release from the  
2418 pots) was 148 µg/L. Cooking lemon marmalade for 1 h resulted in releases of 38, 77 and 34 µg/L and  
2419 the maximum concentration found after 1 h of cooking (basal level in the food and the release from  
2420 the pots) was 80 µg/L. Nickel release was higher from unused pots than used pots.

2421 These results are in contradiction with the results from Flint and Packirisamy (1995, 1997) who only  
2422 observed an increase of the nickel concentration for the first and/or second cooking operation when  
2423 using new 19/9 stainless steel pots for cooking acidic foods. The authors concluded that the contribution  
2424 of 19/9 stainless steel cooking utensils to nickel in the diet is negligible.

2425 Szydal et al. (2016) reported the migration results from ceramic (n=172) and glass (n=52) tableware  
2426 using food simulants. The frequency of positive results and the amount of Ni that leaches into the food  
2427 simulants is low (i.e. one sample in which nickel was quantified (LOQ = 20 µg/L) at a concentration of  
2428 40 µg/L).

2429 In addition to the information identified in the scientific literature, EFSA received results of migration  
2430 tests using food simulants, from the Belgian and Swiss competent authorities. Belgium provided results  
2431 from 198 analyses of materials in alloys and aluminium carried out in 2018 and 165 in 2019. Per sample,  
2432 three items were analysed and one analysis corresponds to one sample. In both years, three analyses  
2433 (corresponding to one sample) were non-conform (i.e. concentration > SRL of 140 µg/kg). The detected  
2434 concentration was for both samples 1,000 µg/kg (FASFC, 2019). Switzerland provided results on 100  
2435 samples (3 items/sample) of cooking utensils in metals and alloys and exceedance of the SRL was  
2436 observed in 19 samples (concentration range: 170–4,570 µg/kg) (FSVO, 2019).

2437 In general, concentrations of nickel following migration are in the same order of magnitude as  
2438 concentrations reported to occur in food (see Section 3.2.1.2). Differences are observed between  
2439 studies, which may reflect a difference in quality. The CONTAM Panel considered the available database  
2440 too limited to draw up a scenario on dietary exposure to nickel resulting from food contact material.

### 2441 3.3 Dietary exposure assessment for humans

#### 2442 3.3.1 Current dietary exposure assessment

##### 2443 3.3.1.1. Current chronic dietary exposure assessment

2444 The CONTAM Panel assessed the dietary exposure to nickel following the methodology described in  
2445 Section 2.6.

2446 A summary of the nickel occurrence data including the number of results, percentage of LCD and mean  
2447 concentrations for the food categories at the FoodEx level as used for exposure assessment is presented  
2448 in Annex C, Table C.7.

2449 Overall, the CONTAM Panel noted that a high proportion of LCD was reported for some food categories.  
2450 The exposure is likely to be underestimated with the LB approach and overestimated with the UB  
2451 approach. This particularly applies to chronic dietary exposure estimates, whilst the acute dietary  
2452 exposure estimates are overestimated as based on UB occurrence data only.

---

<sup>18</sup> 18/10 steel contains 18% chromium and 10% nickel.

2453 **Mean and high chronic dietary exposure**

2454 Table 8 shows summary statistics for the assessment of chronic dietary exposure to nickel. Detailed  
 2455 mean and 95th percentile dietary exposure estimates calculated for each of the 44 dietary surveys are  
 2456 presented in Annex D, Table D.1.

2457 **Table 8:** Summary statistics for chronic dietary exposure to nickel ( $\mu\text{g}/\text{kg}$  bw per day) across  
 2458 European countries

Age class	Minimum		Median		Maximum	
	LB	UB	LB	UB	LB	UB
<b>Mean dietary exposure in total population (<math>\mu\text{g}/\text{kg}</math> bw per day)</b>						
Infants	3.05	4.25	4.40	6.14	8.31	9.71
Toddlers	6.23	7.77	8.53	10.1	12.5	14.6
Other children	4.69	5.42	7.05	8.16	8.97	10.1
Adolescents	2.40	2.80	3.58	4.27	5.56	6.44
Adults	1.83	2.20	2.90	3.41	3.65	4.19
Elderly	1.57	1.89	2.51	2.99	3.65	4.28
Very elderly	1.91	2.31	3.05	3.55	3.77	4.29
<b>95th percentile dietary exposure in total population (<math>\mu\text{g}/\text{kg}</math> bw per day)</b>						
Infants <sup>(a)</sup>	6.19	7.91	9.81	12.8	28.1	29.9
Toddlers <sup>(a)</sup>	10.7	12.5	16.1	17.9	23.2	24.8
Other children	10.3	11.5	13.3	14.6	18.8	20.5
Adolescents <sup>(a)</sup>	5.59	6.13	7.47	8.27	11.3	12.8
Adults	3.83	4.29	5.66	6.30	7.43	8.05
Elderly	3.55	4.12	4.98	5.56	6.83	7.69
Very elderly <sup>(a)</sup>	3.35	3.93	5.58	6.31	6.81	7.60

2459 bw: body weight; LB: lower bound; UB: upper bound.

2460 (a): The 95th percentile estimates obtained on dietary surveys/age classes with fewer than 60 observations may not be  
 2461 statistically robust (EFSA, 2011b) and are therefore not included in this table.

2463 The highest estimated chronic dietary exposure to nickel was in the young age groups. Concerning the  
 2464 mean dietary exposure, the highest estimated LB/UB exposure levels were in toddlers with a maximum  
 2465 exposure of 12.5/14.6  $\mu\text{g}/\text{kg}$  bw per day. The highest 95th percentile LB/UB exposure was observed  
 2466 for infants with estimates of 28.1/29.9  $\mu\text{g}/\text{kg}$  bw per day.

2467 Dietary exposure in specific groups of the population, namely 'Pregnant women' and 'Lactating women',  
 2468 were within the range of exposure estimates for the adult population (see Annex D, Table D.1).

2469 **Contributions of different food groups to chronic dietary exposure**

2470 The contribution (%) of each food category to the total mean exposure of nickel was calculated for  
 2471 each age class and dietary survey. Estimations of exposure using the LB approach, which is considered  
 2472 to be less influenced by the value of the LOD/LOQ, were used to present the contribution of the different  
 2473 food categories. The contribution of individual food categories to the mean LB chronic dietary exposure  
 2474 to nickel varied between the dietary surveys. This is explained by the specific food consumption patterns  
 2475 in the individual European countries and even in different regions within a country.

2476 The detailed contribution to the mean LB chronic dietary nickel exposure of the different food categories  
 2477 at FoodEx Level 1 and grouped by age class is shown in Annex D, Table D.2. The detailed contribution  
 2478 of the different food categories at the FoodEx Level as used for the exposure assessment and grouped  
 2479 by age class is shown in Annex D, Table D.3.

2480 Overall, the food categories mainly contributing to the mean LB chronic dietary exposure to nickel  
 2481 across all age classes was 'grains and grain-based products' with contributions reaching up to 49% in

2482 infants and toddlers. Bread and rolls had the highest contribution among the food subcategories  
2483 belonging to 'grains and grain-based products'. The mean nickel concentration levels for 'bread and  
2484 rolls' were not particularly high; therefore, it is likely that their high contribution is driven by high  
2485 consumption rather than the presence of nickel. Also 'fine bakery wares' contributed considerably to  
2486 the mean LB chronic dietary exposure to nickel, in particular in other children. The food category 'non-  
2487 alcoholic beverages' gave the second highest contribution except for young age groups, with a  
2488 contribution reaching up to 47% in very elderly. For adult age groups, coffee beverages were the main  
2489 contributor, and soft drinks and cocoa beverages for toddlers, other children and adolescents.

2490 Several other food groups were also important contributors to the mean LB chronic dietary exposure  
2491 to nickel. These included 'Legumes, nuts and oilseeds' contributing up to 36% in toddlers and within  
2492 this food category in particular beans. The food category 'Vegetables and vegetable products'  
2493 contributed up to 34% in infants, and among the sub-categories pickled vegetables were an important  
2494 contributor in particular for the adult age groups.

2495 Among young age groups, the food category 'Sugar and confectionary' also made an important  
2496 contribution, contributing up to 31% in adolescents. When analysing the subcategories, it was observed  
2497 that this outcome was mostly driven by a contribution of chocolate (cocoa) products. In addition, for a  
2498 few dietary surveys reporting high consumption of ready-to-eat soups, 'Composite food' was an  
2499 important contributor to the mean LB chronic dietary exposure to nickel.

2500 The contribution of 'Drinking water' was rather low (up to 3% in infants). When comparing the  
2501 contribution from 'bottled water' and the other types of water (i.e. tap water, water ice, well water,  
2502 drinking water unspecified), the contribution to the mean LB chronic dietary exposure to nickel from  
2503 'bottled water' was slightly higher.

2504 The contribution of other food categories was minor. Despite relatively high nickel concentrations  
2505 measured in 'Herbs, spices and condiments' and 'Products for special nutritional use', the exposure from  
2506 these foods was small because of the low consumption recorded within the dietary surveys.

### 2507 **Exposure of infants through breastfeeding**

2508 In 2015, the CONTAM Panel estimated the exposure of breastfed infants from human milk (EFSA  
2509 CONTAM Panel, 2015; see Section 3.3.2). No recent studies reporting nickel concentrations in human  
2510 milk samples from the European population were identified and therefore, no new estimations were  
2511 performed.

### 2512 **3.3.1.2. Current acute dietary exposure assessment**

#### 2513 **Mean and high acute dietary exposure**

2514 Table 9 summarizes the range of mean and 95th percentile UB acute exposures to nickel across different  
2515 age classes and dietary surveys. Detailed mean and 95th percentile UB acute exposure estimates for  
2516 each dietary survey across age classes with their corresponding confidence intervals (2.5th and 97.5th  
2517 percentiles) are described in Appendix D, Table D.4.

2518 **Table 9:** Range of mean and 95th percentile acute dietary exposure<sup>(a)</sup> to nickel across European  
2519 dietary surveys

Age class	Number of dietary surveys	Range of mean acute exposure ( $\mu\text{g}/\text{kg}$ bw per day)	
		Minimum	Maximum
Infants	13	4.25 (4.06-4.45)	9.17 (8.40-10.2)
Toddlers	17	7.77 (7.51-8.06)	14.6 (12.6-16.7)
Other children	21	5.42 (5.17-5.67)	10.5 (9.91-11.1)
Adolescents	23	2.81 (2.61-3.03)	7.08 (6.75-7.47)
Adults	25	2.21 (2.03-2.48)	4.69 (4.57-4.83)
Elderly	22	1.89 (1.75-2.07)	4.28 (4.07-4.52)
Very elderly	17	2.31 (2.15-2.51)	4.30 (4.12-4.48)
		Range of 95th percentile acute exposure ( $\mu\text{g}/\text{kg}$ bw per day)	
		Minimum	Maximum
Infants	13	12.0 (10.8-13.3)	32.4 (28.0-38.0)
Toddlers	17	18.1 (16.9-19.5)	40.8 (30.6-54.1)
Other children	21	15.1 (13.8-16.5)	28.0 (25.8-30.4)
Adolescents	23	8.26 (7.15-9.48)	18.1 (15.5-20.9)
Adults	25	6.04 (5.15-7.15)	11.6 (10.9-12.3)
Elderly	22	5.35 (4.54-6.40)	11.8 (10.8-12.9)
Very elderly	17	5.57 (4.95-6.30)	12.0 (11.1-13.0)

2520 bw: body weight.

2521 (a): With their corresponding confidence intervals (2.5th and 97.5th percentiles).

2522

2523 Overall, the young age groups (infants, toddlers and other children) showed higher acute exposure to  
2524 nickel than the other age classes. Mean acute exposure ranged from a minimum of 1.89  $\mu\text{g}/\text{kg}$  bw per  
2525 day estimated in the elderly up to a maximum of 14.6  $\mu\text{g}/\text{kg}$  bw per day estimated in toddlers. The  
2526 95th percentile acute exposure ranged from a minimum of 5.35  $\mu\text{g}/\text{kg}$  bw per day estimated in the  
2527 elderly up to a maximum of 40.8  $\mu\text{g}/\text{kg}$  bw per day estimated in toddlers.

2528 Mean acute exposure estimates did not differ much from those calculated for the mean chronic  
2529 exposure to nickel. This can be explained by the fact that nickel is present in many different foods  
2530 which are regularly consumed.

2531 Acute dietary exposure in the dietary surveys covering pregnant and lactating women were within the  
2532 range of exposure estimates in the adult population (see Annex D, Table D.4).

### 2533 Contributions of different food groups to acute dietary exposure

2534 The food categories having the most important contribution to the acute dietary exposure to nickel  
2535 were determined across age classes and dietary surveys based on the 95th percentile exposure levels  
2536 estimated. The most relevant food categories varied considerably between the surveys and age classes,  
2537 which is explained by the specific food consumption patterns in the individual European countries and  
2538 age classes. For infants, the most relevant foods involved in the acute exposure to nickel were grain-  
2539 based products (in particular, breakfast cereals, oat milling products and cereal flakes), ready-to-eat  
2540 meals and in some surveys also the infant formulae. For toddlers, the most relevant foods involved in  
2541 the acute exposure to nickel were also very variable across the dietary surveys, including beans, ready-  
2542 to-eat soups, chocolate, breakfast cereals and cereal flakes. More homogenous pattern was observed  
2543 for other children and adolescents; for these age categories the most relevant food categories were  
2544 beans and chocolate and in one survey also fruit and vegetable juices. Among adult population groups  
2545 (adults, the elderly and very elderly), the most relevant foods involved in the acute exposure to nickel  
2546 were beans, coffee, ready-to-eat soups, chocolate, breakfast cereals, and in one particular survey also  
2547 pickled vegetables. The detailed 95th percentile acute exposure levels to nickel of the different food  
2548 categories at the FoodEx level as used for the exposure assessment and grouped by age classes and  
2549 dietary surveys are shown in Annex D, Table D.5.



2550 In addition, the contributions (%) of food categories as used for the exposure assessment to the total  
2551 mean acute exposure of nickel were calculated for each age class and dietary survey and the results  
2552 are presented in Annex D, Table D.6. Overall, the main contributors were similar as those described for  
2553 the chronic exposure.

### 2554 **3.3.1.3. Additional specific acute scenarios**

#### 2555 **Acute exposure from seaweed**

2556 The nickel concentrations measured in seaweed were particularly higher as compared to most other  
2557 vegetable products (see Annex C, Table C.5 and Table C.6). Such finding together with the increasing  
2558 popularity of these specific food products in Europe may represent an important health issue. The  
2559 exposure to nickel from seaweed may be of particular concern in case of large consumption within a  
2560 very short period (one day). Therefore, the CONTAM Panel decided to evaluate the acute exposure to  
2561 nickel from seaweed (for more detail see Section 2.6).

2562 Given the limited number of consuming days available in the Comprehensive Database, the Panel  
2563 focused only on the surveys where the seaweed consumption was recorded for at least 12 consuming  
2564 days. The calculated high exposure levels were based on the 75th percentile identified as the highest  
2565 reliable percentile. Finally, calculations were possible for four different dietary surveys in adults carried  
2566 out in four European countries.

2567 The 75th percentile estimates for acute dietary exposure to nickel from seaweed across dietary surveys  
2568 ranged from 0.15 to 5.04 µg/kg bw per day. Detailed 75th percentile dietary exposure estimates  
2569 calculated for each of the selected dietary surveys are presented in Annex D, Table D.7.

2570 Given the limited consumption data availability the results are only indicative and do not allow to draw  
2571 firm conclusions.

#### 2572 **Acute exposure from pasta containing seaweed**

2573 In response to high concentration levels of nickel measured in seaweed and an increasing popularity of  
2574 the specific types of pasta containing the seaweed in Europe, the CONTAM Panel considered it of  
2575 interest to address the issue of nickel exposure from this food products (for more detail see Section  
2576 2.6).

2577 The mean estimates for acute dietary exposure to nickel from pasta containing seaweed across dietary  
2578 surveys and age classes ranged from 0.02 µg/kg bw per day observed in very elderly to 3.81 µg/kg bw  
2579 per day observed in infants. The 95th percentile ranged from 0.20 µg/kg bw per day in the elderly to  
2580 10.4 µg/kg bw per day in toddlers. Detailed mean and 95th percentile dietary exposure estimates  
2581 calculated for each of the selected dietary surveys are presented in Annex D, Table D.8.

#### 2582 **Acute exposure from water**

2583 Nickel bioavailability is higher under fasted condition and when nickel is ingested without food (see  
2584 Section 3.1.1.). Such conditions apply when drinking water on an empty stomach. Therefore, the  
2585 CONTAM Panel estimated the dietary exposure to nickel from a small bottle of water (500 mL)  
2586 containing a high concentration of nickel (for more detail see Section 2.6). Under this specific scenario,  
2587 the acute exposure from tap water was 0.04 µg/kg bw and 0.08 µg/kg bw from bottled water. It was  
2588 concluded that the exposure from drinking a small bottle of water is low.

### 2589 **3.3.2 Previously reported dietary exposure**

2590 In 2015, the CONTAM Panel reviewed previously reported dietary exposure assessments in European  
2591 countries showing that mean adult exposure ranged from 90 to 361 µg/day. Assuming a body weight  
2592 of 70 kg, this range corresponds to 1.3–5.2 µg/kg bw per day. The Panel also assessed chronic and  
2593 acute dietary exposure using occurrence data submitted to EFSA. Mean chronic dietary exposure to  
2594 nickel, across the different dietary surveys and age classes, ranged from 2.0 to 13.1 µg/kg bw per day

2595 (minimum LB – maximum UB). The 95th percentile dietary exposure ranged from 3.6 to 20.1 µg/kg bw  
2596 per day (minimum LB – maximum UB). The highest chronic dietary exposure to nickel was observed  
2597 for toddlers and other children. The main contributors were 'Grain and grain-based products', 'Non-  
2598 alcoholic beverages (except milk-based beverages)', 'Sugar and confectionery', 'Legumes, nuts and  
2599 oilseeds', and 'Vegetables and vegetable products (including fungi)'. 'Milk and dairy products' were also  
2600 important contributors to the dietary exposure to nickel in the young population, in particular in  
2601 toddlers. The contribution of 'Drinking water' was very small. Vegetarians seem to have slightly higher  
2602 dietary exposure to nickel than the general population. However, it should be noted that the calculated  
2603 exposures were based on very limited consumption data. Mean dietary acute exposure in the young  
2604 populations (infants, toddlers, other children and adolescents) ranged from 3.4 (95% CI: 3.1–3.7)  
2605 µg/kg bw in one survey for adolescents to 14.3 (95% CI: 13.2–15.5) µg/kg bw in one survey for  
2606 toddlers. The 95th percentile ranged from 8.6 (95% CI 8.0–9.1) µg/kg bw in one survey for adolescents  
2607 to 35.0 (95% CI 26.8–47.2) µg/kg bw in one survey for toddlers. In the adult populations, mean dietary  
2608 acute exposure ranged from 2.5 (95% CI 2.2–2.9) µg/kg bw in one survey for elderly to 4.9 (95% CI:  
2609 4.6–5.5) µg/kg bw in one survey for adults. The 95th percentile ranged from 5.5 (95% CI: 5.1–6.0)  
2610 µg/kg bw in one survey for elderly to 11.8 (95% CI: 10.6–13.8) µg/kg bw in one survey for adults.  
2611 Possible exposure due to leaching of nickel into food from food contact material was not included in  
2612 this dietary exposure assessment (EFSA CONTAM Panel, 2015).

2613 The CONTAM Panel also estimated the exposure of infants via human milk (EFSA CONTAM Panel, 2015).  
2614 An average daily milk consumption of 800 mL and a high consumption of 1,200 mL was used for an  
2615 infant of three months (6.1 kg bw) that is exclusively breast-fed. Considering the highest reported  
2616 average concentration of nickel in human milk (43.9 µg/L), the mean dietary exposure was estimated  
2617 to be 5.8 µg/kg bw per day. For breastfed infants with high milk consumption, the exposure was  
2618 estimated to be 8.6 µg/kg bw per day. The CONTAM Panel noted that lower or similar exposure to  
2619 nickel is expected in breastfed infants as compared to non-breastfeeding infants.

2620 Babaahmadifooladi et al. (2020b) reviewed the scientific literature for data on the dietary exposure to  
2621 nickel. However, no recent studies conducted in Europe were included and so this review is not further  
2622 discussed in this Opinion. The CONTAM Panel noted that some new studies regarding the dietary  
2623 exposure of nickel from food in Europe have become available. Examples of such studies are  
2624 summarised below.

2625 A TDS was conducted in France between 2010 and 2016 to assess the dietary exposure of infants and  
2626 toddlers (Sirot et al., 2018). The mean LB exposure of children under 3 years of age was between 0.4  
2627 and 2.7 µg/kg bw per day and the P90 LB between 1.3 and 4.6 µg/kg bw per day. Chocolate-based  
2628 products contributed 30–60% to the dietary exposure to nickel for 13–36 month-old children (Sirot et  
2629 al., 2018).

2630 In the 2014 TDS carried out in the UK, a wide variety of foods was included to assess the dietary  
2631 exposure to metals and other elements, including nickel. Children (1.5 to 3 years of age) had the highest  
2632 mean and P97.5; being 4.4–5.2 µg/kg bw per day and 7.1–8.1 µg/kg bw per day, respectively. The  
2633 food group with the highest contribution to the total mean exposure was the 'Miscellaneous cereals'  
2634 group (FERA, 2015).

2635 The Committee on Toxicology (COT) estimated dietary exposure of infants and children aged 1 to 5  
2636 years (COT, 2018). Chronic nickel exposure from exclusive breastfeeding of 0–6-month-old infants  
2637 ranged from 0.01 to 6.4 µg/kg bw per day for average consumers (800 mL/day) and from 0.02 to 9.6  
2638 µg/kg bw per day for high consumers (1,200 mL/day). Mean estimated chronic exposure of children  
2639 from infant formula, commercial infant foods and other foods was 1.3–5.6 µg/kg bw per day and the  
2640 P97.5 was 2.8–8.7 µg/kg bw per day. The COT also estimated acute exposure from breast milk in 4–  
2641 12-month-old infants up to 8.5 µg/kg bw per day. Overall, acute exposures of up to 12 µg/kg bw per  
2642 day were calculated for children up to 5 years of age.

2643 Dietary exposure of the Italian population to nickel has been assessed in the TDS carried out by the  
2644 Istituto Superiore di Sanità in 2012–2014. The mean dietary exposure ranged from 1.5 to 4.6 µg/kg bw  
2645 per day across age classes. The 95th dietary exposure ranged from 2.5 to 9.6 µg/kg bw per day. Both  
2646 mean and 95th percentile dietary exposure was the highest for children and infants. The main  
2647 contributors to the dietary exposure were cereals and cereal products (27%), sweet products (16%),

2648 vegetables (11%), potatoes (8%), fruit (7%), and pulses (6%) (Cubadda, 2020 personal  
2649 communication).

2650 The Finnish Food Authority assessed the dietary heavy metal and aluminium exposure of Finnish adults.  
2651 The mean exposure to nickel was 2.53 and 2.16 µg/kg bw per day for people aged 25–64 years and  
2652 65–74 years, respectively. The main contributors to the dietary exposure of people aged 25–64 years  
2653 were 'cereals and cereal products', 'legumes, nuts and seeds' and 'sugar and sweets'. In the older age  
2654 group (65–74 years), the main contributors were 'cereals and cereal products', 'legumes, nuts and  
2655 seeds' and 'fruit and berries' (Suomi et al., 2010).

2656 The dietary exposure of Polish students (n=850) to nickel was assessed in 2006–2010 using 24-hour  
2657 dietary recall and diet duplicates. In female students the exposure was between 101 and 152 µg/day  
2658 and in male students between 139 and 204 µg/day (Marzec et al., 2014). These exposures correspond  
2659 to 1.68–2.42 µg/kg bw per day for females and 1.99–2.60 µg/kg bw per day for males (Koch, 2019  
2660 personal communication).

2661 Koch et al. (2016) assessed the dietary exposure of 583 healthy adults aged 19–30 years living in the  
2662 eastern part of Poland using 24-hour dietary recall and a market basket method. The study was  
2663 performed in 2011–2013. The mean dietary exposure to nickel of females was 384 µg/day and for  
2664 males 455 µg/day. The corresponding exposures on a body weight basis were 6.18–6.82 µg/kg bw per  
2665 day (women; range) and 6.30–8.09 µg/kg bw per day (men; range) (Koch, 2020 personal  
2666 communication).

2667 In Poland, Bartos et al. (2014) observed a mean nickel intake of 227 µg/day in a group of students  
2668 aged 20 to 25 years. The same study conducted among senior researchers aged 40 to 50 years from  
2669 the same university indicated a mean nickel intake of 161 µg/day.

2670 In addition, several scientific papers reporting dietary exposure from one or a few food groups in Europe  
2671 were identified. However, these were not included in the Opinion.

### 2672 3.3.3 Non-dietary sources of exposure

2673 For both smokers and non-smokers not-occupationally exposed to nickel, exposure by inhalation may  
2674 be expected in general to represent a negligible or minor addition to the daily exposure via the diet  
2675 (EFSA CONTAM Panel, 2015).

2676 The COT estimated exposure to nickel from air of infants and young children and calculated an exposure  
2677 ranging from 0.00014 to 0.042 µg/kg bw per day. In addition, ingestion of dust and soil may add to  
2678 the oral exposure to nickel. The COT estimated for infants and young children a possible nickel exposure  
2679 from dust to be between 0.18 and 0.55 µg/kg bw per day. Ingestion of soil may result in an exposure  
2680 of these age groups ranging from 0.071 to 0.2µg/kg bw per day (COT, 2018).

2681 Additional non-dietary exposure may result from the use of nickel in the production of many varieties  
2682 of iron–nickel alloys, in countless industrial and consumer products, in electroplating, in pigments and  
2683 colours for ceramics and glassware, in marine anti-fouling agents, and in alloys with aluminium, cobalt,  
2684 chromium, copper, gold, lead, silver and titanium (EFSA CONTAM Panel, 2015). Nickel may be present  
2685 in white gold and in inexpensive alloys used for fashion or jewellery, including piercings. A nickel flash  
2686 may also be used in the silver or gold plating process of such jewellery (Bocca et al., 2007). The  
2687 presence of nickel in these types of products may result in dermal exposure and consequently  
2688 sensitisation.

## 2689 3.4 Risk characterisation

### 2690 3.4.1 Chronic effects

2691 The mean and 95th percentile chronic dietary exposures to nickel (see Table 8) were compared with  
2692 the TDI of 13 µg/kg bw.

2693 Mean chronic dietary exposure was the highest for the young age groups and particularly for toddlers.  
2694 The mean LB chronic dietary exposure for toddlers ranged from 6.23 to 12.5 µg/kg bw and the mean  
2695 UB from 7.77 to 14.6 µg/kg bw per day, across dietary surveys. For one survey in toddlers, the mean  
2696 chronic dietary exposure was at the level of the TDI (LB–UB: 12.5–14.6 µg/kg bw per day) and this  
2697 may indicate a concern. However, the Panel noted that this particular survey included only 36 subjects

2698 (see Annex D, Table D.1) For all other age classes, the mean LB and UB chronic dietary exposure was  
 2699 below the TDI and does not indicate a concern.

2700 The 95th percentile chronic dietary exposure was also the highest for the young age groups and  
 2701 particularly for toddlers. The 95th percentile LB chronic dietary exposure for toddlers ranged from 10.7  
 2702 to 23.2 µg/kg bw and the 95th percentile UB from 12.5 to 24.8 µg/kg bw per day, across dietary  
 2703 surveys. The 95th percentile LB chronic dietary exposure exceeded the TDI in 10 out of 14 dietary  
 2704 surveys in toddlers and in 11 out of 19 dietary surveys in other children. Also in infants, an exceedance  
 2705 of the TDI was observed in some surveys. For adults, the 95th percentile LB chronic dietary exposure  
 2706 for toddlers ranged from 3.83 to 7.43 µg/kg bw per day and the 95th percentile UB from 4.29 to 8.05  
 2707 µg/kg bw per day. In the adolescents and all adult age groups, the 95th percentile chronic dietary  
 2708 exposure was below the TDI. The 95th percentile chronic dietary exposure exceeds the TDI in several  
 2709 dietary surveys in the young age groups (infants, toddlers and other children). In general, the difference  
 2710 between LB and UB estimates is rather small and the exceedance of the TDI is not due to a high  
 2711 proportion of LCD and high LOQs. The CONTAM Panel concluded that the 95th percentile chronic dietary  
 2712 exposure to nickel may raise a health concern for the young age groups.

### 2713 3.4.2 Acute effect

2714 The CONTAM Panel selected a LOAEL of 4.3 µg Ni/kg bw as the reference point for the acute oral  
 2715 exposure to nickel and decided to apply an MOE approach. The Panel considered that an MOE of 30 or  
 2716 higher would be indicative of a low health concern.

2717 Comparison of the mean UB acute dietary exposure to nickel reported above (Table 9) to the LOAEL of  
 2718 4.3 µg Ni/kg bw, results in MOE values that range from 0.3 to 2.3 across dietary surveys and age  
 2719 classes (Table 10). For the young age groups (i.e. infants, toddlers and other children) all calculated  
 2720 MOEs are equal to or below 1. The MOEs values when using the 95th percentile UB acute dietary  
 2721 exposure range from 0.1 to 0.8 across dietary surveys and age classes.

2722 The CONTAM Panel concluded that the calculated MOEs raise a health concern for nickel-sensitised  
 2723 individuals.

2724 **Table 10:** Margins of exposure based on acute dietary exposure across dietary surveys and age  
 2725 classes for SCD elicitation in nickel-sensitised individuals

	MOE calculated from mean acute dietary exposure		MOE calculated from P95 acute dietary exposure	
	Minimum(a)	Maximum(b)	Minimum(a)	Maximum(b)
Infants	1.0	0.5	0.4	0.1
Toddlers	0.6	0.3	0.2	0.1
Other children	0.8	0.4	0.3	0.2
Adolescents	1.5	0.6	0.5	0.2
Adults	1.9	0.9	0.7	0.4
Elderly	2.3	1.0	0.8	0.4
Very elderly	1.9	1.0	0.8	0.4

2726 MOE: margin of exposure; P95: 95th percentile.

2727 (a): MOE calculated based on minimum dietary exposure across dietary surveys.

2728 (b) MOE calculated based on maximum dietary exposure across dietary surveys.

2729

2730 The CONTAM Panel elaborated also a few scenarios of acute exposure, each representing a specific  
 2731 situation of dietary exposure to nickel, including a specific scenario on drinking water consumption (see  
 2732 Section 3.3.1.3.).

2733 Seaweed contains relatively high nickel concentrations (see Section 3.2.1.2 and Annex C, Table C.5 and  
 2734 C.6). The UB 75th percentile estimates for acute dietary exposure to nickel from seaweed ranged from  
 2735 0.15 to 5.04 µg/kg bw per day across dietary surveys. The corresponding MOE range is 0.9 to 29.

2736 Seaweed is also used for the production of seaweed products like seaweed pasta. Therefore, a scenario  
 2737 was elaborated to estimate the nickel exposure from this product. The mean UB estimates for acute  
 2738 dietary exposure to nickel from pasta containing seaweed across dietary surveys and age classes ranged  
 2739 from 0.02 to 3.81 µg/kg bw per day. The 95th percentile UB acute dietary exposure estimates across

2740 dietary surveys and age classes ranged from 0.20 to 10.4 µg/kg bw per day. The MOE values calculated  
2741 from the mean UB acute dietary exposure for this scenario were in the range 1.1 to 215 and in the  
2742 range 0.4 to 21.5 for the 95th percentile UB acute dietary exposure.

2743 These scenarios indicate that high consumption of seaweed and seaweed pasta by nickel-sensitised  
2744 individuals would raise a health concern. However, the CONTAM Panel noted that these scenarios were  
2745 elaborated with limited data and the results are therefore only indicative and do not allow to draw any  
2746 firm conclusions.

2747 Bioavailability of nickel under fasted conditions is higher compared to the ingestion with food.  
2748 Therefore, a scenario was elaborated to estimate the dietary exposure when drinking a small bottle of  
2749 water (500 mL) containing a high concentration of nickel (see Section 2.6) under fasted conditions. The  
2750 acute exposure from tap water was 0.04 µg/kg bw and 0.08 µg/kg bw from bottled water. The  
2751 corresponding MOE values were 120 and 55, respectively. These MOE values do not raise a health  
2752 concern.

### 2753 3.5 Uncertainty analysis

2754 The evaluation of the inherent uncertainties in the assessment of exposure to nickel in food and drinking  
2755 water has been performed following the guidance of the Opinion of the Scientific Committee related to  
2756 uncertainties in dietary exposure assessment (EFSA, 2007). In addition, the report 'Uncertainty and  
2757 Data Quality in Exposure Assessment' has been considered (WHO/IPCS, 2008). According to the  
2758 guidance provided in the EFSA Opinion (2007) the following sources of uncertainty have been  
2759 considered: assessment objectives, exposure scenario, exposure model, and model input (parameters).

#### 2760 3.5.1 Assessment objectives

2761 The objectives of the assessment were clearly specified in the terms of reference.

#### 2762 3.5.2 Exposure scenario/exposure model

2763 The exposure assessment was based on nickel occurrence data collected in numerous European  
2764 countries; however, most of them (66%) were collected in only one country, while other countries  
2765 contributed far less data. There is uncertainty around possible regional differences in nickel  
2766 contamination and the data set is likely not fully representative of the EU market.

2767 When considered appropriate, occurrence data and consumption events for solid forms of certain foods  
2768 (e.g. coffee beans, infant formulas, etc.; for more detail see Section 2.6) were adjusted by an  
2769 appropriate dilution factor. Assumptions applied for this conversion may, however, not be accurate and  
2770 representative for all possible commercial products. This may lead to an overestimation or  
2771 underestimation of exposure. For the adjusted data, it was not considered appropriate to assume that  
2772 the water or milk used for dilution would always contain nickel. However, this could result in an  
2773 underestimation of exposure.

2774 Exposure from nickel released from food contact materials (including packaging material, cooking  
2775 utensils and storage containers) was not considered due to lack of solid scientific information. This  
2776 could result in an underestimation of exposure.

2777 A high proportion of LCD was reported for some food categories. However, these food categories were  
2778 not the main contributors to the dietary exposure and consequently the difference between LB and UB  
2779 estimates is rather small. The use of the LB in this Opinion tends to underestimate, while the UB tends  
2780 to overestimate the dietary exposure. This uncertainty particularly applies to chronic dietary exposure  
2781 estimates. The acute dietary exposure estimates are based on UB occurrence data only and tend to be  
2782 an overestimation. Given, the small difference between the LB and UB nickel concentrations for major  
2783 contributors to the acute dietary exposure, this overestimation is considered to be low. The limited  
2784 number of available analytical results for some food categories adds uncertainty to the  
2785 representativeness of the mean concentration values used to estimate the exposure.

2786 The results of the additional acute exposure scenarios for seaweed and seaweed pasta (see Section  
2787 3.3.1.3) should be considered as indicative due to the limited occurrence and consumption data.

2788 Uncertainties and limitations related to the use of the EFSA Comprehensive Food Consumption Database  
2789 have already been described by EFSA (2011b) and are not further detailed in this Opinion.



### 2790 3.5.3 Model input (parameters)

2791 Four European standardised methods for the determination of total nickel in water are available and  
2792 only one standardised method for food, namely for animal and vegetable fats and oils. Several  
2793 standards, certified reference materials and regular proficiency testing schemes are available for total  
2794 nickel in food and water. The analytical results used for the exposure assessment were generated by  
2795 different laboratories using different analytical methods with varying LODs and LOQs. These limitations  
2796 may have added to the overall uncertainty of the analytical results.

### 2797 3.5.4 Other uncertainties

2798 Nickel is usually measured in food as total nickel and there are only few studies of nickel speciation in  
2799 food. It is generally assumed that nickel occurs in food in the form of complex bound organic nickel.  
2800 Nickel can also be present in the environment as nickel nanoparticles and possibly also in food; however  
2801 no information is available. Complex bound organic nickel and nickel nanoparticles have different  
2802 physico-chemical and possibly different biological properties than inorganic nickel.

2803 Nickel absorption from the gastrointestinal tract is dependent on the chemical form and thus, the  
2804 solubility of the nickel compound. Absorption may be suppressed by binding or chelating substances,  
2805 competitive inhibitors, or redox reagents. On the other hand, absorption is often enhanced by  
2806 substances that increase pH, solubility, or oxidation, or by chelating agents that are actively absorbed.  
2807 Limited data are available on oral bioavailability for humans and even more for experimental animals.  
2808 The available human data indicate a lower oral bioavailability when nickel is administered in the  
2809 presence of food (0.7-2.5%) compared with administration via drinking water in the absence of food,  
2810 or in a fasted state (25-27%). However, the three relevant human studies only included a low number  
2811 of individuals and furthermore, a considerable inter-individual variability in the measured parameters  
2812 was noted in these studies. Therefore, there is a high uncertainty regarding oral bioavailability of nickel  
2813 from food and beverages including drinking water in humans. The pivotal study used for the acute  
2814 hazard characterisation was conducted in fasted individuals. Consequently, the reference point used  
2815 for the acute risk characterisation is representing a fasted condition while most dietary exposure results  
2816 from food intake. This results in a high uncertainty in the acute risk assessment. Comparison of reported  
2817 bioavailabilities under different conditions indicates that the acute risk might be overestimated.

2818 A study in rats showed an absorption of around 10% when nickel sulphate or nickel chloride was  
2819 administered in a 5% starch saline solution as vehicle. The pivotal study used for the chronic hazard  
2820 characterisation is a gavage study in rats, which had free access to feed during the treatment period .  
2821 Although such a condition is considered more representative for dietary exposure via food and  
2822 beverages, comparison of reported bioavailabilities for humans and rats results in some uncertainty in  
2823 the chronic risk assessment.

2824 Regarding effects on male infertility, data indicate that rats are less sensitive than mice. Male infertility  
2825 caused by exposure to nickel appears to be a result of oxidative stress, in part mediated by nickel  
2826 complexation with protamine 2 in sperm chromatin which elevates ROS production. As well as oxidative  
2827 stress, the modification of protamine 2 *per se* in sperm may also contribute to infertility. The fact that  
2828 protamine 2 (and the ratio of protamine 2 to protamine 1) in human and mouse sperm is much higher  
2829 compared to that of the rat might implicate the mouse to represent a better model than the rat in  
2830 predicting the ability of nickel to induce human male infertility. However, the relative level of the  
2831 antioxidant status of human testes will be an important determinant of susceptibility based on the role  
2832 of ROS.

2833 A few studies indicate that nickel can disturb the neurobehavioural functions in mice and rats. However,  
2834 the CONTAM Panel noted that the dose levels resulting in neurotoxic effects in the experimental animal  
2835 studies were higher than those resulting in developmental toxicity, i.e. the critical effect for the  
2836 derivation of the reference point applied for the establishment of the TDI.

2837 There are uncertainties associated with the information about adverse reactions in humans after  
2838 ingestion of nickel. The outcome is based on three individual studies, all with a limited number of nickel-  
2839 sensitised individuals. The degree of sensitivity of these individuals is not known. The outcomes of  
2840 these studies were expressed in different ways, i.e. as flare-up reactions of already eczematous skin  
2841 lesions, or as flare-up reactions in addition to new skin reactions, which makes comparison of these  
2842 studies difficult. Individuals were fasted before exposure to nickel and subsequent monitoring of the

2843 effects, which may not represent all types of nickel intake. The dose responses of these three studies  
 2844 could not be analysed using the BMD approach, and a LOAEL was used instead, giving rise to additional  
 2845 uncertainty. Finally, the generalised effects covered by the term SNAS have not been included in the  
 2846 risk assessment, as the symptoms are currently too undefined and no dose–response assessment is  
 2847 available. Whereas the pattern of nickel exposure may be different from drinking water after fasting,  
 2848 effects may be overestimated, not including SNAS may lead to an underestimation of the effects.

2849 Regarding the mode of action, it is evident that oxidative stress and an elevation of ROS is involved in  
 2850 the range of toxicities of nickel observed. There is, however, an uncertainty regarding the level of  
 2851 oxidative stress required for adversity, which is dependent on the antioxidant status of the target cells.  
 2852 There is also uncertainty regarding the potential role of altered calcium ion channels and mitochondrial  
 2853 disturbance and associated apoptosis of Leydig cells contributing to male reproductive toxicity. These  
 2854 effects may be secondary to oxidative stress. The relative roles of a direct immune response versus an  
 2855 inflammatory response in immunotoxicity and specifically in allergenicity is unclear.

### 2856 3.5.5 Summary of uncertainties

2857 In Table 11, a summary of the uncertainty evaluation is presented, highlighting the main sources of  
 2858 uncertainty and indicating an estimate of whether the respective source of uncertainty might have led  
 2859 to an over- or underestimation of the exposure or the resulting risk.

2860 **Table 11:** Summary of qualitative evaluation of the impact of uncertainties on the risk assessment of  
 2861 nickel in food and drinking water

Sources of uncertainty	Direction <sup>(a)</sup>
Extrapolation of the occurrence data to the whole of Europe	+/-
An additional nickel occurrence from water/milk used for dilution of solid foods not considered	-
An additional exposure from nickel released from food contact materials not considered	-
Limited number of subjects and lack of information on degree of sensitisation in the pivotal study for the acute risk assessment	+/-
Use of fasting condition in the pivotal study for the acute risk assessment	+
Not including systemic nickel allergy syndrome in the risk assessment	-
Uncertainty in the reference point for acute effects: use of LOAEL that results in a high incidence (40%) of skin reactions.	-

2862 (a): + = uncertainty with potential to cause overestimation of exposure/risk; - = uncertainty with potential to cause  
 2863 underestimation of exposure/risk  
 2864

2865 The CONTAM Panel concluded that the uncertainties on the risk assessment of acute exposure to nickel  
 2866 in food and drinking water is larger than for the chronic exposure. The assessment is more likely to  
 2867 overestimate than to underestimate the risks.

## 2868 4 Conclusions

### 2869 Hazard identification and characterisation

#### 2870 Toxicokinetics

- 2871 • Limited data are available on bioavailability in humans and experimental animals.
- 2872 • In humans, the bioavailability of nickel following ingestion depends on the solubility of the  
 2873 administered nickel compound, the dosing vehicle and the fasting state of the subject. A low  
 2874 absorption (0.7-2.5%) was reported when nickel was ingested in the presence of food or under

2875 a non-fasted state, whereas a higher absorption (25-27%) was reported when nickel was  
2876 ingested via drinking water in the absence of food, or under a fasted state.

2877 • A study in rats showed an absorption of around 10% when soluble nickel compounds were  
2878 administered in a 5% starch saline solution as vehicle.

2879 • After absorption, nickel is widely distributed in the organism.

2880 • In a study with mice, nickel was shown to cross the placenta.

2881 • There are indications of transport across the blood brain barrier.

2882 • Absorbed nickel is excreted mainly via the urine

2883 • Nickel can be excreted in breast milk during lactation.

2884 • An elimination half-life of  $28 \pm 9$  hours was estimated in human volunteers.

2885 *Toxicity in experimental animals*

2886 • Water-soluble nickel compounds are of moderate to high acute toxicity with LD<sub>50</sub>-values ranging  
2887 from 39 to > 404 mg Ni/kg bw.

2888 • The major effects observed in the short-term repeated dose toxicity studies in rodents and  
2889 dogs following oral administration were decreased body weight, changes in organ weights (liver  
2890 and kidneys), and histopathological changes in the liver and the kidney. Effects on bone and  
2891 on gut microbiota have also been reported.

2892 • Recent studies have indicated that nickel can disturb the neurobehavioural functions in rats  
2893 and mice and cause neurodegeneration in adult rats.

2894 • In mice, different reproductive effects (decreased male sex organ weights and histopathological  
2895 changes, disturbed spermatogenesis, decreased sperm motility and sperm damages) have been  
2896 reported to be responsible for a decrease in fertility in mice. A recent short-term toxicity study  
2897 suggested that nickel may also cause testicular degeneration in rats. Mice appear to be more  
2898 sensitive than rats regarding reproductive effects.

2899 • In rats, developmental toxicity included increased pup mortality (stillbirth or post-implantation  
2900 loss/perinatal lethality) and decreased pup weight. Developmental toxicity was also observed  
2901 in mice (decreased fetal weight, malformations), but at higher doses than for rats suggesting  
2902 that rats appear to be more sensitive than mice regarding developmental toxicity.

2903 • Soluble nickel compounds induce structural and numerical chromosomal aberrations and DNA  
2904 SSBs *in vitro* and *in vivo*. The genotoxicity of nickel is likely due to indirect effects including  
2905 inhibition of DNA repair and ROS production.

2906 • No tumours have been observed in the carcinogenicity studies in experimental animals after  
2907 oral administration of soluble nickel compounds.

2908 *Observations in humans*

2909 • Oral exposure to nickel is not known to sensitise, but nickel is able to elicit eczematous flare-  
2910 up reactions in the skin (SCD) in nickel-sensitised individuals following oral ingestion.

2911 • A few studies published since the previous opinion suggest an association between nickel  
2912 exposure and adverse reproductive and developmental outcomes.

2913 • No clear signs of neurotoxicity have been reported in the few available studies.

2914 • No data linking cancer in humans with oral exposure to nickel are available.

2915 *Mode of action*

2916 • A recurring theme in the toxicity of nickel is the evidence for a role of oxidative stress and ROS  
2917 formation. A contribution of oxidative stress is evident in relation to reproductive toxicity,  
2918 genotoxicity, immunotoxicity and neurotoxicity.

2919 • The genotoxicity of nickel is likely due to indirect effects including inhibition of DNA repair and  
2920 ROS production.

- 2921 • Hypoxia-mimicking effects, dysregulation of cell signalling pathways and alterations of the  
 2922 epigenetic mechanisms have been observed. In the context of cancer, these epigenetic changes  
 2923 would only be relevant to the inhalation route. Other potential consequences of epigenetic  
 2924 changes due to nickel exposure are currently unknown.

2925 *HBGV / Margin of Exposure approach*

- 2926 • Nickel is classified as a human carcinogen via inhalation. No data linking cancer in humans with  
 2927 oral exposure to nickel are available. No tumours have been observed in the carcinogenicity  
 2928 studies in experimental animals after oral administration of soluble nickel compounds.  
 2929 Therefore, the CONTAM Panel considers it unlikely that dietary exposure to nickel results in  
 2930 cancer in humans. For the chronic risk assessment, the critical effect is the increased incidence  
 2931 of post-implantation loss in rats observed in the one- and two-generation studies by SLI (2000a,  
 2932 b). From the dose–response modelling, the BMDL<sub>10</sub> of 1.3 mg Ni/kg bw per day was selected  
 2933 as a reference point for the establishment of the TDI. A TDI of 13 µg/kg bw was established  
 2934 by applying the default uncertainty factor of 100 to account for intra- and interspecies  
 2935 differences.
- 2936 • For the acute risk assessment, the critical effect is eczematous flare-up reactions in the skin  
 2937 (SCD) elicited in nickel-sensitised humans after oral exposure. The dose–response modelling  
 2938 showed that a BMDL could not be derived from the available data. Therefore, the reference  
 2939 point was based on the NOAEL/LOAEL approach. In the absence of a NOAEL, a LOAEL of 4.3  
 2940 µg Ni/kg bw was identified. The data were considered insufficient to derive an ARfD and an  
 2941 MOE approach was applied. The CONTAM Panel considered that an MOE of 30 or higher would  
 2942 indicate a low health concern.

2943 **Occurrence/exposure for the EU population**

- 2944 • The highest mean nickel concentrations were measured for the food category 'Legumes, nuts  
 2945 and oilseeds', in particular for soya beans, soya beans flour, chestnuts and cashew nuts and  
 2946 for the food category 'Products for special nutritional use', in particular for plant extract formula  
 2947 and mineral supplements.
- 2948 • The mean LB/UB chronic dietary exposure to nickel across the different dietary surveys and  
 2949 age classes ranged from 1.57/1.89 µg/kg bw per day in elderly to 12.5/14.6 µg/kg bw per day  
 2950 in toddlers. The 95th percentile LB/UB chronic dietary exposure to nickel across the different  
 2951 dietary surveys and age classes ranged from 3.35/3.93 µg/kg bw per day in very elderly to  
 2952 28.1/29.9 µg/kg bw per day in infants.
- 2953 • Overall, 'grains and grain-based products' was the most important contributor to the mean LB  
 2954 chronic dietary exposure to nickel in all age classes. The subcategories driving the contribution  
 2955 of this food category were 'bread and rolls' and 'fine bakery wares'.
- 2956 • Mean UB acute exposure ranged from 1.89 µg/kg bw per day estimated in the elderly to 14.6  
 2957 µg/kg bw per day estimated in toddlers. The 95th percentile UB acute exposure ranged from  
 2958 5.35 µg/kg bw per day estimated in the elderly to 40.8 µg/kg bw per day estimated in toddlers.
- 2959 • The most relevant food categories for the 95th percentile UB acute dietary exposure to nickel  
 2960 varied between age classes and surveys. Beans, coffee, ready-to-eat soups, chocolate and  
 2961 breakfast cereals were the most relevant food categories in most of the surveys.
- 2962 • The acute dietary exposure to nickel from a small bottle of water (500 mL) containing a high  
 2963 concentration of nickel was 0.04 µg/kg bw from tap water and 0.08 µg/kg from bottled water.

2964 **Risk characterisation**

- 2965 • Except for one survey, the mean LB and UB chronic dietary exposure was below the TDI and  
 2966 does not indicate a concern. For one survey in toddlers, the mean chronic dietary exposure  
 2967 was at the level of the TDI (LB/UB: 12.5/14.6 µg/kg bw per day) and this may indicate a health  
 2968 concern.
- 2969 • The 95th percentile LB chronic dietary exposure exceeded the TDI in 10 out of 14 dietary  
 2970 surveys in toddlers and in 11 out of 19 dietary surveys in other children. Also in infants, an

- 2971 exceedance of the TDI was observed in some surveys. In the adolescents and all adult age  
 2972 groups, the 95th percentile LB chronic dietary exposure was below the TDI. The 95th percentile  
 2973 chronic dietary exposure to nickel may raise a health concern for infants, toddlers and other  
 2974 children.
- 2975 • Comparison of the estimated mean acute UB exposure levels with the acute reference point of  
 2976 4.3 µg Ni/kg bw resulted in MOE values ranging from 0.3 to 2.3, across dietary surveys and  
 2977 age classes. The MOEs values when using the 95th percentile UB acute dietary exposure range  
 2978 from 0.1 to 0.8 across dietary surveys and age classes. These MOE values raise a health concern  
 2979 for nickel-sensitised individuals.
  - 2980 • For the scenario regarding the consumption of a small bottle of drinking water, the MOE values  
 2981 of 120 and 55 for tap water and bottled water, respectively do not raise a health concern.

## 2982 5 Recommendations

- 2983 • More information on oral bioavailability of nickel in humans under different dosing regimens  
 2984 (i.e. vehicle, fasting/non-fasting condition) is needed in order to reduce the uncertainties in the  
 2985 acute and chronic risk assessments.
  - 2986 • It is recommended to perform new studies with larger numbers of nickel-sensitised individuals  
 2987 and different dosing regimens and dose levels included to allow a better characterisation of the  
 2988 dose-response and facilitate a BMD approach. Such studies would form the basis for a more  
 2989 precise risk assessment of skin and systemic reactions to nickel exposure via food and drinking  
 2990 water in nickel-sensitised individuals.
  - 2991 • Information on the potential presence of nickel nanoparticles in food and drinking water is  
 2992 needed.
- 2993

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3850 Appendices

3851 Appendix I – Identification and selection of evidence relevant  
3852 for the risk assessment of nickel in food and drinking water

3853 I.1. Literature search for supporting information for the assessment

3854 **A. Web of Science and PubMed**

<b>Toxicokinetics</b>	
<i>Search terms</i>	<b>TOPIC (TITLE/ABSTRACT in PubMed):</b> nickel* OR Ni <b>AND</b> <b>TOPIC (All fields in PubMed):</b> occurrence or exposure <b>AND</b> <b>TOPIC (All fields in PubMed):</b> food or drinking water or diet* <i>Timespan=Last 5 years</i>
<b>Occurrence of nickel nanoparticles</b>	
<i>Search terms</i>	<b>TOPIC (TITLE/ABSTRACT in PubMed):</b> nickel nanoparticle <b>AND</b> <b>TOPIC (ALL FIELDS in PubMed):</b> food or drinking water  <i>Timespan=All years</i>
<b>Migration of nickel from food contact material</b>	
<i>Search terms</i>	<b>TOPIC (TITLE/ABSTRACT in PubMed):</b> food <b>AND</b> <b>TOPIC (ALL FIELDS in PubMed):</b> nickel <b>AND</b> <b>TOPIC (ALL FIELDS in PubMed):</b> migration or release  <i>Timespan=All years</i>

3855 After removal of all duplicates, 1,165 papers were screened for relevance based on title and abstract.

3856 I.2. Literature search for hazard identification and characterisation

3857 **A. Web of Science and PubMed**

3858 Limited to between 01/01/2013 and 25/06/2019

<b>Toxicokinetics</b>	
<i>Search terms</i>	<b>TOPIC (TITLE/ABSTRACT in PubMed):</b> nickel* OR Ni <b>AND</b> <b>TOPIC (All fields in PubMed):</b> (absor* OR tissue* OR metaboli* OR excret* OR kinetic* OR toxicokinetic* OR pharmacokinetic* OR degrad* OR biotrans* OR eliminat* OR PBPK OR PBTK OR PBK) <b>AND</b> <b>TOPIC (All fields in PubMed):</b> (rat OR rats OR mouse OR mice OR rabbit* OR guinea OR hamster* OR primate* OR monkey* OR pig* OR minipig* OR dog* OR cat OR cats OR mink*)
<b>Toxicity in experimental animals</b>	
<i>Search terms</i>	<b>TOPIC (TITLE/ABSTRACT in PubMed):</b> nickel* OR Ni <b>AND</b>

**TOPIC (ALL FIELDS in PubMed):** (acute OR chronic OR tox\* OR cancer\* OR carcino\* OR tumor\* OR tumour\* OR organ\* OR immun\* OR neuro\* OR developmental OR teratogen\* OR repro\* OR liver OR hepato\* OR kidney\* OR brain\* OR lung OR lungs OR heart\* OR thyroid\* OR spermat\* OR testes OR ovar\* OR uterus)

**AND**

**TOPIC (ALL FIELDS in PubMed):** (rat OR rats OR mouse OR mice OR rabbit\* OR guinea OR hamster\* OR primate\* OR monkey\* OR pig\* OR minipig\* OR dog\* OR cat OR cats OR mink\*)

***In vitro and in vivo genotoxicity and mode of action***

*Search terms*

**TOPIC (TITLE/ABSTRACT in PubMed):** nickel\* OR Ni

**AND**

**TOPIC (ALL FIELDS in PubMed):** ("in vitro" OR "mode of action" OR endocrin\* OR estrogen\* OR oestrogen\* OR androgen\* OR "mechanism of action" OR apoptosis OR "oxidative stress" OR cytotox\* OR genotox\* OR mutagen\* OR clastogen\* OR aneugen\* OR chromosom\* OR chromatid)

**Human observations (epi or biomo)**

*Search terms*

**TOPIC (TITLE/ABSTRACT in WoS):** nickel\* OR Ni

**AND**

**TOPIC (ALL FIELDS in PubMed):** oral

**AND**

**TOPIC (ALL FIELDS in PubMed):** (epidemi\* OR intervention OR exposure\* OR "case study\*" OR "case control\*" OR "case report\*" OR poison\* OR cohort\* OR cross-sectional OR occupational OR "adverse effect\*" OR "occupational case\*" OR "biological marker" OR human health OR meta-analys\*)

3859 **B. Sci Finder**

**Nickel**

*Search terms*

**SEARCH BY CAS NUMBER:** 7440-02-0

**REFINED FOR:** adverse effect

**YEARS:** 2013 to 2019

**Nickel sulfate**

*Search terms*

**SEARCH BY CAS NUMBER:** 7786-81-4 / 10101-97-0 /10101-98-1

**REFINED FOR:** adverse effect

**YEARS:** 2013 to 2019

**Nickel chloride**

*Search terms*

**SEARCH BY CAS NUMBER:** 7718-54-9

**REFINED FOR:** adverse effect

**YEARS:** 2013 to 2019

3860 After removal of all duplicates, 6,469 papers were screened for relevance based on title and abstract.

3861

3862 **I.3 Exclusion criteria for the screening of titles and abstracts of papers related**  
3863 **to the hazard identification and characterisation**

3864 The titles and abstracts of the references retrieved from the literature search were screened to identify  
3865 the relevant papers for the sections on hazard identification and characterisation. Papers on the  
3866 following subjects were excluded:

- 3867 • Papers not related to hazard identification and characterisation.



- 3868 • Papers reporting on environmental or occupational exposures in a human population, which  
3869 did not involve oral routes.
- 3870 • Studies in experimental animals using routes of exposure other than oral.
- 3871 • Studies in which experimental animals are exposed to mixtures that include other substances  
3872 in addition to nickel.
- 3873 • Studies designed to evaluate substances or extracts for medical treatment.
- 3874 • Nickel nanoparticles.
- 3875 • Exposure due to dental treatment.
- 3876 • Nickel as a treatment with the exception of papers on desensitisation.
- 3877

#### 3878 I.4 EFSA guidance documents applied in the present assessment

- 3879
- 3880 • Guidance of the Scientific Committee on a request from EFSA related to uncertainties in Dietary  
3881 Exposure Assessment (EFSA, 2007);
- 3882 • Guidance of the Scientific Committee on transparency in the scientific aspects of risk  
3883 assessments carried out by EFSA. Part 2: General principles (EFSA Scientific Committee,  
3884 2009b);
- 3885 • Standard sample description for food and feed (EFSA, 2010a);
- 3886 • Management of left-censored data in dietary exposure assessment of chemical substances  
3887 (EFSA, 2010b);
- 3888 • Guidance of EFSA on the use of the EFSA Comprehensive European Food Consumption  
3889 Database in exposure assessment (EFSA, 2011b);
- 3890 • Scientific opinion on genotoxicity testing strategies applicable to food and feed safety  
3891 assessment (EFSA Scientific Committee, 2011);
- 3892 • Guidance on selected default values to be used by the EFSA Scientific Committee, Scientific  
3893 Panels and Units in the absence of actual measured data (EFSA SC, 2012a);
- 3894 • Scientific Opinion on Risk Assessment terminology (EFSA SC, 2012b);
- 3895 • Update: use of the benchmark dose approach in risk assessment (EFSA Scientific Committee,  
3896 2017).
- 3897

## 3898 Appendix II – Hazard identification and characterisation

### 3899 II.1. Short-term toxicity

3900 The following studies were reported in a limited way, which did not allow the CONTAM Panel to evaluate  
3901 the results. For transparency, these studies are reported below.

3902 Adult Wistar rats were administered nickel chloride by gavage daily for 28 days at 0, 5.25, 10.5 or  
3903 21 mg/kg bw (assuming that the doses are expressed as nickel chloride, the corresponding doses of  
3904 nickel are 1.0, 4.8 and 9.5 mg/kg bw per day) (Lambade et al., 2015). The control group was  
3905 administered deionised water. The average body weight was significantly decreased in both the mid-  
3906 and high-dose groups. Increased mean relative liver weights were observed in high-dose males,  
3907 decreased mean relative liver weights were observed in high-dose females, mean relative kidney  
3908 weights were decreased in both high-dose males and females, mean relative lung weight was increased  
3909 in high-dose females and mean relative testis weight was decreased in all treated male groups.  
3910 Histopathological changes were reported in all treated rats in a dose-related manner. The changes  
3911 comprised varying degrees of degenerative and vascular changes in various visceral organs. A higher  
3912 severity and distribution was reported in mid- and high-dose rats compared with low-dose rats and the  
3913 controls. According to the article, average weekly body weights were presented in Table 1 and relative  
3914 organ weights of liver, lung, kidney and testis were presented in Table 2; however, the CONTAM Panel  
3915 noted that no tables are included in the paper. The Panel also noted that except for a few figures of  
3916 slides, the histopathological changes are only descriptive and no information on incidences and severity  
3917 in the various groups is presented. Based on the poor reporting of results, the Panel considers that the  
3918 reliability of this study is low.

3919 In a study designed to analyse the biochemical parameters of blood plasma, male Wistar rats (10 per  
3920 group) were administered nickel chloride hexahydrate in the drinking water at concentrations of 0 or  
3921 100 mg/L (corresponding to 2 mg Ni/kg bw per day based on the default factor of 0.09 for a subchronic  
3922 study in rats (EFSA Scientific Committee, 2012a)) daily for 90 days (Toman et al., 2013). Animals were  
3923 sacrificed and blood samples were collected. The parameters of mineral profile (calcium, phosphorus,  
3924 magnesium, sodium, potassium and chlorides) and other parameters of energy, nitrogen and enzymatic  
3925 profile (glucose, cholesterol, total proteins, triglycerides, urea, bilirubin, aspartate aminotransferase,  
3926 alanine aminotransferase, alkaline phosphatase (ALP), and glutamate dehydrogenase (GLDH)) were  
3927 measured. Potassium, calcium and magnesium concentrations were significantly decreased when  
3928 compared with the control values. Analysis of nitrogen and the energy profile showed a significant  
3929 increase in concentrations of glucose and a decrease in total proteins, cholesterol, and bilirubin. There  
3930 were changes in enzymatic activity in ALP and GLDH. The results showed, according to the authors,  
3931 that nickel may have negative effects on the metabolism due to the disruption of certain metabolic  
3932 processes.

3933 Adult male mice (five per group, weight 30–35 g, age not mentioned) were administered nickel sulfate  
3934 orally by gavage daily for 21 days at 0, 6.3, 25.8 or 45.1 mg/kg bw (assuming that the doses are  
3935 expressed as nickel sulfate, the doses expressed as nickel are 0, 2.4, 9.8, 17.1 mg Ni/kg bw per day)  
3936 (Gathwan, 2015a). The control group was on normal diet and water. After sacrifice, the liver was  
3937 weighed and prepared for histopathological examination by light microscopy and SEM. The intake of  
3938 feed and water was lower in treated mice than in the control group and according to the authors, the  
3939 decrease was dose-dependent (no data were presented in the article). The relative liver weight was  
3940 significantly decreased in the mid- and high-dose groups. The histopathological changes in the low-  
3941 dose group were described as 'a few spaces were observed, the sinuses were broadened, the number  
3942 of binucleated cells were increased, and the nuclear chromatin had a darker colour.' In the mid- and  
3943 high-dose groups the above-mentioned effects were, according to the authors, more prominent and  
3944 furthermore, the intercellular membranes were lost, the number of Kupffer cells was increased, and  
3945 vacuolisation in the hepatic cells increased. The SEM revealed that the microvilli of hepatic cells were  
3946 damaged and the sinusoids had fewer Kupffer cells; the changes were dose-dependent. The CONTAM  
3947 Panel was not able to evaluate the results of this study due to the limited reporting.

3948 Male rabbits (*Oryctolagus cuniculus*) (five per group, weight 1.5–1.8 kg, age not mentioned) were  
3949 administered nickel chloride (concentration: 0, 250 or 500 mg/kg) orally by gavage for 90 days (Nadjiba

3950 et al., 2018). After sacrifice, the liver was weighed and stored for determination of liver proteins and  
3951 oxidative stress parameters, i.e. GSH, GSH-Px activity, CAT activity, MDA and glutathione S-transferase  
3952 (GST) activity were determined. The level of liver proteins was increased. Hepatic GSH and the activities  
3953 of GST, GSH-Px and CAT were decreased. The CONTAM Panel was not able to evaluate the results of  
3954 this study based on the two-page article without details.

3955 In a study on histopathological changes in the kidney, adult male mice (five per group, weight 28–32 g,  
3956 age not mentioned) were administered nickel chloride orally by gavage daily for 21 days at 0, 6 or 15  
3957 mg/kg bw (assuming that the doses are expressed as nickel chloride, the doses expressed as nickel are  
3958 0, 2.7 and 6.8 mg Ni/kg bw per day) (Gathwan, 2015b). The control group was on normal diet and  
3959 water. The kidney was cut into two pieces and underwent histopathological examination with light  
3960 microscopy. The intake of feed and water was lower in treated mice than in the control group and  
3961 according to the authors, the decrease was dose-dependent (no data were presented in the article).  
3962 The histopathological changes at 6 mg/kg bw were described as spaces between the tubules and  
3963 decreased density of Bowman's capsules and tubules when compared with controls. At 15 mg/kg bw  
3964 the histopathological changes were described as increased spaces between the tubules, some glomeruli  
3965 were damaged and the outer wall of the Bowman's capsule was also damaged. Furthermore, the lumen  
3966 of some of proximal convoluted tubules was blocked and the boundaries of cells disappeared. The cells  
3967 of some of the distal convoluted tubules also showed necrosis. The authors concluded that high doses  
3968 caused severe nephrotoxicity as the histoarchitecture of glomeruli and proximal convoluted tubules  
3969 showed necrosis. The CONTAM Panel was not able to evaluate the results of this study based on the  
3970 one-page article without details.

3971 In a study on effects on bone composition, adult male mice (five per group, weight 32–35 g, age not  
3972 mentioned) were administered different doses of two nickel compounds orally by gavage daily for 40  
3973 days (Gathwan and Al-Karkhi, 2015). Nickel sulfate was administered at doses of 0, 5.0, 15 or 40 mg/kg  
3974 bw per day and nickel nitrate was administered at doses of 5.0, 20 or 40 mg/kg bw per day (assuming  
3975 that the doses are expressed as the nickel salt, the corresponding doses of nickel are 1.9, 5.7 and  
3976 15.2 mg Ni/kg bw per day for the groups exposed to nickel sulfate and 1.6, 6.4 and 12.8 mg Ni/kg bw  
3977 per day for the groups exposed to nickel nitrate). The control group was on normal diet and water.  
3978 After sacrifice of the animals, the femur bone was weighed and then decalcified in  
3979 ethylenediaminetetraacetic acid for seven days and softness of the bones was tested by light  
3980 microscopy and SEM. The intake of feed and water was lower in treated mice than in the control group  
3981 and according to the authors, the decrease was dose-dependent (no data were presented in the article).  
3982 The femur bone weight was significantly decreased in the mid- and high-dose groups.  
3983 Histopathologically, necrosis to layers of decalcified bone, i.e. periosteum, matrix and endosteum was  
3984 observed with both nickel salts. The bone-forming cells, lamellae and Haversian canals were also  
3985 affected. The cortical width of bone section decreased dose-dependently with both nickel salts. Such  
3986 changes were also observed on samples of powdered dried bone with SEM. According to the authors,  
3987 the effects of nickel sulfate were more severe than those of nickel nitrate. The CONTAM Panel noted  
3988 that the doses causing effects, expressed as nickel, were higher for nickel sulfate than for nickel nitrate,  
3989 which could reflect the differences in toxicity reported by the authors.

3990 In a study on the effects on bone composition, adult male mice (seven per group, weight 30–38 g, age  
3991 not mentioned) were administered nickel sulfate orally (not further specified) daily for 21 days at 0,  
3992 5.1, 11.7 or 24.2 mg/kg bw (assuming that the doses are expressed as nickel sulfate, the doses  
3993 expressed as nickel are 1.9, 4.4, 9.2 mg Ni/kg bw per day) (Gathwan and Albir, 2019). The control  
3994 group was untreated. There was a significant decrease in both wet and dry weight of the femur bone  
3995 in the mid- and high-dose groups. The percentage change in both dry weight and wet weight were  
3996 dose-dependently increased. The CONTAM Panel was not able to evaluate the results of this study  
3997 based on the two-page article without details.

3998 In a study designed to gain a more comprehensive understanding of the effects of metal exposure on  
3999 the gut microbiota, Richardson et al. (2018) exposed rats to nickel chloride. Sprague–Dawley rats (five  
4000 per group) were administered nickel chloride by oral gavage (5 mL/kg bw) at doses of 0, 177, 232, or  
4001 300 mg/kg bw per day (corresponding to 0, 80, 105 or 136 mg Ni/kg bw per day) daily for five  
4002 consecutive days. Fresh faecal samples were collected prior to the initial dosing and 24 h after the final  
4003 dosing. 16S ribosomal RNA (rRNA) gene sequencing was used to track changes in the gut microbiota

4004 composition. Significant dose-dependent changes were observed in response to nickel. Bacteria with  
4005 higher numbers of iron-importing gene orthologs were overly represented after exposure to nickel.

4006 In a study examining the effect of oral nickel exposure on intestinal microflora, female mice (10 per  
4007 group, 7–8 weeks old, 25–30 g) were administered water containing 400 µM nickel sulfate hexahydrate  
4008 for 21 days (Zhou et al., 2019). Based on the default factor of 0.18 for a subacute study in mice (EFSA  
4009 Scientific Committee, 2012a) and the molecular weight of 262.85 g/mol for nickel sulfate hexahydrate,  
4010 the corresponding dose is 4 mg Ni/kg bw per day. The control group received pure water. There was  
4011 no significant difference in body weight between the treated group and the control group. The nickel  
4012 concentration in the kidney of treated mice was significantly higher than in that of the controls.  
4013 Regarding the influence on gut microbiota, there was a significantly higher relative abundance of  
4014 *Bacteroides* and *Intestinimonas*, and a significantly lower relative abundance of  
4015 *Lachnospiraceae\_NK4A136\_group* and *Lachnospiraceae\_UCG-001\_group* in the treated group  
4016 compared with the control group. Furthermore, the treated group had a significantly lower ratio of  
4017 *Firmicutes/Bacteroides*. These results indicate, according to the authors, that orally administered nickel  
4018 could change the intestinal flora in mice and thus could alter the interaction between the host and the  
4019 intestinal flora.

DRAFT

4020 **Appendix III – Benchmark dose analysis**

4021 **III.1. Post-implantation loss DRF and 2GEN F0F1 studies; BMR 10%**

4022 **III.1.1. Data description**

4023 The incidence of post-implantation losses as reported for the DRF study (SLI, 2000a) and the F0/F1  
4024 generation in the 2-generation study (SLI, 2000b). The study was used as a covariate.

4025 **III.1.2. Selection of the benchmark response**

4026 A default benchmark response (BMR) of 10% (extra risk) and a 90% confidence interval around the  
4027 BMD were selected as recommended by EFSA Scientific Committee (2017).

4028 **III.1.3. Software used**

4029 Results are obtained using the EFSA web tool for BMD analysis, which uses the R-package PROAST,  
4030 version 67.0, for the underlying calculations.

4031 **III.1.4. Specification of deviations from default assumptions**

4032 **General assumptions**

4033 No deviation from the recommended defaults (e.g. gamma distributional assumption instead of log-  
4034 normal, heteroscedasticity instead of homoscedasticity) was made.

4035 **Dose–response models**

4036 No deviation from the recommended defaults. Default set of fitted models:

Model	Number of parameters	Formula
Null	1	$y = a$
Full	no. of groups	$y = \text{group mean}$
Logistic	2	$y = \frac{1}{1 + \exp(-a - bx)}$
Probit	2	$y = \text{pnorm}((x - a) \cdot b)$
Log-logistic	3	$y = a + \frac{1 - a}{1 + \exp\left(c \cdot \log\left(\frac{b}{x}\right)\right)}$
Log-probit	3	$y = a + (1 - a) \cdot \text{pnorm}\left(c \cdot \log\left(\frac{x}{b}\right)\right)$
Weibull	3	$y = a + (1 - a) \left(1 - \exp\left(-\left(\frac{x}{b}\right)^c\right)\right)$
Gamma	3	$y = \text{pgamma}(bx; c)$
Two-stage	3	$y = a + (1 - a) \left(1 - \exp\left(-\frac{x}{b} - c \left(\frac{x}{b}\right)^2\right)\right)$
Exp model 3	3	$y = a \cdot \exp(bx^d)$
Exp model 5	4	$y = a \cdot (c - (c - 1)\exp(-bx^d))$
Hill model 3	3	$y = a \cdot \left(1 - \frac{x^d}{b^d + x^d}\right)$
Hill model 5	4	$y = a \cdot \left(1 + (c - 1) \frac{x^d}{b^d + x^d}\right)$

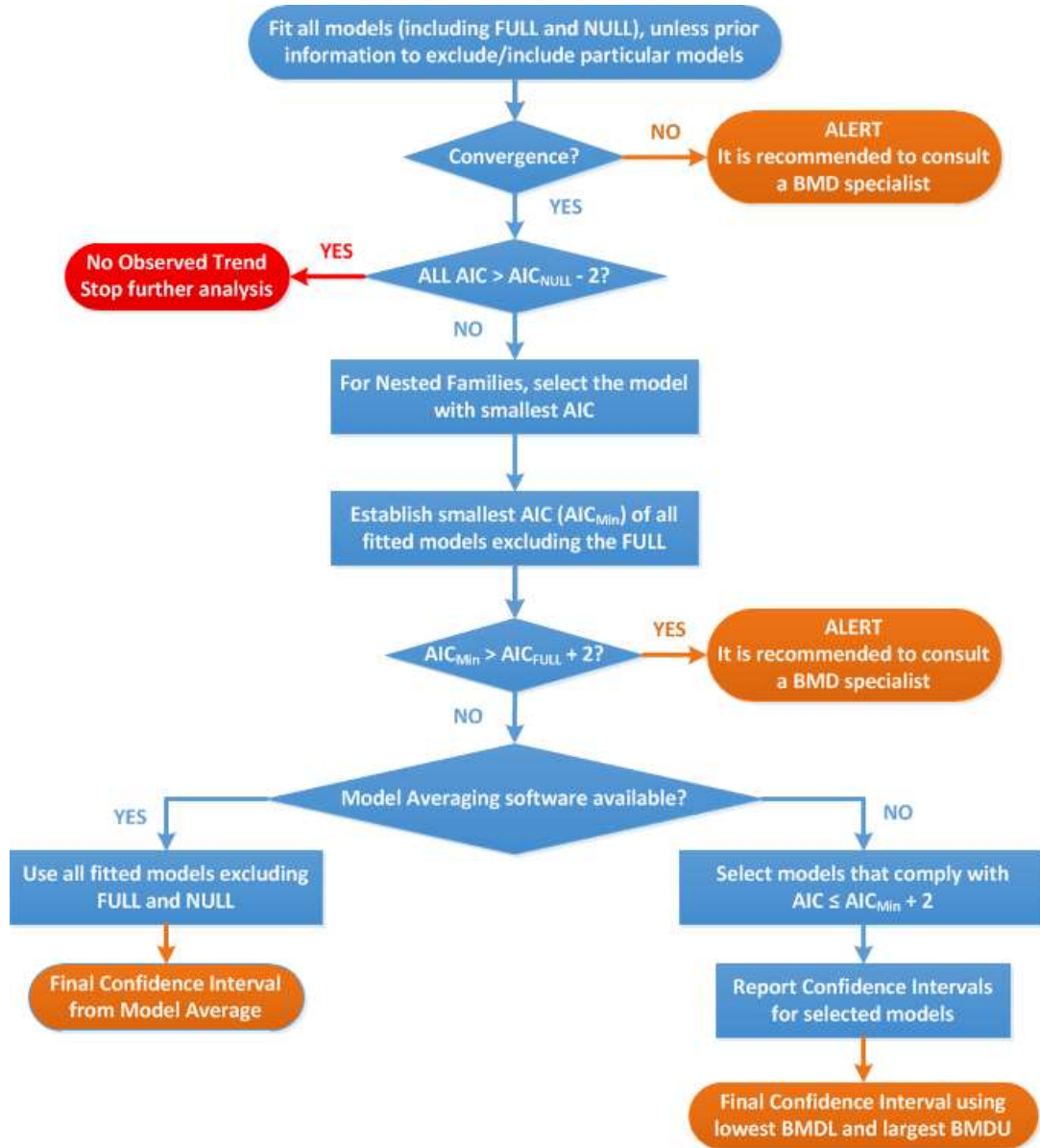
4037 For the Exp and Hill family, we fit models with 3 and 4 parameters as listed in the table. The 3-parameter  
4038 model is selected if the difference in Akaike information criterion (AIC) is smaller than 5, otherwise the  
4039 4-parameter model is selected.



4040 As a covariate is included in the analysis, these models will also be fitted assuming that some of the  
 4041 parameters (background response parameter ( $\alpha$ ), potency parameter (BMD) and/or variance ( $\text{var}$ ))  
 4042 depend on the subgroup defined by the covariate. Therefore the number of parameters in each model  
 4043 might be larger than indicated in the table above.

4044 **Procedure for selection of the BMDL**

4045 There was no deviation from the procedure described in the flow chart to obtain the final BMD  
 4046 confidence interval.



4047

4048 **Figure III.1.** Flowchart for selection of BMDL

4049

4050 III.1.5. Results

4051 **Table III.1:** Results for the incidence of post-implantation loss in rats studied in the F1/F2 generation  
 4052 of the two-generation study using a BMR of 10%

Model	No.par	loglik	AIC	accepted	BMDL	BMDU	BMD	sens.subgr	conv
null	3	-830.99	1,667.98		NA	NA	NA		NA
full	12	-816.37	1,656.74		NA	NA	NA		NA
two.stage	4	-824.67	1,657.34	no	NA	NA	5.80	–	yes
log.logist	4	-823.33	1,654.66	yes	1.16	7.99	3.03	–	yes
Weibull	4	-823.27	1,654.54	yes	1.18	7.90	3.05	–	yes
log.prob	4	-823.55	1,655.10	yes	1.09	8.32	2.91	–	yes
gamma	4	-823.21	1,654.42	yes	1.19	7.84	3.07	–	yes
logistic-b	4	-824.20	1,656.40	no	NA	NA	3.06	2GEN	yes
LVM: Expon. m3-	4	-823.04	1,654.08	yes	1.35	7.77	3.20	2GEN	yes
LVM: Hill m3-	4	-823.09	1,654.18	yes	1.28	7.79	3.16	2GEN	yes

4053 AIC: Akaike information criterion; BMDL: benchmark dose lower confidence limit; BMDU: benchmark dose upper confidence  
 4054 limit; BMR: benchmark response.

4055  
 4056

4057 Confidence intervals for the BMD are based on generated data sets.

4058 **Estimated model parameters**

4059 **two.stage**

4060 estimate for alfa- : 1.002

4061 estimate for a-2GEN : 0.08402

4062 estimate for a-DRF : 5.796

4063 estimate for BMD-2GEN : 1e-06

4064 estimate for BMD-DRF : 1.002

4065 estimate for c : 0.08402

4066 **log.logist**

4067 estimate for alfa- : 1.024

4068 estimate for a-2GEN : 0.05898

4069 estimate for a-DRF : 3.027

4070 estimate for BMD-2GEN : 0.4934

4071 estimate for BMD-DRF : 1.024

4072 estimate for c : 0.05898

4073 **Weibull**

4074 estimate for alfa- : 1.025

4075 estimate for a-2GEN : 0.05882

4076 estimate for a-DRF : 3.054

4077 estimate for BMD-2GEN : 0.4714

4078 estimate for BMD-DRF : 1.025

4079 estimate for c : 0.05882

4080 **log.prob**  
 4081 estimate for alfa- : 1.02  
 4082 estimate for a-2GEN : 0.05902  
 4083 estimate for a-DRF : 2.905  
 4084 estimate for BMD-2GEN : 0.2404  
 4085 estimate for BMD-DRF : 1.02  
 4086 estimate for c : 0.05902

4087 **gamma**  
 4088 estimate for alfa- : 1.026  
 4089 estimate for a-2GEN : 0.05855  
 4090 estimate for a-DRF : 3.07  
 4091 estimate for BMD-2GEN : 0.4481  
 4092 estimate for BMD-DRF : 1.026  
 4093 estimate for cc : 0.05855

4094 **logistic**  
 4095 estimate for alfa- : 1.03  
 4096 estimate for a-2GEN : -2.42  
 4097 estimate for a-DRF : 3.058  
 4098 estimate for BMD-2GEN : 8.298  
 4099 estimate for BMD-DRF : 1.03

4100 **EXP**  
 4101 estimate for alfa- : 1.029  
 4102 estimate for a- : 1.481  
 4103 estimate for CED- : 3.199  
 4104 estimate for d- : 0.3373  
 4105 estimate for th-1(fixed) : 0  
 4106 estimate for sigma(fixed) : 0.25

4107 **HILL**  
 4108 estimate for alfa- : 1.028  
 4109 estimate for a- : 1.48  
 4110 estimate for CED- : 3.164  
 4111 estimate for d- : 0.3596  
 4112 estimate for th-1(fixed) : 0  
 4113 estimate for sigma(fixed) : 0.25

4114  
 4115 **Weights for model averaging**

two.stage	log.logist	Weibull	log.prob	gamma	logistic	EXP	HILL
0.04	0.14	0.15	0.11	0.15	0.06	0.18	0.17

4116

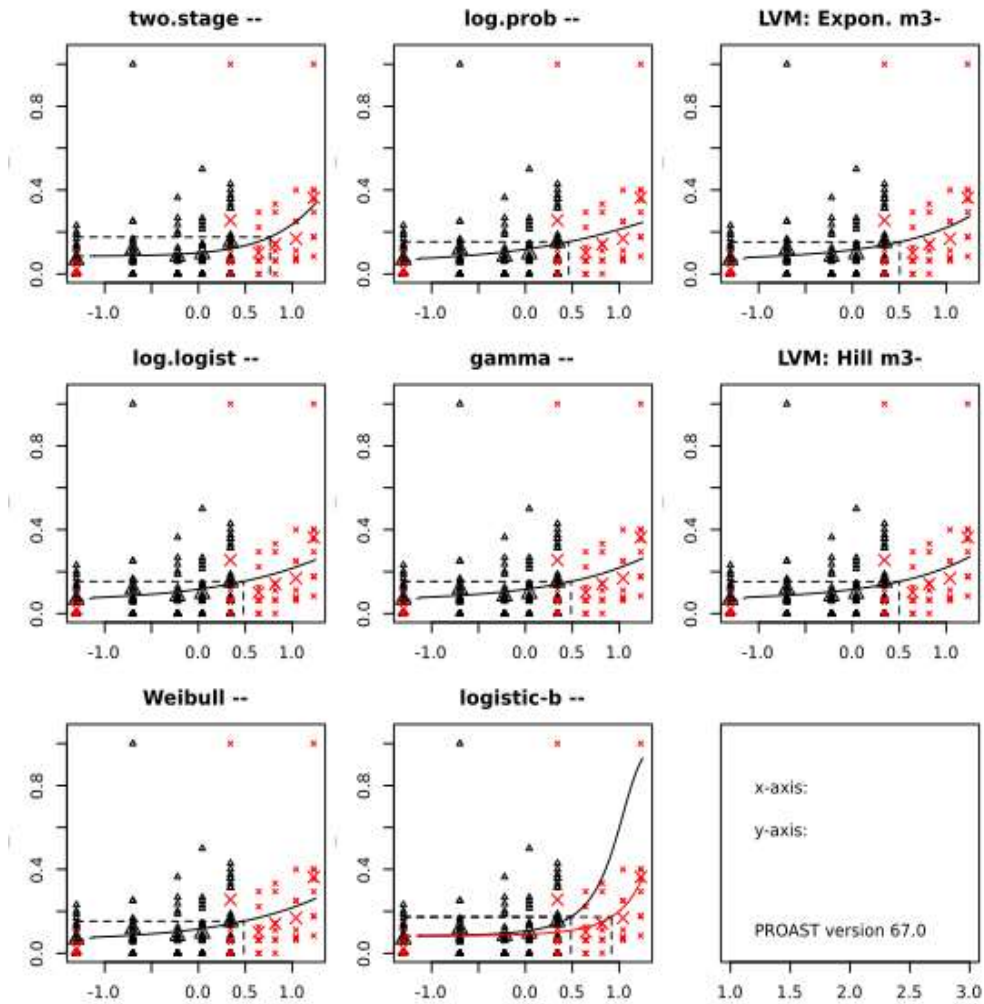
4117 **Final BMD values**

subgroup	BMDL	BMDU
2GEN	1.34	9.8
DRF	1.40	10.7

4118 Confidence intervals for the BMD are based on 1,000 bootstrap data sets.

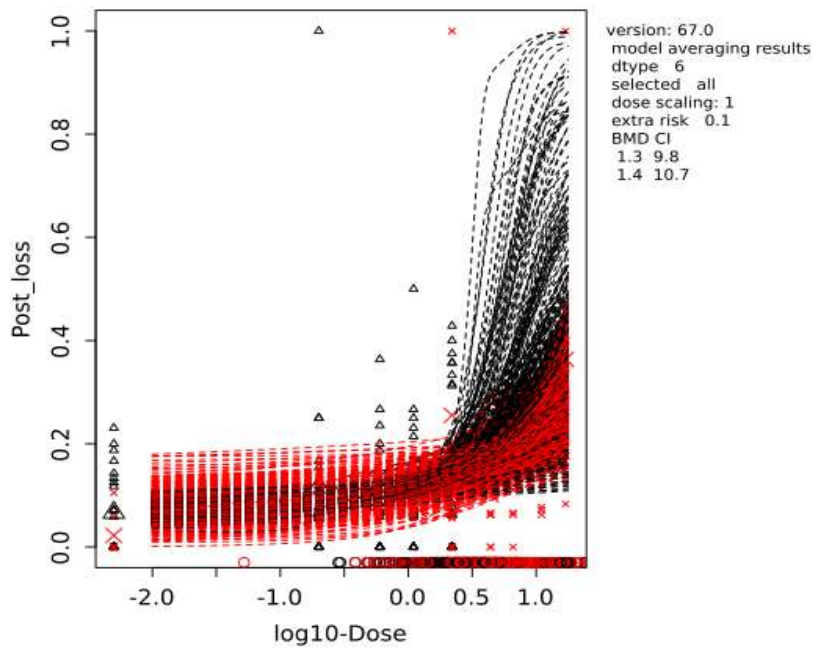
4119

4120 **Visualisation**



4121

**bootstrap curves  
based on model averaging**



4122

4123



## Abbreviations

2GEN F0F1	F0/F1 generation of the two-generation study
2GEN F1F2	F1/F2 generation of the two-generation study
3 $\beta$ -HSD	3 $\beta$ -hydroxysteroid dehydrogenase
AIC	Akaike information criterion
ALA-D	$\delta$ -aminolevulinatase
ALP	alkaline phosphatase
aOR	adjusted odds ratio
ATP	adenosine triphosphate
BMD	benchmark dose
BMDL	benchmark dose lower confidence limit
BMDU	benchmark dose upper confidence limit
BMI	body mass index
BMR	benchmark response
bw	body weight
CAT	catalase
CHD	congenital heart defects
CI	confidence interval
CLO	cleft lip only
CLP	cleft lip with cleft palate
CONTAM	Panel on Contaminants in the Food Chain
COT	Committee on Toxicology
COX-2	cyclooxygenase-2
CXCL4	chemokine ligand 4
CYP	cytochrome P450
DMT1	divalent metal transporter1
DRF	dose-range-finding study
DSBs	double-strand breaks
ED	effective dose
EFSA	European Food Safety Authority
ERK	extracellular signal-regulated kinase
ERS	endoplasmic reticulum stress
EU	European Union
F-AAS	flame atomic absorption spectrometry
FAO	Food and Agriculture Organization
GD	gestation day
GF-AAS	graphite furnace atomic absorption spectrometry

GI	gastrointestinal
GSH	glutathione
GSH-Px	glutathione peroxidase
GST	glutathione S-transferase
HDR	homology-dependent double-strand break repair
HIF-1 $\alpha$	hypoxia-inducible factor 1-alpha
i.p.	intraperitoneal
IARC	International Agency for Research on Cancer
ICP-AES	inductively coupled plasma-atomic emission spectrometry
ICP-MS	inductively coupled plasma-mass spectrometry
ICP-OES	inductively coupled plasma-optical emission spectrometry
IFN	interferon
IgA	immunoglobulin A
IL	interleukin
IQR	interquartile range
ISC	iron-sulfur cluster-dependent metabolic enzyme
JECFA	Joint FAO/WHO Expert Committee on Food Additives
JNK	c-JUN NH <sub>2</sub> -terminal protein kinase
LB	lower bound
LCD	left-censored data
LD50	lethal dose killing 50% of the animals
LOAEL	lowest-observed-adverse-effect-level
LOD	limit of detection
LOQ	limit of quantification
LPS	lipopolysaccharide
MAPK	mitogen-activated protein kinase
MDA	malondialdehyde
MHC	major histocompatibility complex
MNPCE	micronucleated polychromatic erythrocytes
MOE	margin of exposure
MPO	myeloperoxidase
mRNA	messenger RNA
MS	mass spectrometry
NAC	N-acetylcysteine
NBUD	nuclear buds
NCE	normochromatic erythrocytes
NF- $\kappa$ B	nucleic factor kappa B
NiCl <sub>2</sub>	nickel chloride

NOAEL	no-observed-adverse-effect-level
OFCs	orofacial clefts
OR	odds ratio
PBPK	physiologically based pharmacokinetic
PCE	polychromatic erythrocytes
PCOS	polycystic ovary syndrome
PLBW	pre-term low birth weight
PND	postnatal day
ROS	reactive oxygen species
rRNA	ribosomal RNA
s.c.	subcutaneous
SCD	systemic contact dermatitis
SD	standard deviation
SEM	scanning electron microscopy
SNAS	systemic nickel allergy syndrome
SOD	superoxide dismutase
SOP	standard operational procedure
SRL	specific release limit
SSB	Single-strand break
StAR	steroidogenic acute regulatory protein
TDI	tolerable daily intake
TDS	total diet study
TEMPO	2,2,6,6-tetramethyl-1-piperidinyloxy
TLR4	toll-like receptor 4
TNF	tumour necrosis factor
UB	upper bound
WHO	World Health Organization
ww	wet weight

4126 **Annexes**

4127 **Annex A – Hazard identification and characterisation**

4128 See the attached pdf file.

4129 **Annex B: Dietary surveys per country and age group available**  
4130 **in the EFSA Comprehensive Database, considered in the**  
4131 **exposure assessment**

4132 See the attached excel file.

4133 **Annex C: Occurrence data on nickel in food and drinking water**

4134 See the attached excel file.

4135 **Annex D: Chronic and acute dietary exposure to nickel and the**  
4136 **contribution of different food groups to the dietary exposure**

4137 See the attached excel file.

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